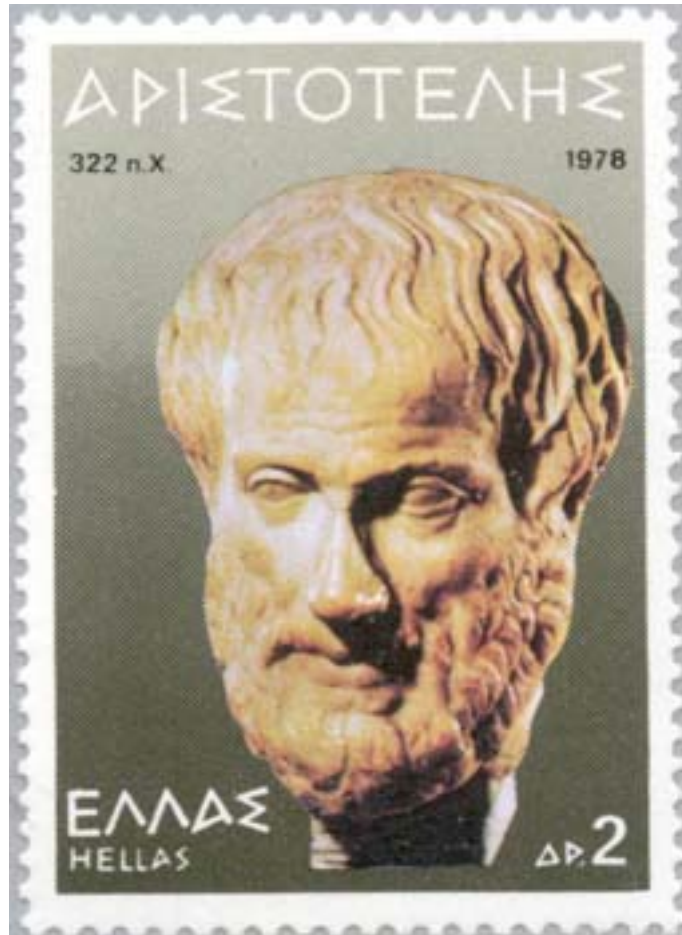


# UML basics

Paolo Ciancarini



The soul  
never thinks  
without an image

Aristotle, *De Anima*

# Agenda

- Evolution of UML: UML 1.\* and UML 2.\*
- Structures, behaviors, interactions
- The basic diagrams of UML 1.\*

# UML is a modeling language

- A **modeling language** allows the specification, the visualization, and the documentation of the development of a software system
- The **models** are *artifacts* which clients and developers use to communicate
- UML 1.\* is a modeling language
- UML 2.\* is also a programming language

# Meaning of models for software

- A model is a *description* of the structure and meaning of a system
- A model is always an *abstraction* at some level: it captures the essential aspects of a system and ignores some details
- **Important:** a model can be also *generator* of potential *configurations* of systems

# Roots of UML

At the beginning of the '90 there was a convergence:

- **Booch method** (Grady Booch)
  - **OMT** (Jim Rumbaugh)
  - **Fusion/OOSE** (Ivar Jacobson)
- } '94 – join *Rational Software Corporation*
- '95 – joins Rational

# Evolution of UML

- OO languages appear, since mid 70' s to late 80' s
- Between ' 89 and ' 94, OO methods increased from 10 to 50
- Unification of ideas began in mid 90' s
  - 1994 Rumbaugh joins Booch at Rational
  - 1995 v0.8 draft Unified Method
    - 1995 Jacobson joins Rational (*Three Amigos*)
  - 1996 June: UML v0.9 published
  
  - 1997 Jan: UML 1.0 offered to OMG
  - 1997 Jul: UML 1.1 OMG standard
  - 1998: UML 1.2
  - 1999: UML 1.3
  - 2001: UML 1.4
    - 2003 Feb: IBM buys Rational
  - 2003: UML 1.5
  - 2004: UML 1.4.2 becomes the standard ISO/IEC 19501
  
  - 2005: UML 2.0
  - 2007: UML 2.1.2
  - 2009: UML 2.2
  - 2010: UML 2.3
  - 2011: UML 2.4
  - 2013: UML 2.5

pre-UML

UML 1.x

UML 2.0

# OMG

- Object Management Group, founded in 1989
- Consortium of 800 industries (eg. IBM, HP, Apple, etc.) and interested universities (Bologna is member)
- Produces specifications of reference architectures, eg. CORBA
- Other specifications: UML, various MDA technologies
- UML as managed by OMG is a standard *de facto* in continuous evolution
- UML1.4 is a ISO standard *de jure*



# Main UML specification documents

- **Superstructure:**  
defines the UML elements (diagrams, etc.)
- **Infrastructure:** defines the UML core metamodel
- **OCL** (Object Constraint Language):  
formal language for writing predicates,  
constraints, and formulas inside diagrams
- **XMI** (XML Metadata Interchange):  
DTD for UML models
- UML Diagram Interchange: XMI + graphic info

# Canonical diagrams (vers 1.5)

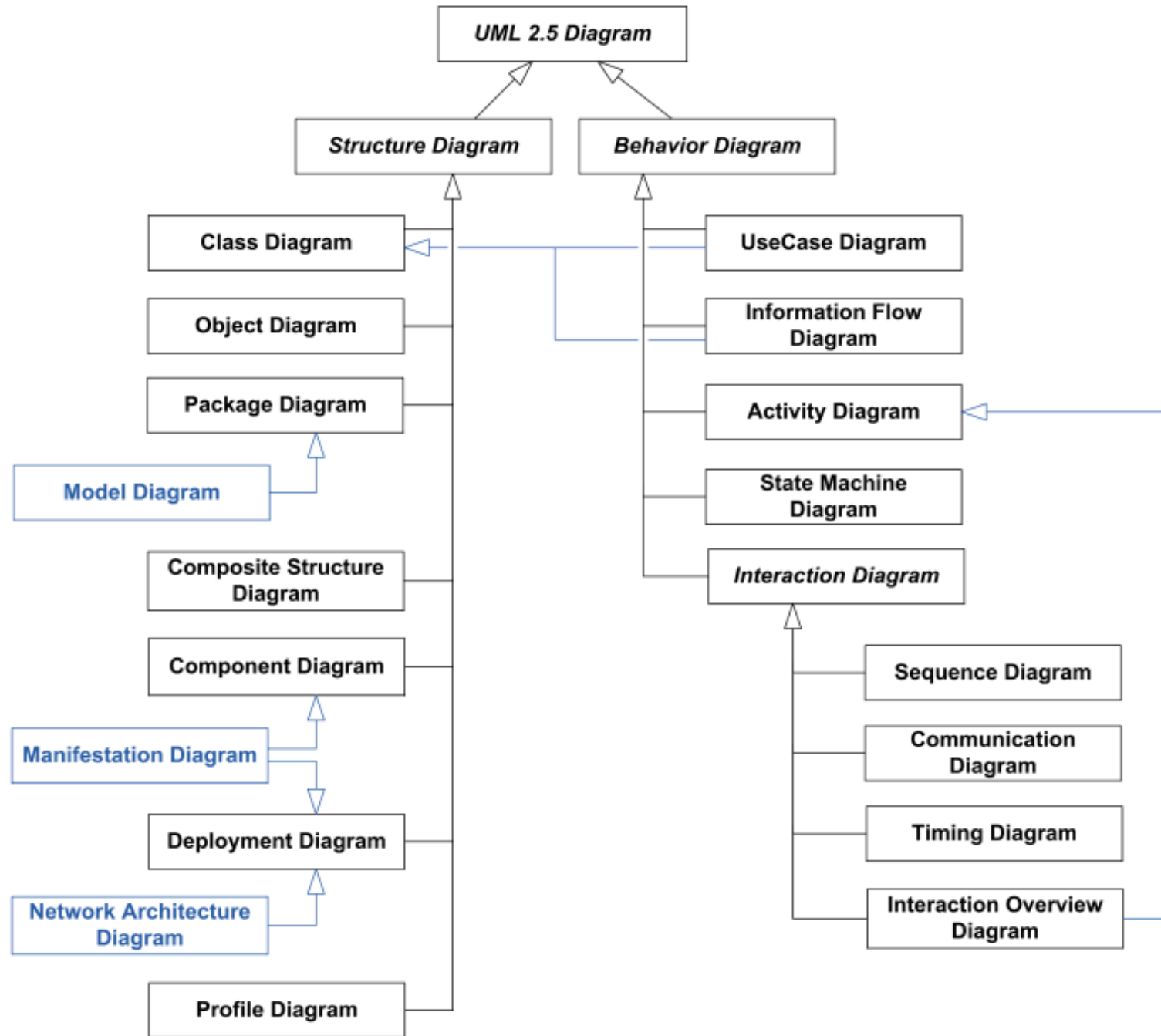
- Use case
- Class (Object diagrams are class diagrams without classes 😊)
- Behavior
  - Statecharts
  - Activity
  - Interaction
    - Sequence
    - Collaboration
- Implementation
  - Components
  - Deployment

# Canonical diagrams (Superstructure, vers. 2.4)

Version 2.4 includes 14 canonical diagrams

- **Structure**
  1. Class
  2. Composite structure
  3. Component
  4. Deployment
  5. Object
  6. Package
  7. Profiles (added in version 2.4)
- **Behavior**
  1. Activity
  2. Statecharts
  3. Usecase
- **Interaction**
  1. Communication
  2. Interaction Overview
  3. Sequence
  4. Timing

# UML 2.5 (2013)



# Structure and behavior

- UML focusses on two aspects of object oriented models: *structure* and *behavior*
- It aims at visualizing both



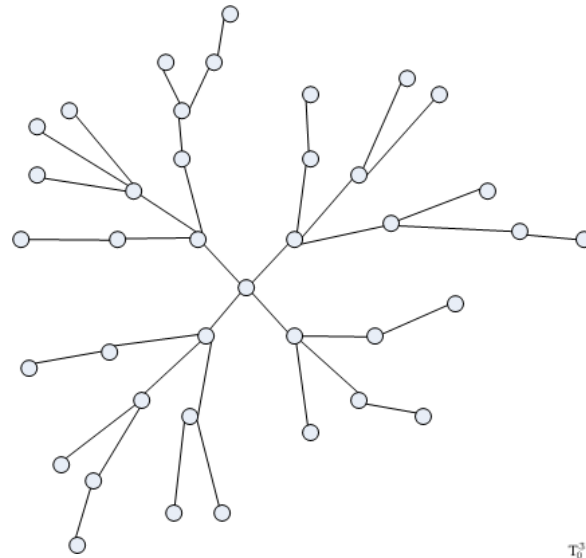
Tour Eiffel (1889)



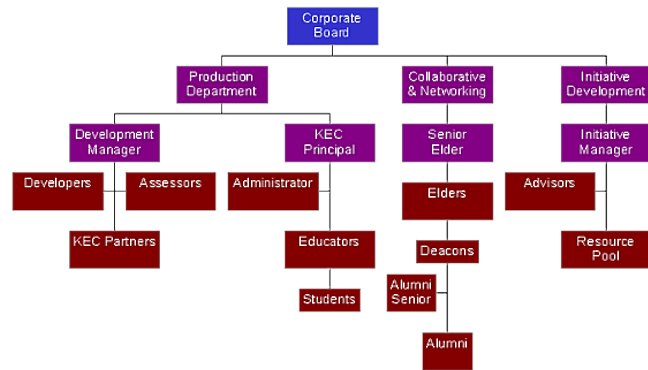
G. Balla: Dinamismo di cane al guinzaglio (1912)

# Describing the structure of software

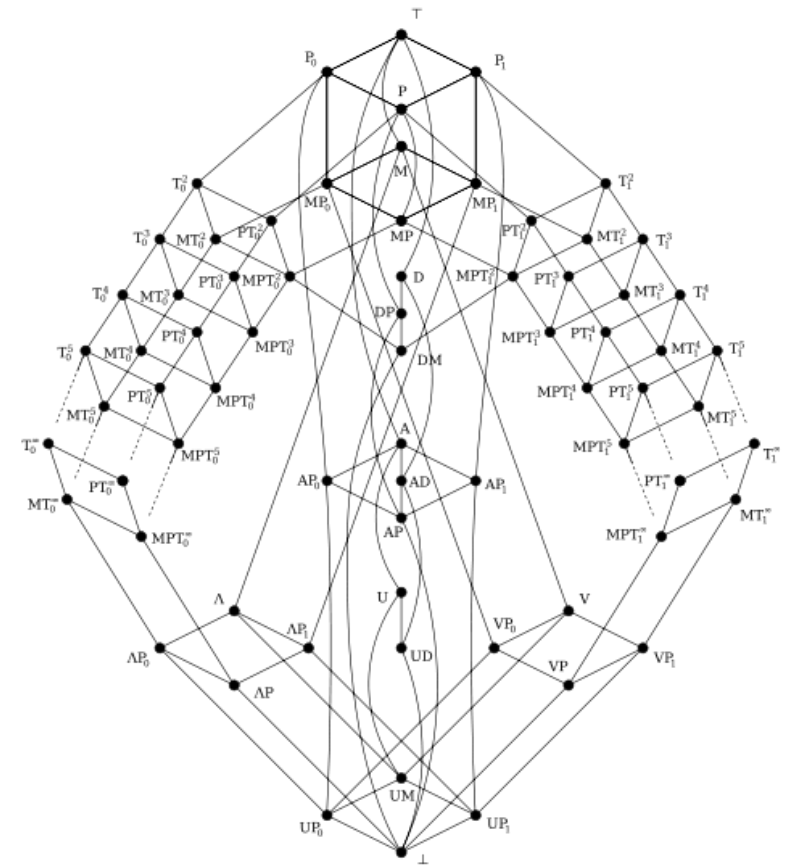
- The description of structure offers an account of what a system is made of, in terms of both its parts and the relationships among them
- A structure may be a **hierarchy** featuring one-to-many relationships, a **network** featuring many-to-many links, or a **lattice** featuring connections between components that are neighbors in space



**Network**



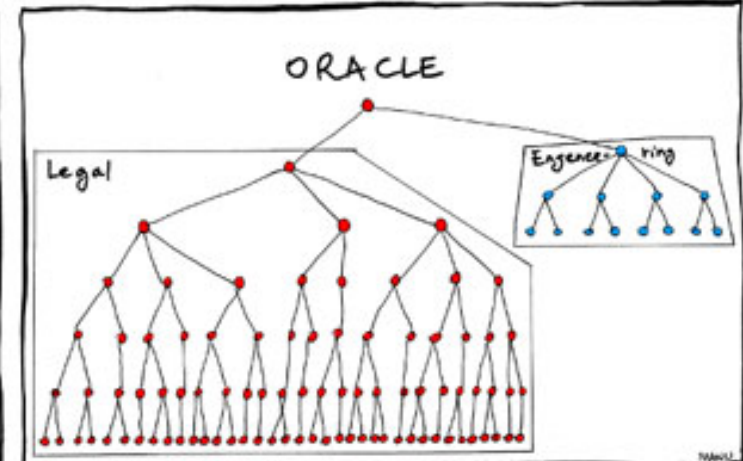
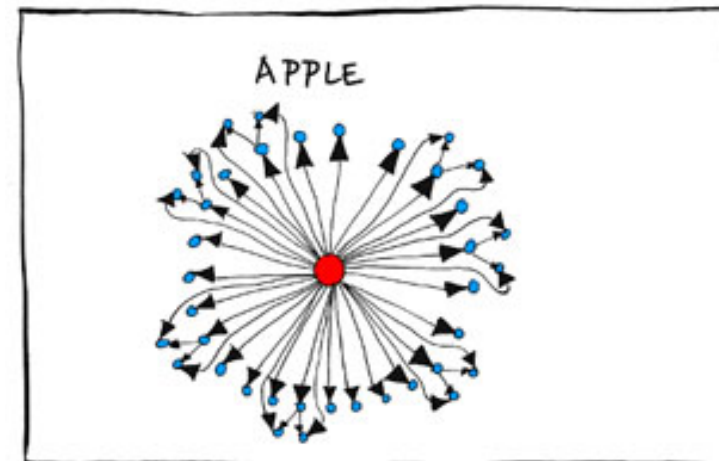
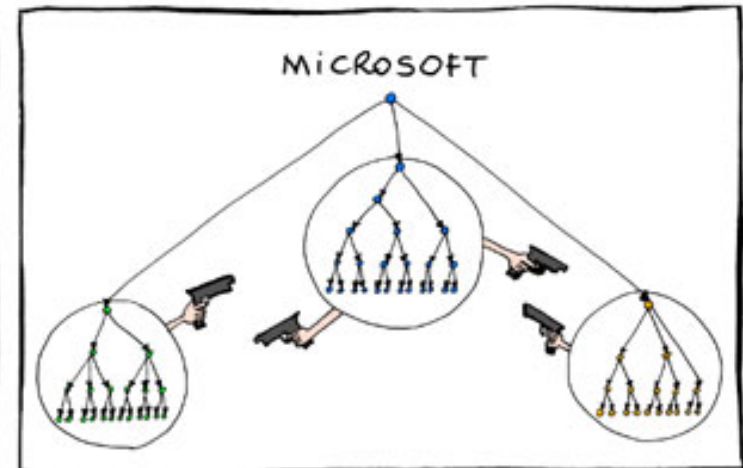
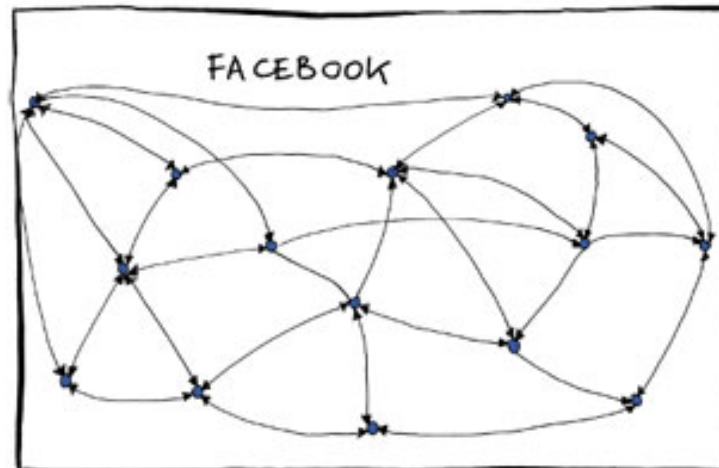
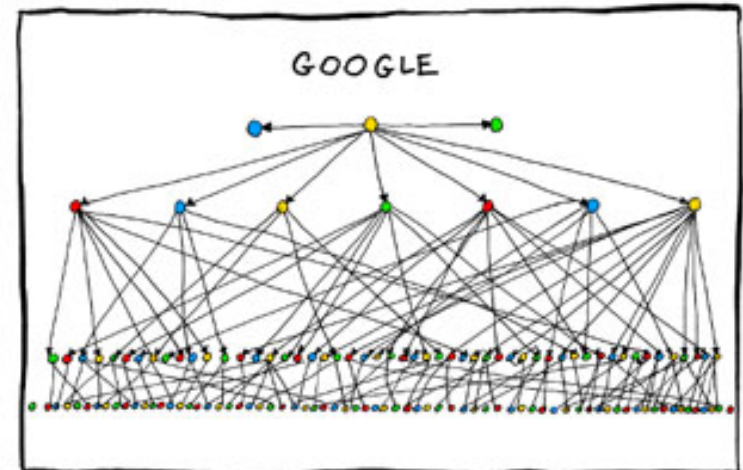
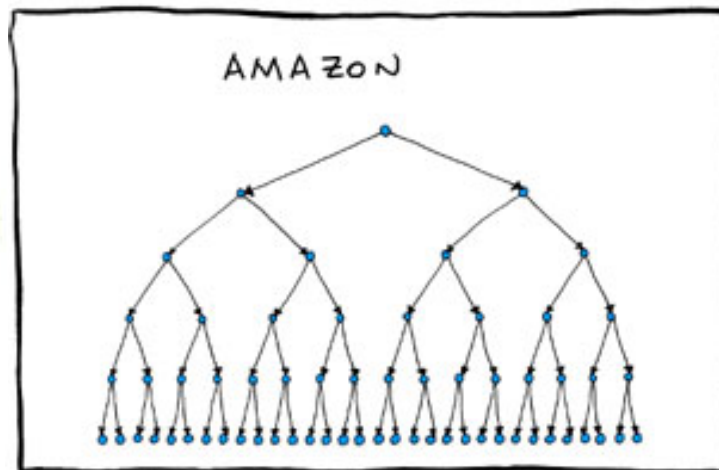
**Hierarchy**



**Lattice**

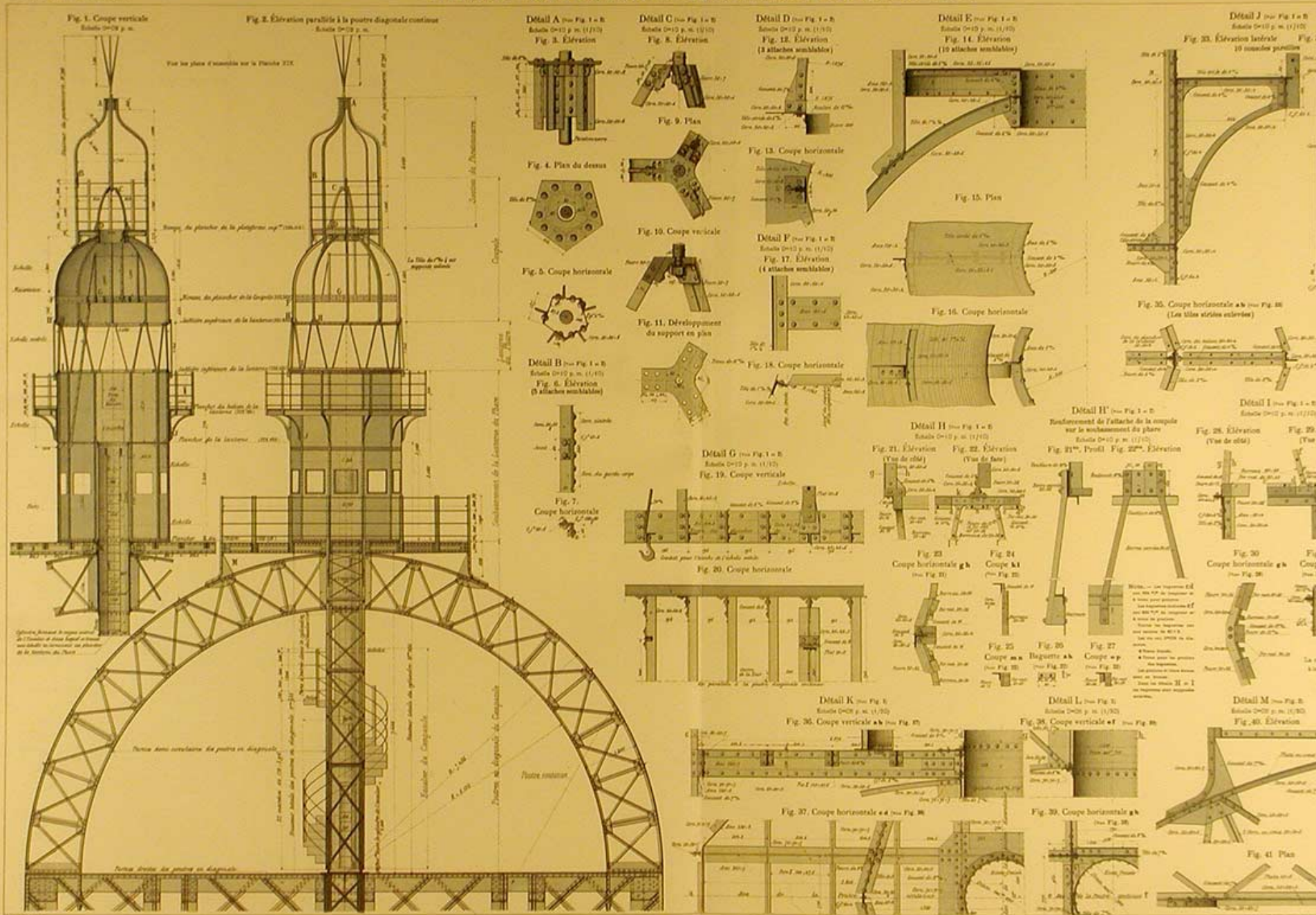


Organizational structures

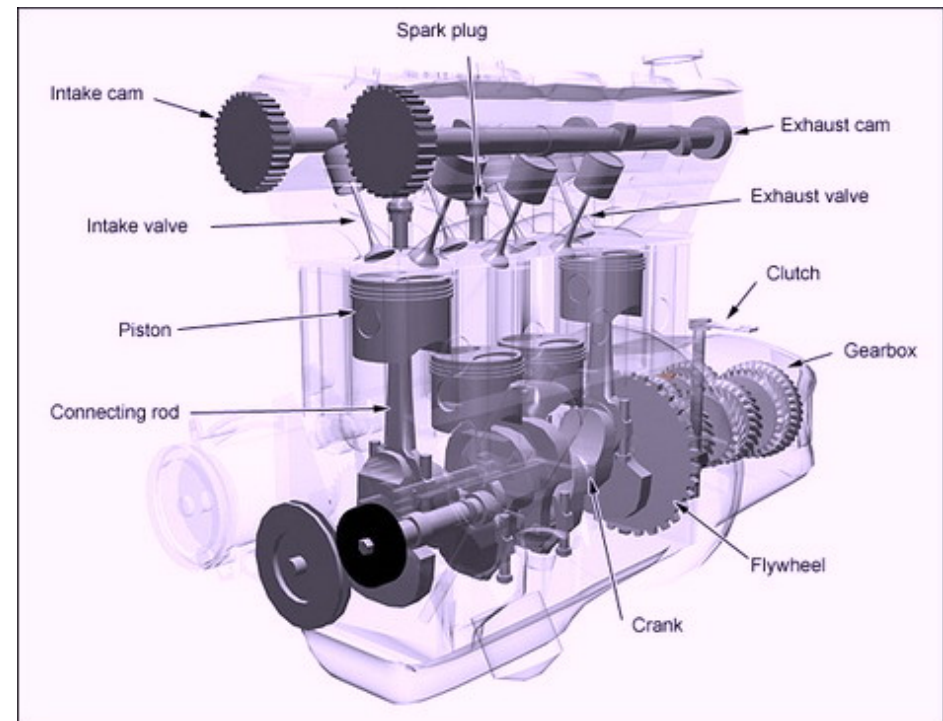
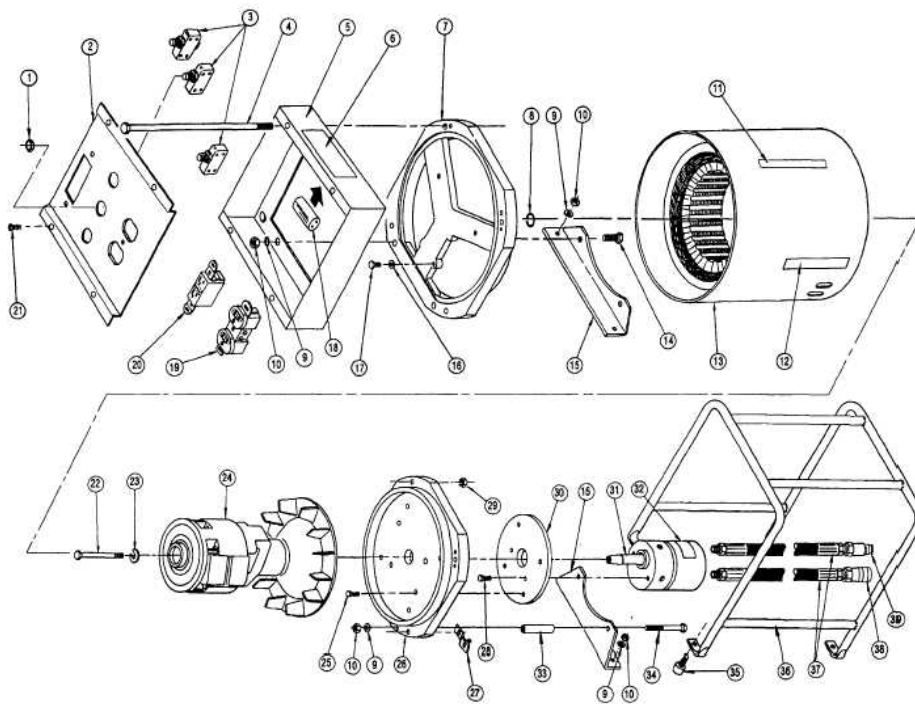




PARTIE SUPÉRIURE. ENSEMBLE ET DÉTAILS.



# Modules vs components

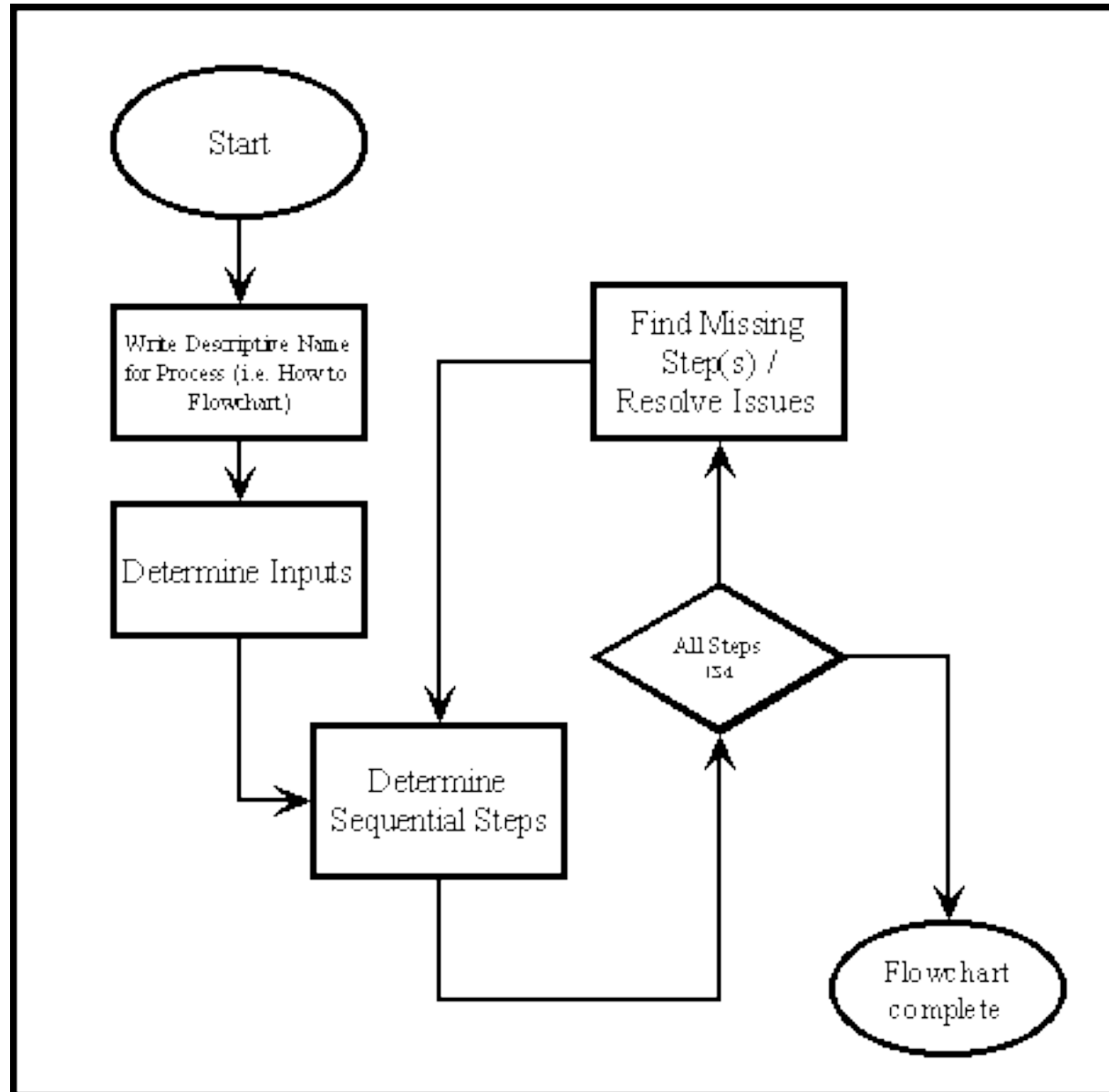


# Discuss

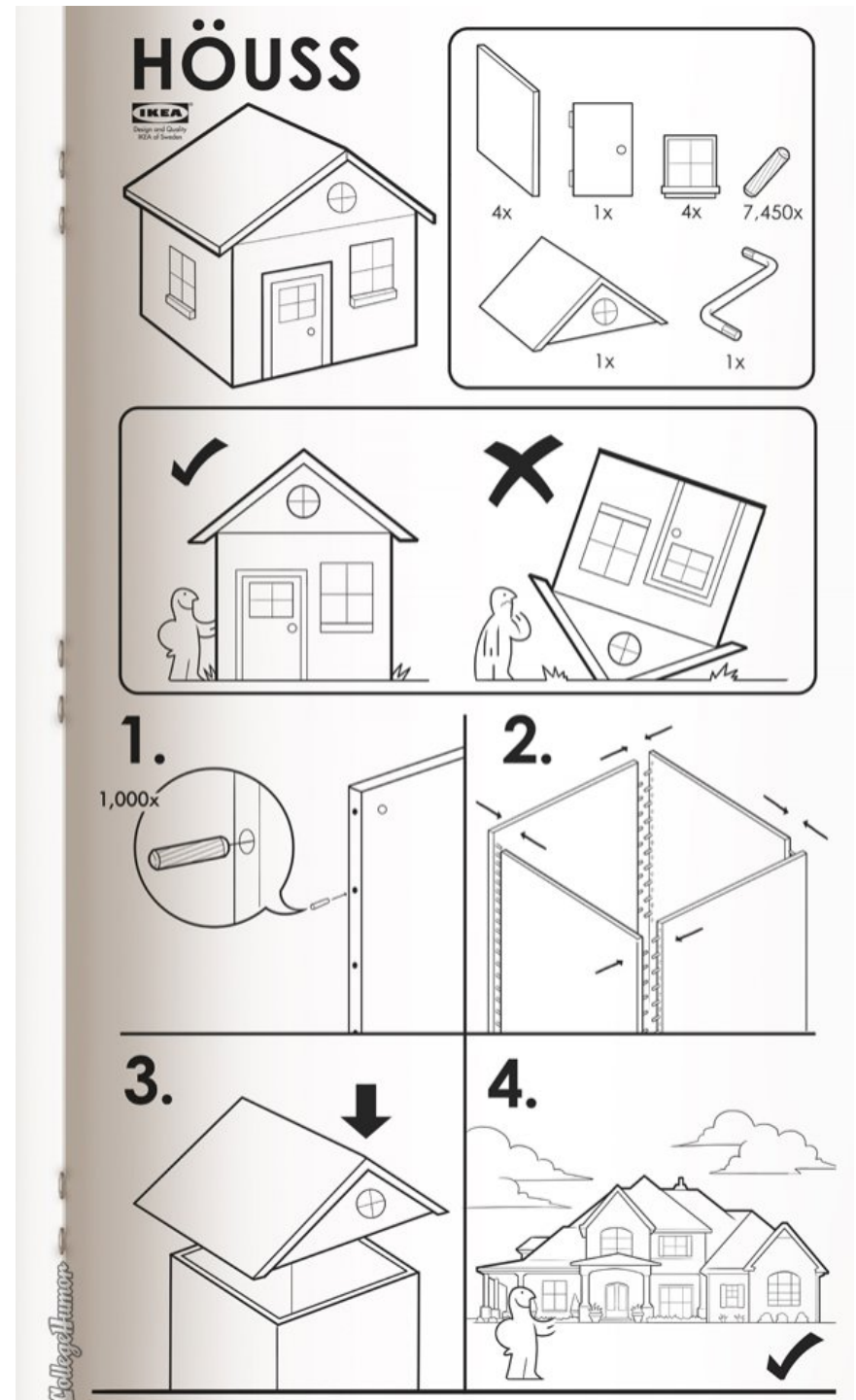
- Which ways do you know to pictorially describe “behaviors” - or actions?



# Flowchart



# Assembly instructions



# Lullaby

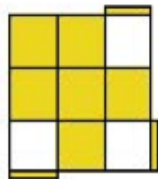
Johannes BRAHMS  
1833-1897  
arr. M.A. Caux

Andante cantabile

Violin

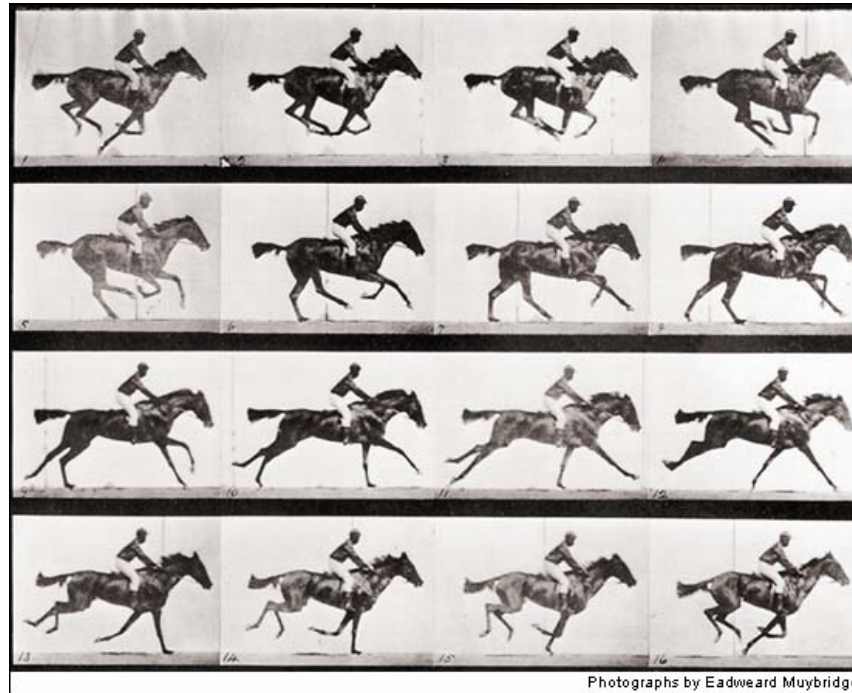
Piano

Source : *Wiegenlied*, Op. 49, No. 4 (1868).  
Copyright © 2006 by Caux Multimedia Solutions, Inc.  
[sheetmusic2print.com](http://sheetmusic2print.com)



**State 1. Twisting three corners anti-clockwise**

**R' U' R U' R' U2 R U2**



Photographs by Eadweard Muybridge

## PARTIES TOURNOI INTERCLUBS DE PARIS

183. — **Défense des deux cavaliers.**

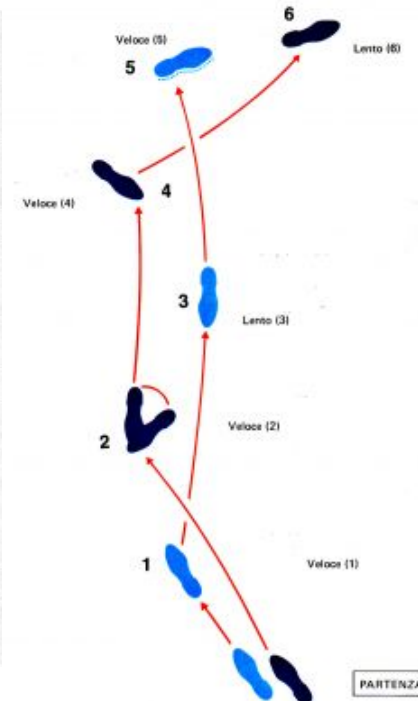
Le 24-2-1929.

O. S. BERNSTEIN-J. Cukiermán

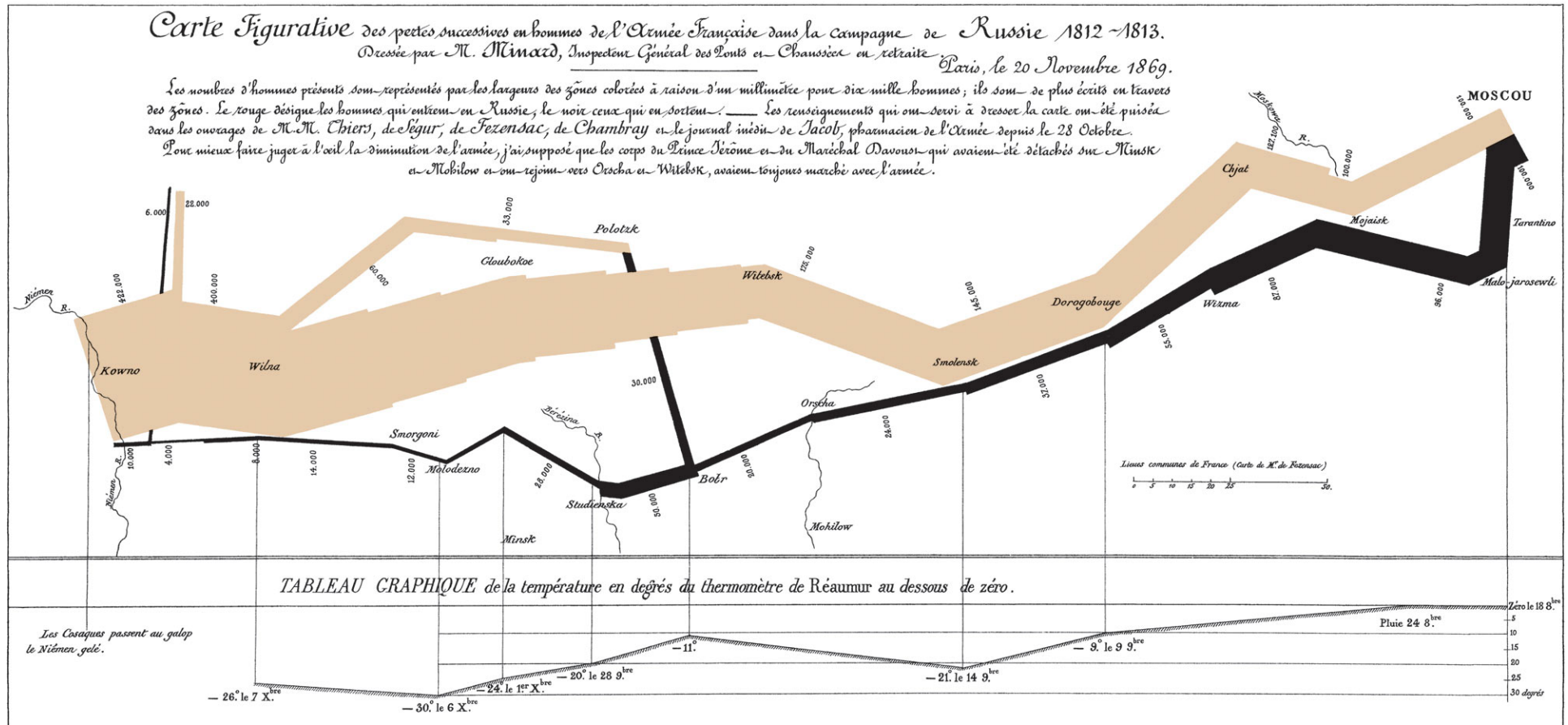
- |        |     |
|--------|-----|
| 1. ♠4♣ | ♠4♣ |
| 2. ♠3♥ | ♠3♥ |
| 3. ♠4♥ | ♠3♥ |
| 4. ♠4♠ | ♠×♠ |
| 5. 0—0 | ♠3♥ |

La suite 5. „♠×♠”; 6. ♠1♣, ♠4♥; 7. ♠×♠, ♠×♠; 8. ♠3♥, ♠4♥! etc., conduit en fin de compte à l'égalité. Le coup du texte assure par contre aux Blancs une supériorité en espace dans la première phase de la partie.

- |         |     |
|---------|-----|
| 6. ♠×♠  | ♠2♥ |
| 7. ♠3♥  | 0—0 |
| 8. ♠♠2♥ |     |



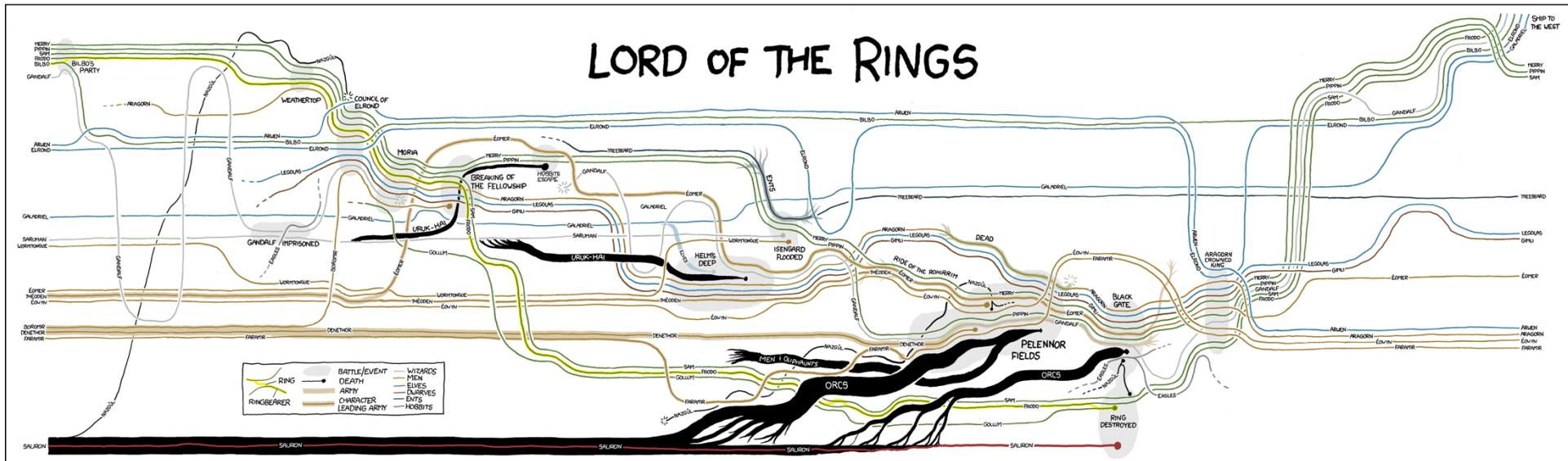
# Napoleon in Russia



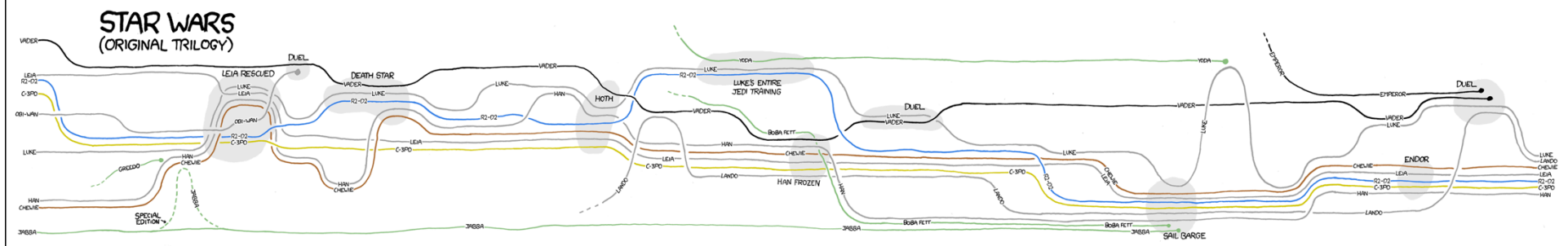
(Minard 1869)

THESE CHARTS SHOW MOVIE CHARACTER INTERACTIONS. THE HORIZONTAL AXIS IS TIME. THE VERTICAL GROUPING OF THE LINES INDICATES WHICH CHARACTERS ARE TOGETHER AT A GIVEN TIME.

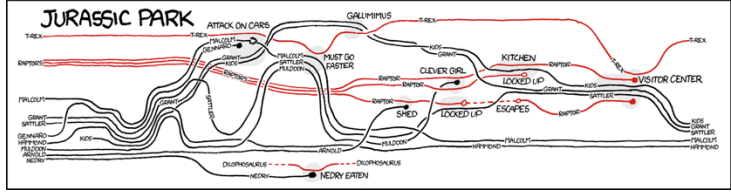
# LORD OF THE RINGS



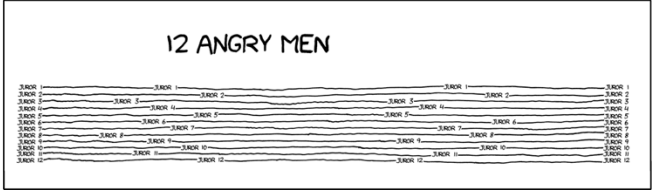
## STAR WARS (ORIGINAL TRILOGY)



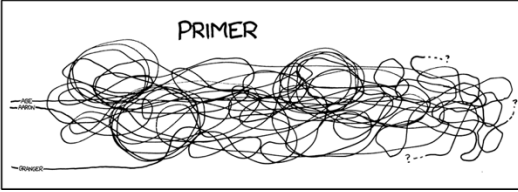
## JURASSIC PARK



## 12 ANGRY MEN

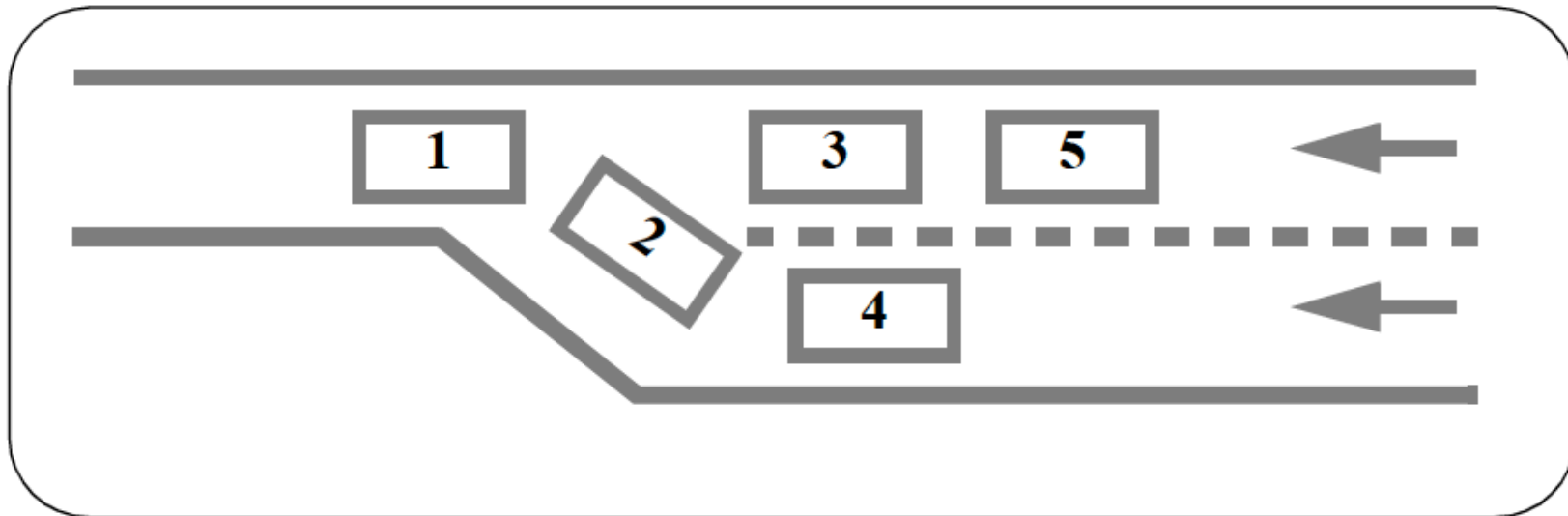


## PRIMER





# Exercise: structural or behavioral?



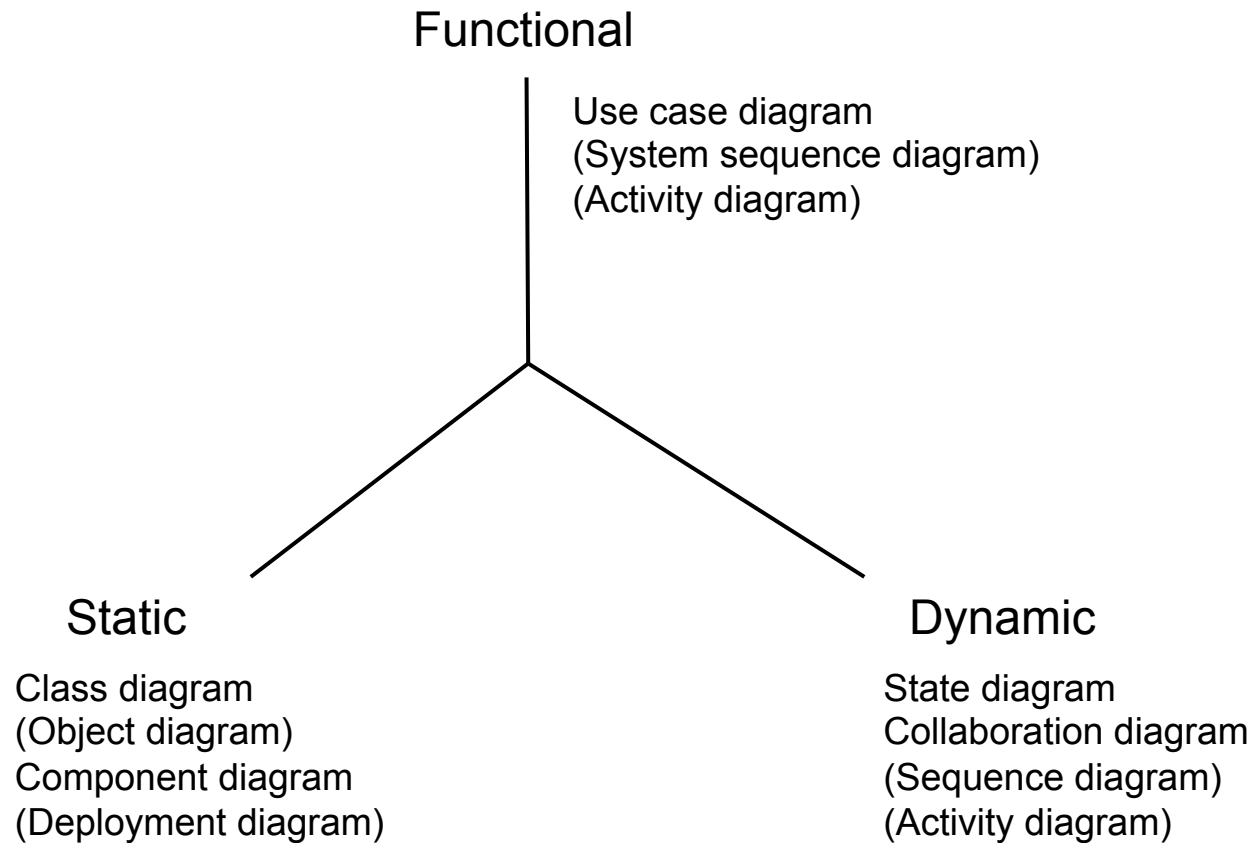
Hint: this picture describes modules (static) or components (dynamic)?

# Discuss

- Are structures and behaviors all we need for software design?



# Three modeling axes



# Example

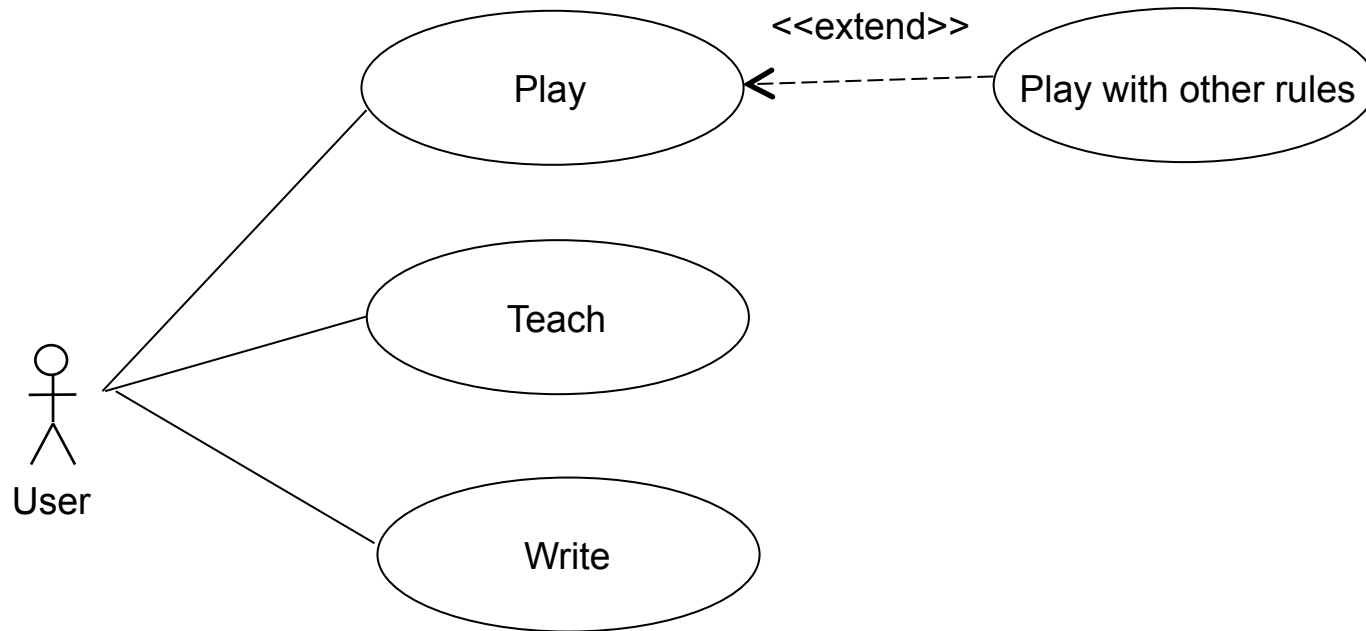
- A chess program could be “stand-alone”, “client-server”, “agent based”, etc.
- Its behavior should always be coherent with the rules of chess
- What is its **goal**? To play and win a chess game against an opponent

# Goals and responsibilities

- The very same chess program, with identical structure and behavior, could be used with a different goal?
- For instance, could it be used to learn to play chess?  
Responsibility of the program: teach chess
- Or to write a chess book, like a chess game editor?  
Responsibility of the program: write chess texts
- Or to play a game of loser's chess (where who is checkmated wins)? Responsibility: play games with rules slightly different from chess

Each responsibility corresponds to (at least) a use case

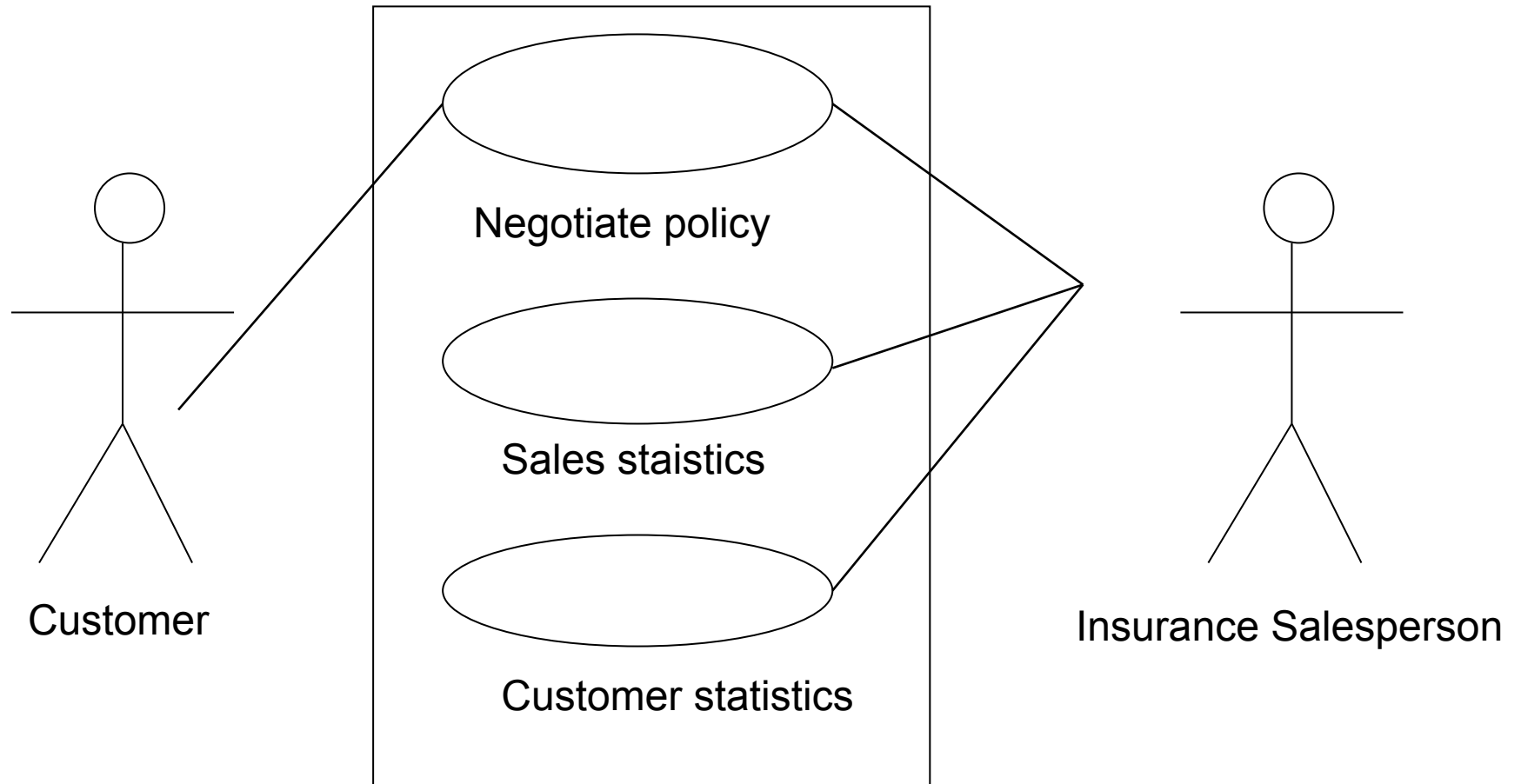
# From responsibilities to use cases



# Use Case diagram

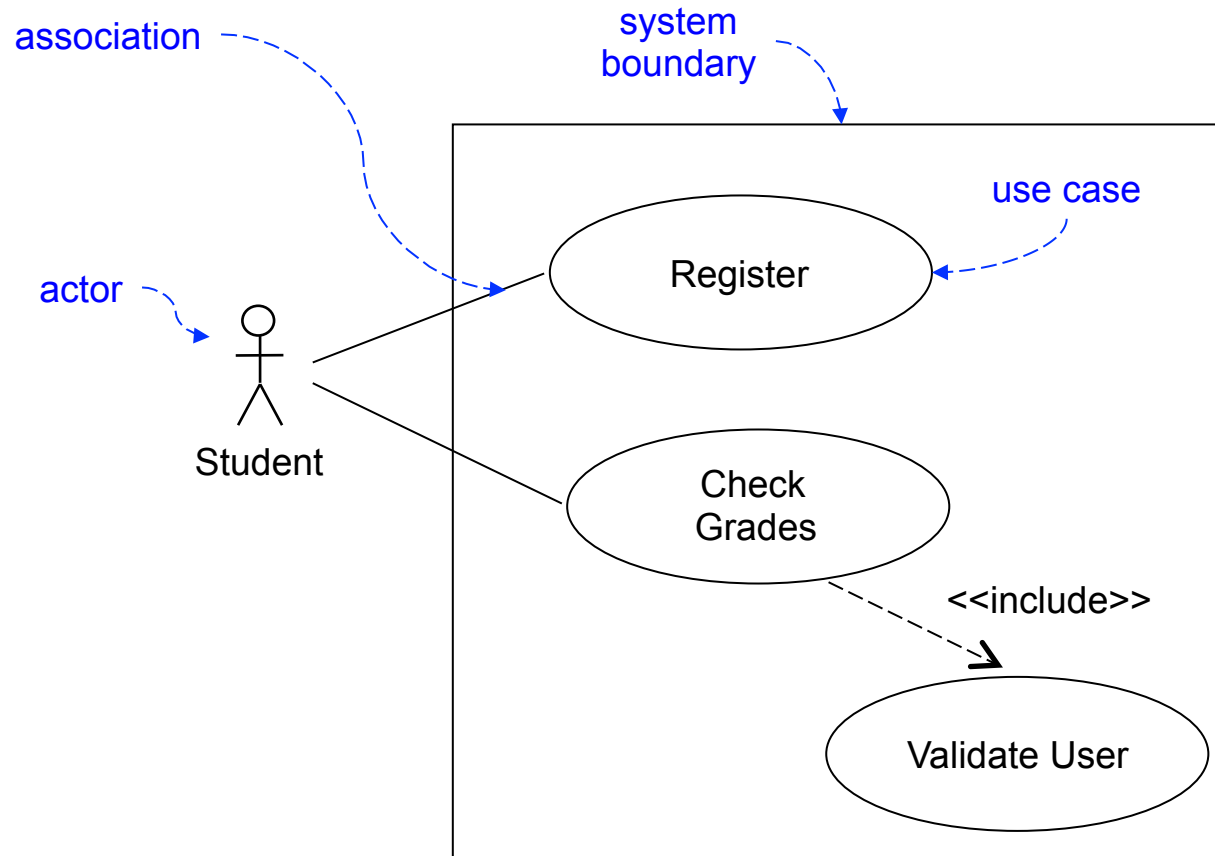
- It describes the externally observable behavior of a system, as related to **requirements**
- It describes the main interactions between the system and external entities, including users and other systems
- It is a summary of the main scenarios where the system will be used
- It describes the main user roles

# Example





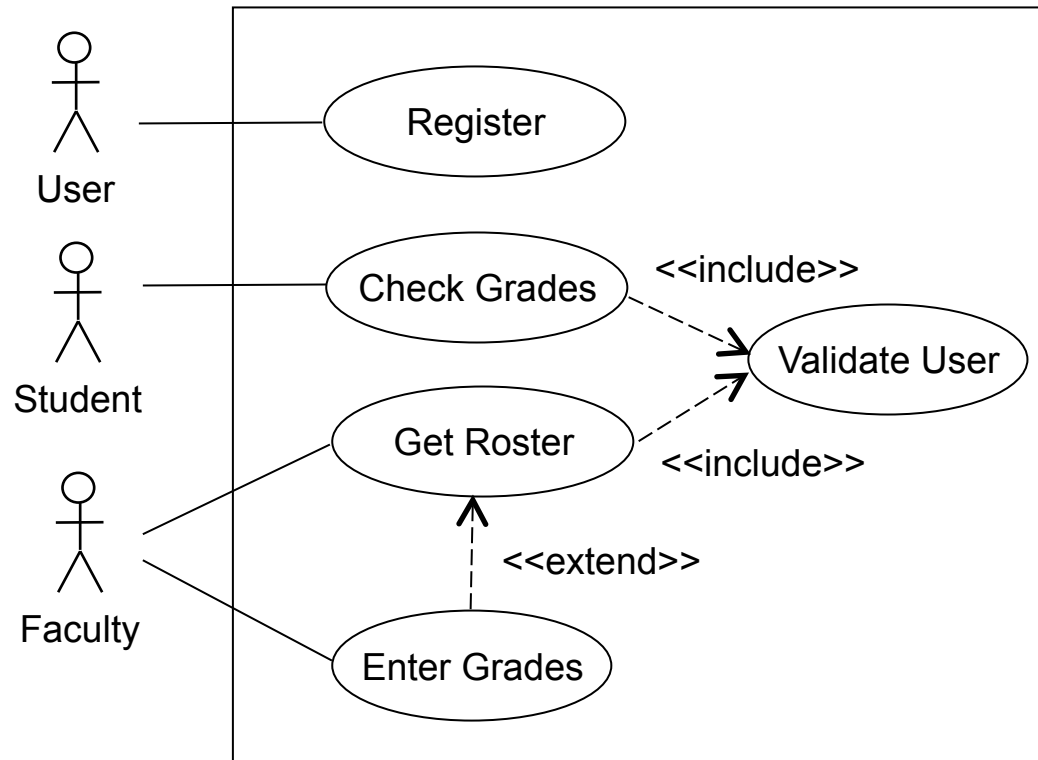
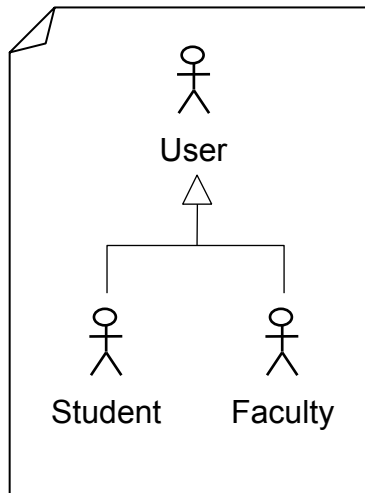
# Use Case: elements



# Elements of a Use Case Diagram

- **Actor:**
  - Represents a role played by external entities (humans, systems) that interact with the system
- **Use case:**
  - Describes what the system does (i.e., functionality)
  - Scenario: sequence of interactions between the actors and the system
- **Relationships:**
  - Association between actors and use cases
  - Extension (or generalization) among actors
  - Dependency among use cases: *include* and *extend*

# Example

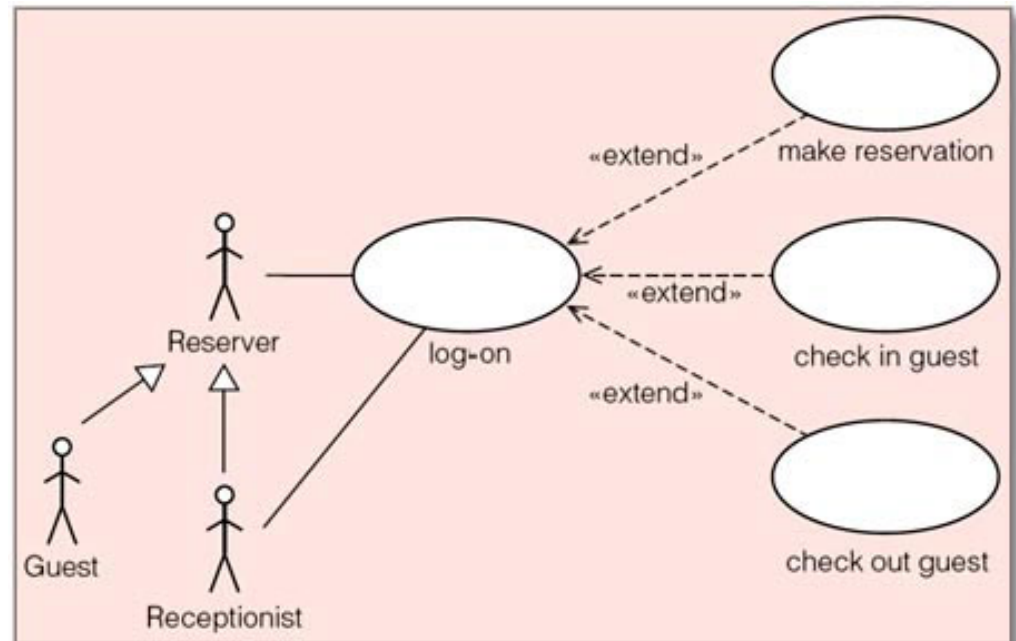
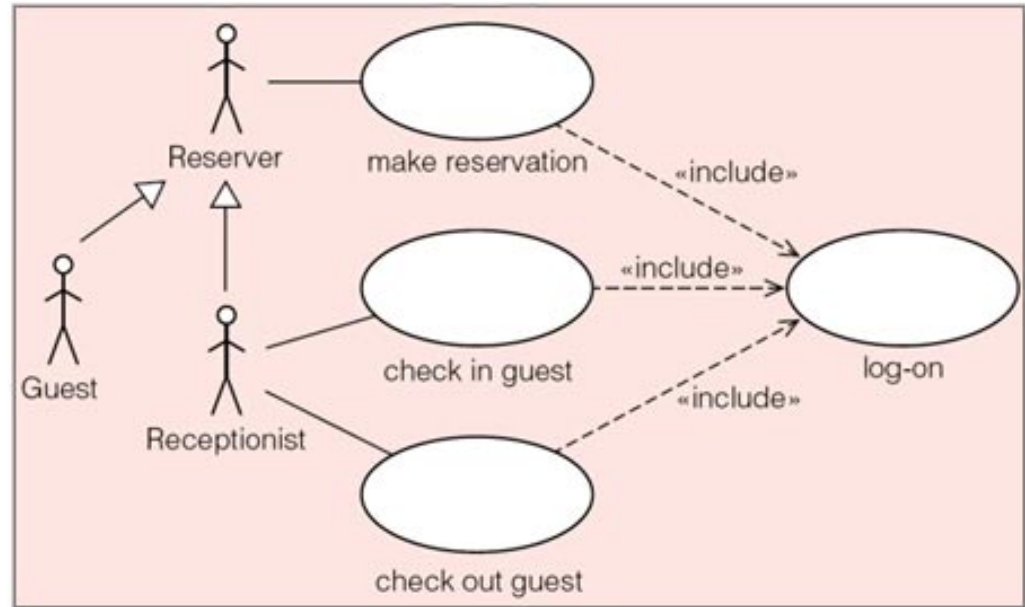


# Use Case Scenario

<b>Use Case:</b> Check Grades	
<b>Description:</b> View the grades of a specific year and semester <b>Actors:</b> Student <b>Precondition:</b> The student is already registered <b>Main scenario:</b>	
<b>User</b>	<b>System</b>
3. The user enters the year and semester, e.g., Fall 2013.	1. The system carries out “Validate User”, e.g., for user “miner” with password “allAs”. 2. The system prompts for the year and semester.  4. The system displays the grades of the courses taken in the given semester, i.e., Fall 2013.
<b>Alternative:</b> The student enters “All” for the year and semester, and the system displays grades of all courses taken so far. <b>Exceptional:</b> The “Validate User” use case fails; the system repeats the validation use case.	

# <<extend>> vs <<include>>

- A use case B is included in use cases C and D when these have some common steps represented by B
- A use case B extends a use case C when B applies optionally, under some condition (usually specified in the scenario)
- Note: the lower diagram is formally correct but should be avoided, because the main functions should NOT be described as extensions of logon



# Exercise



Draw a use case diagram and a related scenario for the following situation:

- A user can borrow a book from a library;
  - extend it with borrowing a journal
- a user can give back a book to the library
  - including the use case when the user is identified

# Exercise: include or extend?



Main use cases: a customer buys something (eg. a book) from a virtual store like Amazon

- The user must be identified
- The book is not currently available, delayed delivery
- When the book is received the service must be graded
- The book is delivered via air mail
- The book is an ebook and can be delivered via Internet

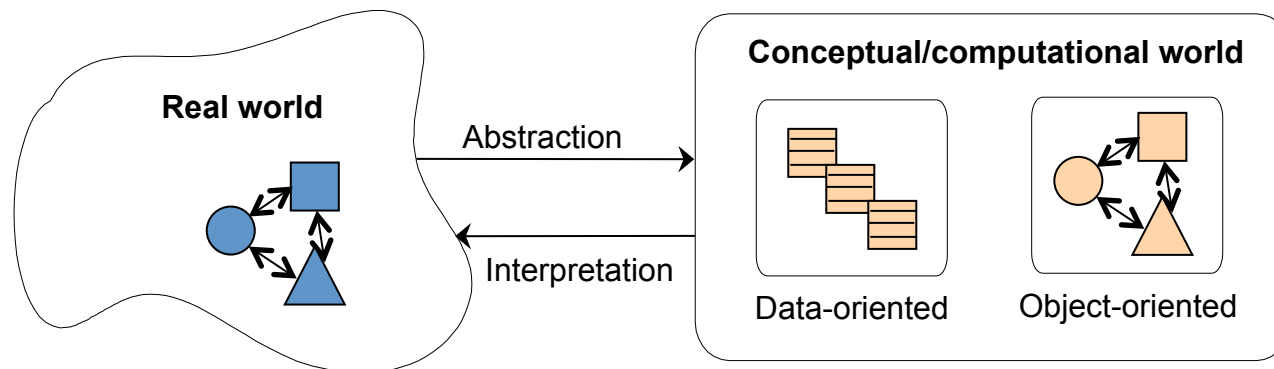
# Structure diagrams





# Object-Oriented Modeling

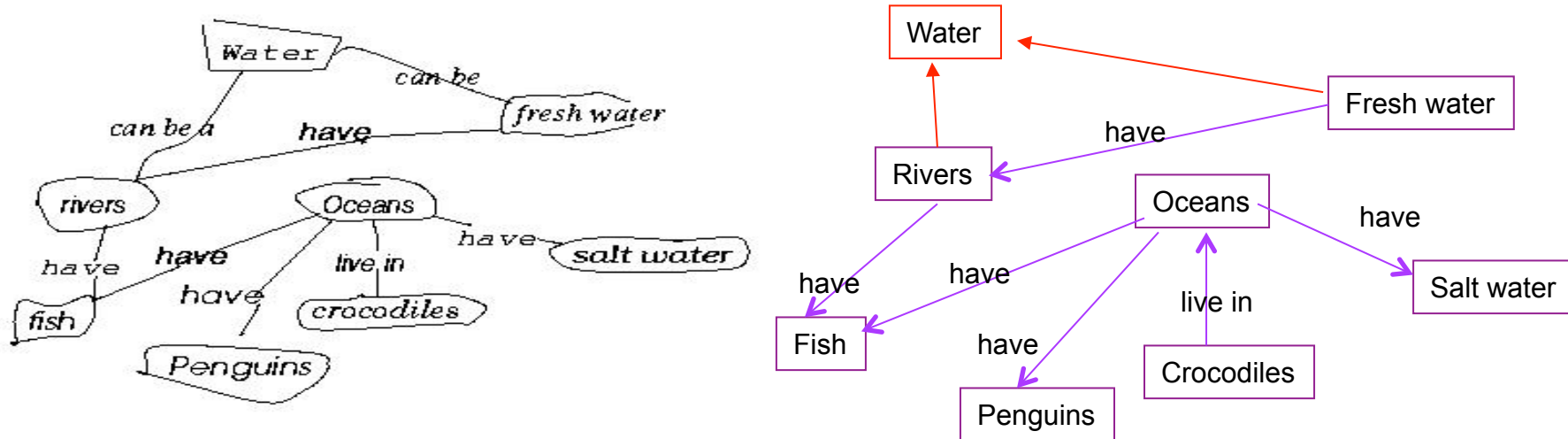
- Models describe structures of objects and their behavior
- A system is modeled as **a set of objects** that interact by exchanging messages
- No semantic gap, seamless development process



# Key Ideas of OO Modeling

- Abstraction
  - hide minor details so to focus on major details
- Encapsulation
  - Modularity: principle of separation of functional concerns
  - Information-hiding: principle of separation of design decisions
- Relationships
  - Association: relationship between objects or classes
  - Inheritance: relationship between classes, useful to represent generalizations or specializations of objects
- Object-oriented language model
  - = object (class) + inheritance + message send

# The basic *building blocks* of UML



- Elements: domain modeling concepts
- Relationships: connection between model elements that adds semantic information to a model
- Diagrams: collections of elements and relationships representing some “perspective” on a model

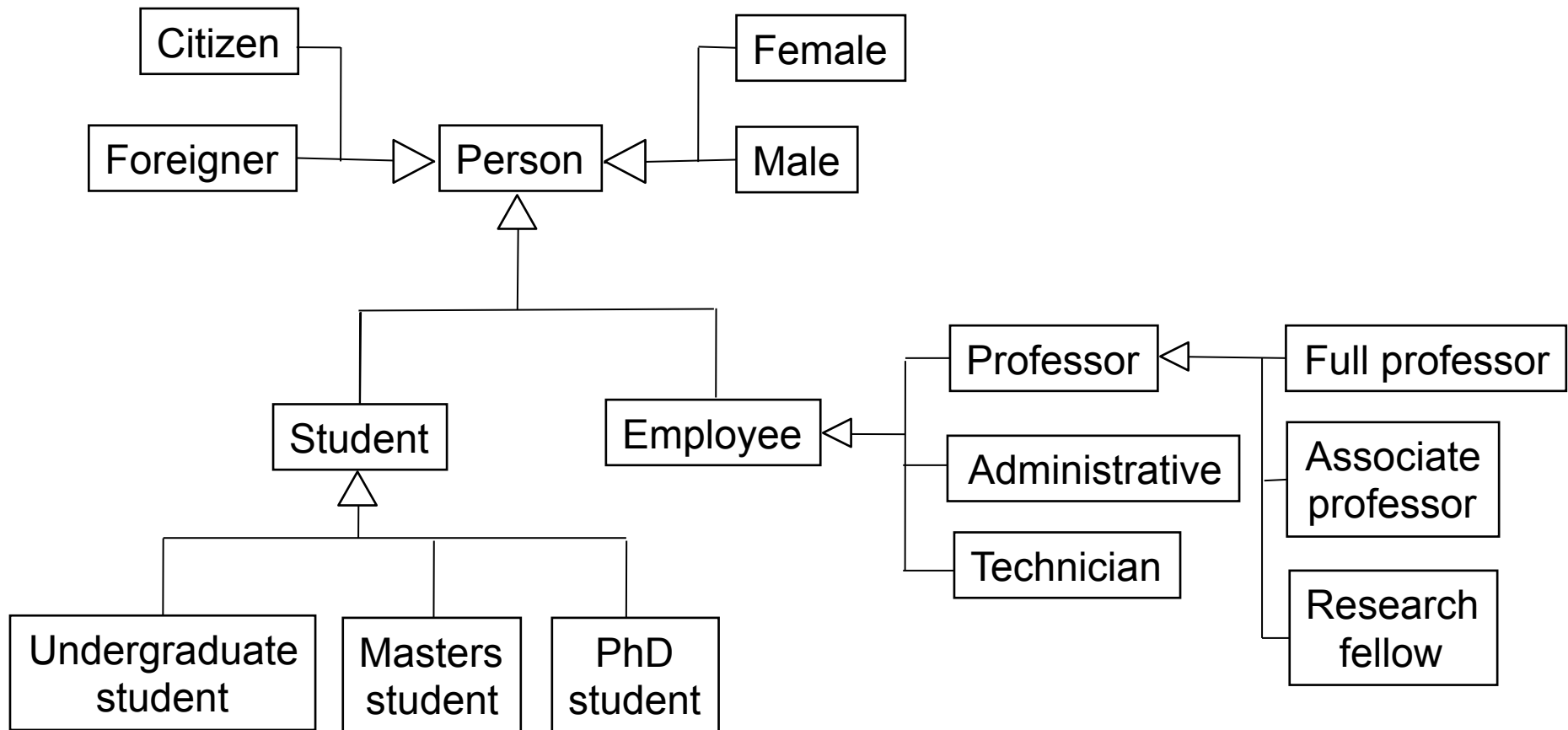
# Main idea

- With UML we model systems made of objects which have relationships among them
- Objects are instances of classes
- Classes define the structure of objects and their relationships

# Example

- A university is an organization where some persons work, some other study
- There are several types of roles and grouping entities
- We say nothing about behaviors, for the moment

# A taxonomy



# Class

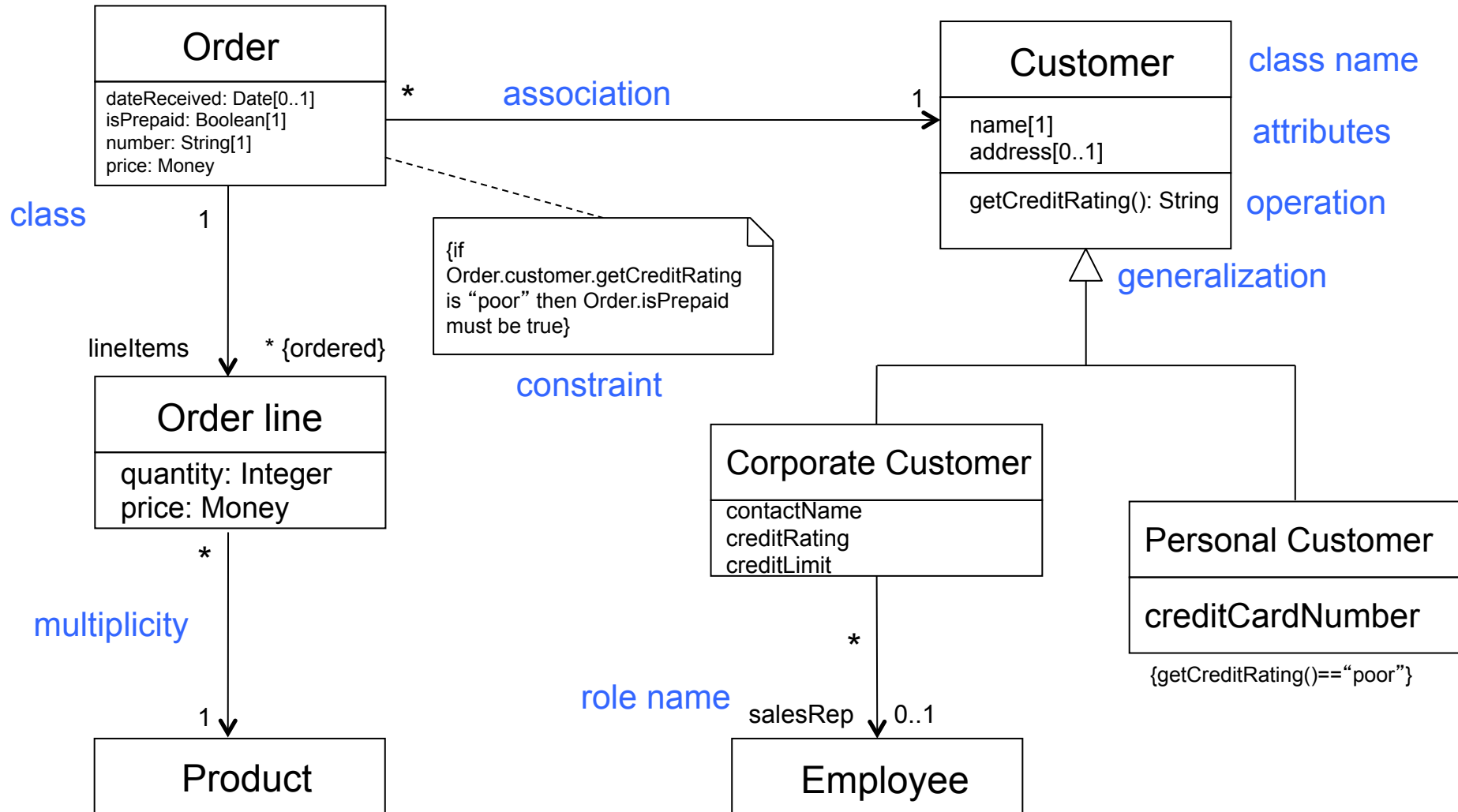
- Is the description of a set of objects
- Defines the structure of the states and the behaviors shared by all the objects of the class (called *instances*)
- Defines a template for creating instances
  - Names and types of all fields
  - Names, signatures, and implementations of all methods

# Class diagram

- Most common diagram in OO modeling
- A class diagram is a graph including:
  - Nodes representing **classes (types of objects)**
    - Nodes can have just a name, or expose some internal structure
  - Links representing **relationships among classes**
    - Inheritance
    - Association
    - Aggregation or composition
    - Dependency
  - Links can have multiplicities and/or names for roles played by participants

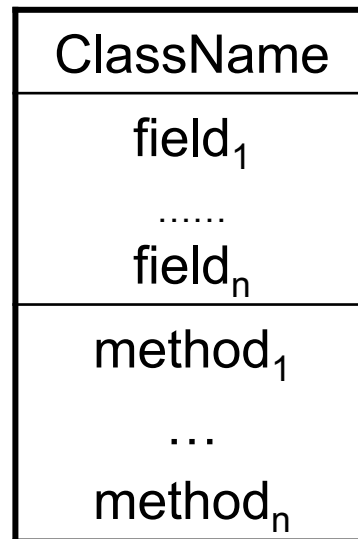


# Class diagram: example



# Notation for classes

- The notation for classes is a rectangular box with three compartments



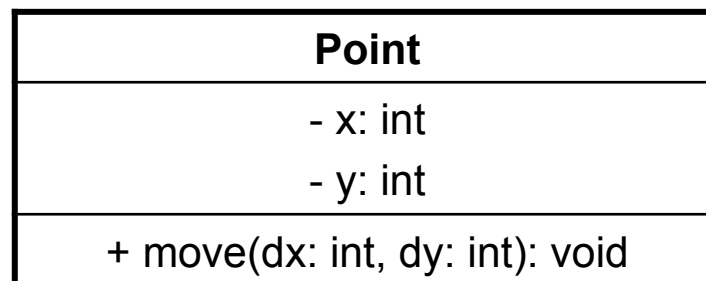
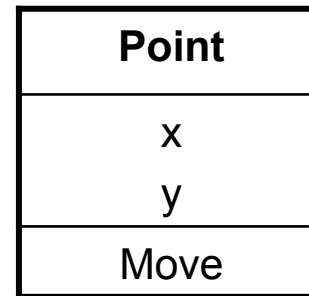
The top compartment shows the class **name**

The middle compartment contains the declarations of the fields, or *attributes*, of the class

The bottom compartment contains the declarations of the *methods* of the class

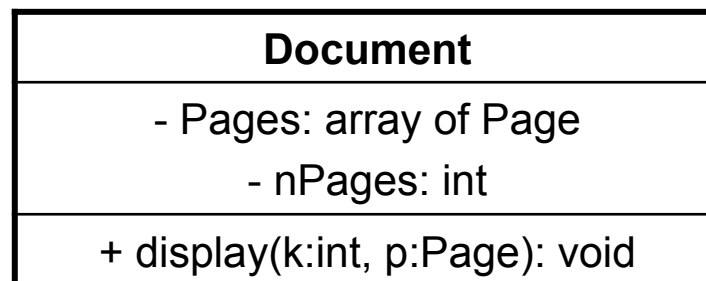
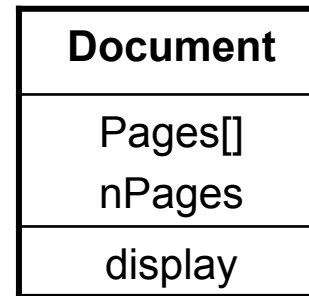
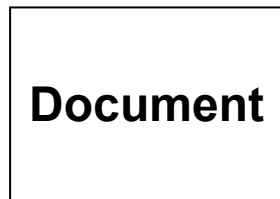
# Example

A point defined by classes at three different abstraction levels



# Example

A document defined by classes at three different abstraction levels



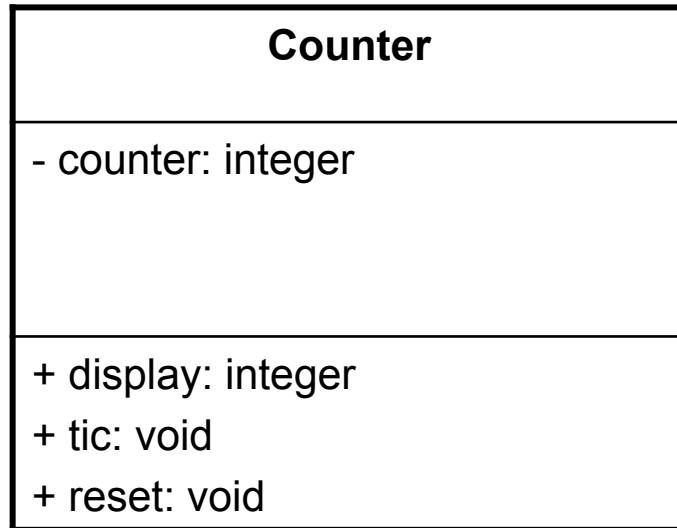
# Exercise



Draw a class diagram for the following Java code

```
class Person {
    private String name;
    private Date birthday;
    public String getName() {
        // ...
    }
    public Date getBirthday() {
        // ...
    }
}
```

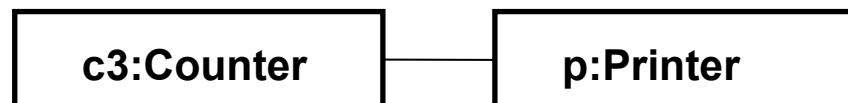
# A counter class



A class in UML

```
class Counter{  
    private counter: integer;  
    public integer display()  
        {return counter};  
    public void tic()  
        {counter = counter + 1};  
    public void reset()  
        {counter = 0};  
}
```

A corresponding class  
in a programming language



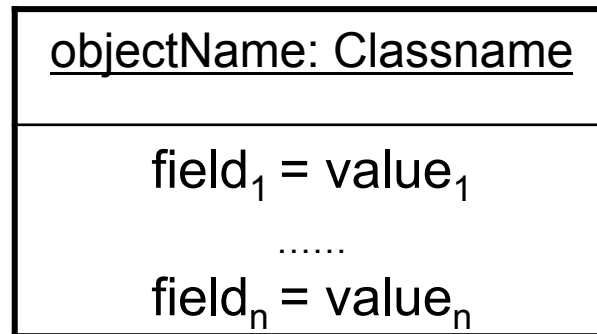
Using an object of type class  
in an object oriented system

# Object diagram

- An object diagram represents a “snapshot” of a system composed by set of objects
- An object diagram looks like a class diagram
- However, there is a difference: values are allocated to attributes and method parameters
- While a class diagram represents an abstraction on source code, an object diagram is an abstraction of running code

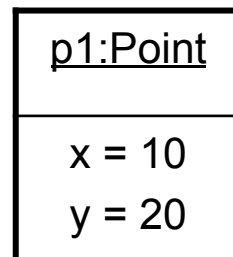
# Notation for Objects

- Object: Rectangular box with one or two compartments
- Object name: object name/role name:class name

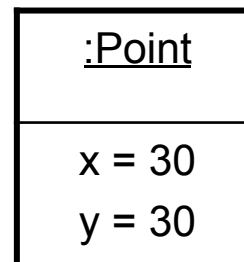


The top compartment shows the name of the object and its class.

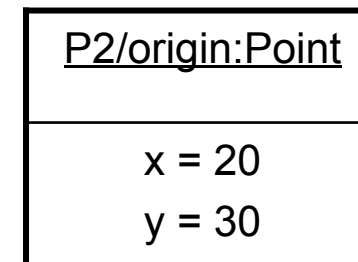
The bottom compartment contains a list of the fields and their values.



**named**



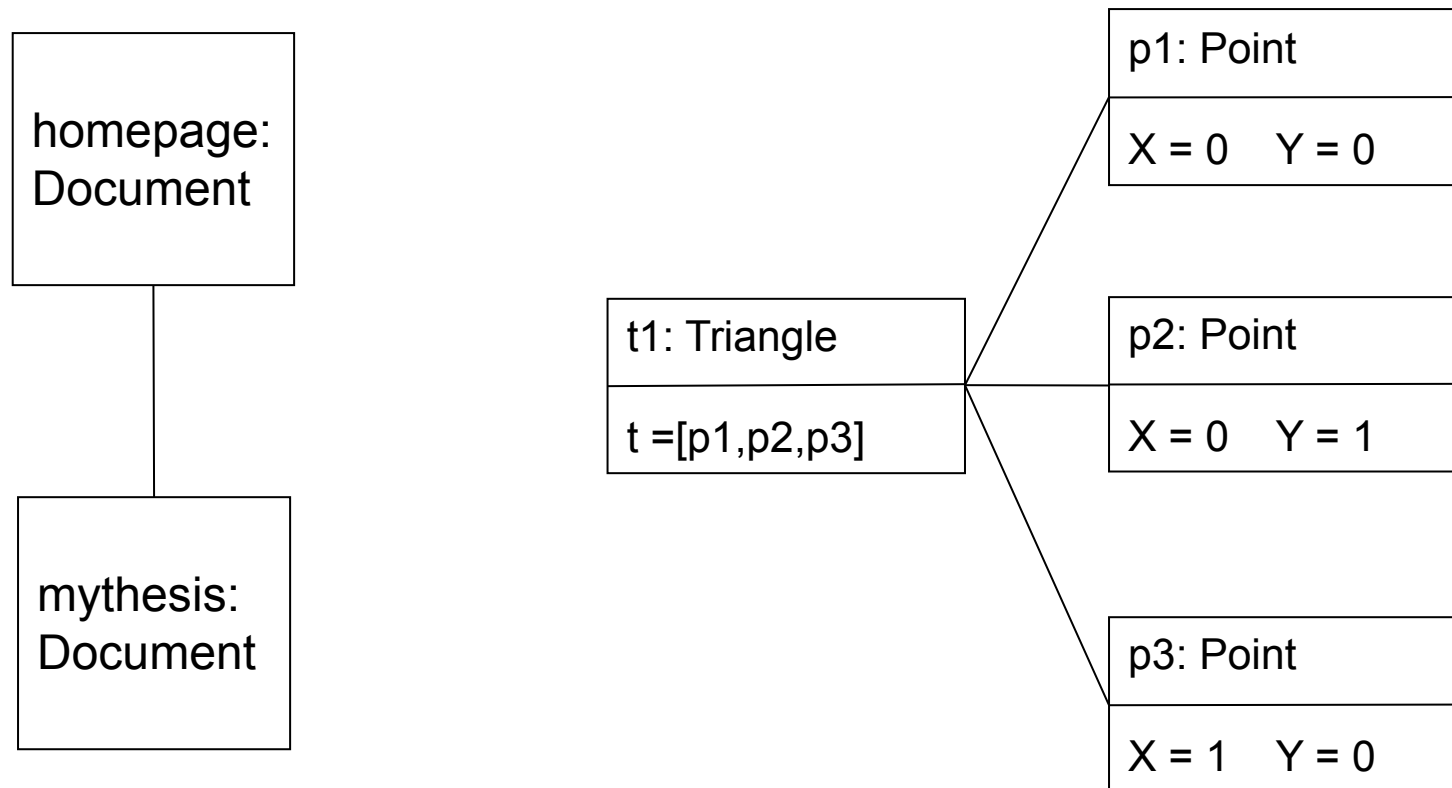
**anonymous**



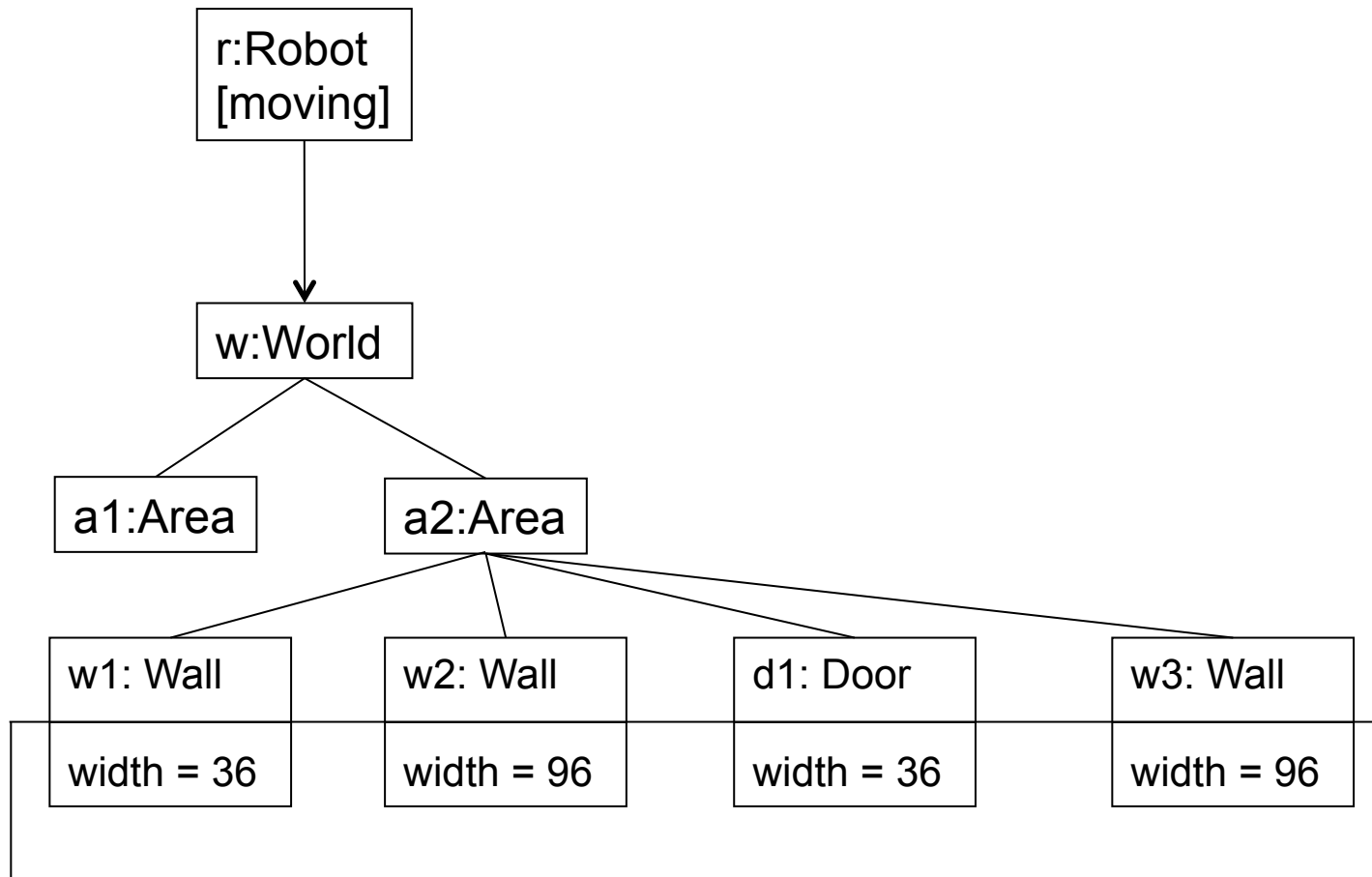
**named  
with role**



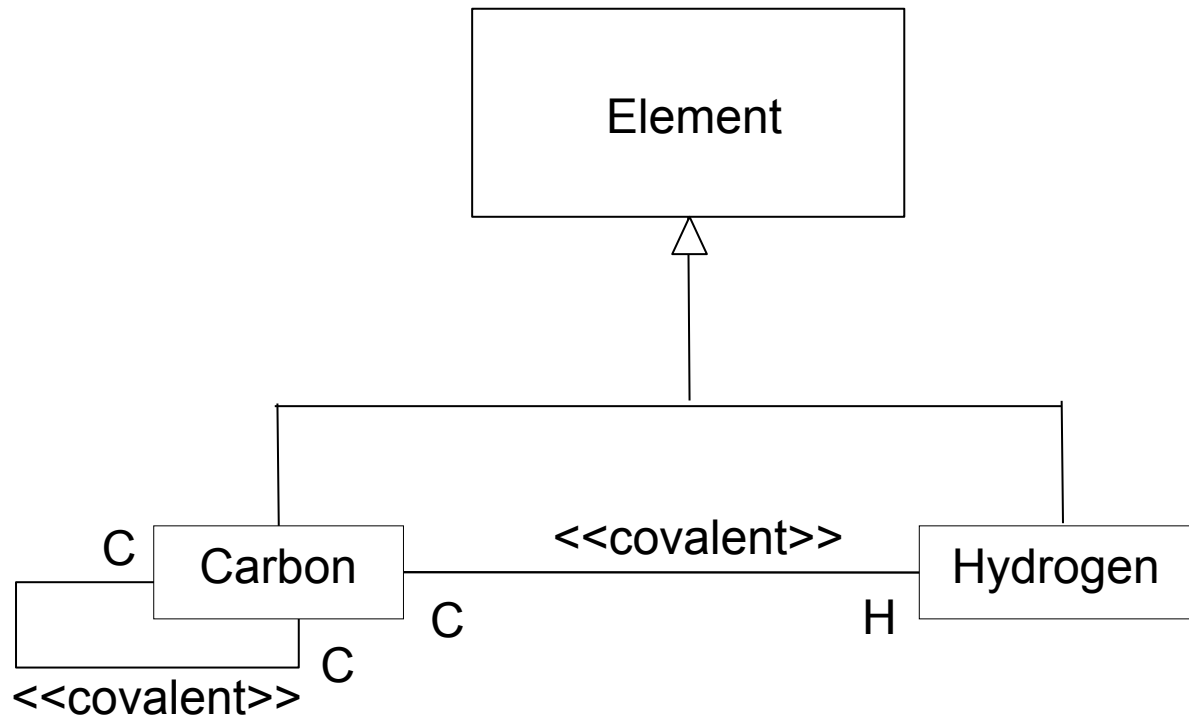
# Object diagrams: examples



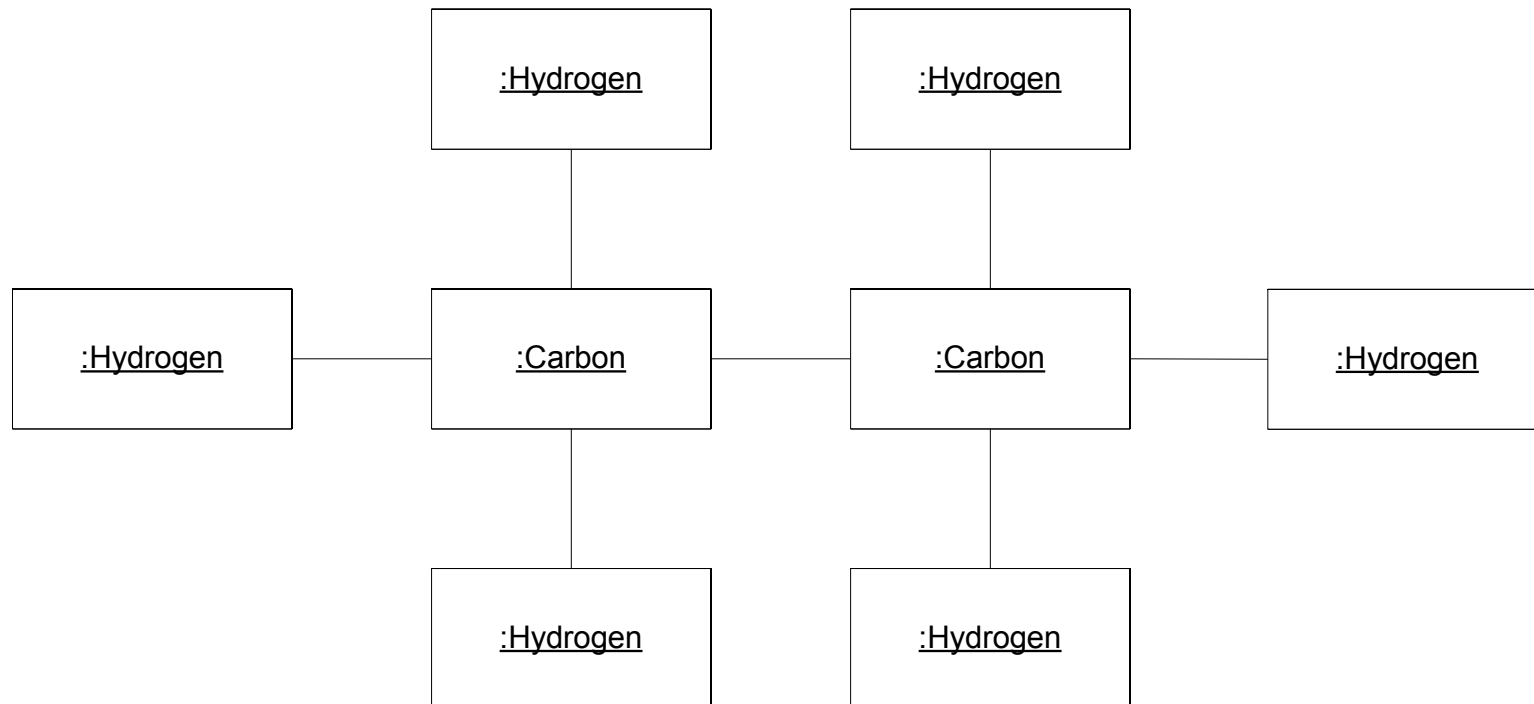
# Example (object diagram)



# Example: chemical elements (class diagram)



# Example: molecule (object diagram)



# Objects vs. Classes

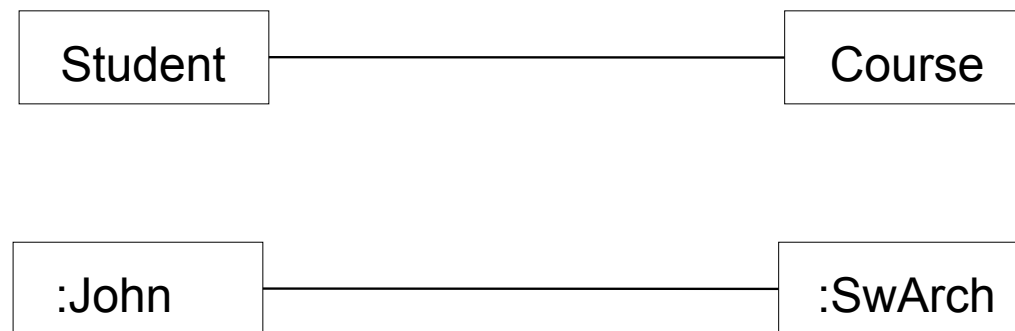
	Interpretation in the real world	Representation in the model
Object	An <i>object</i> is anything in the real world that can be distinctly identified	An <i>object</i> has an identity, a state, and a behavior
Class	A <i>class</i> is a set of objects with similar structure and behavior. These objects are called <i>instances</i> of the class	A <i>class</i> defines the structure of states and behaviors that are shared by all of its instances

# Object = Identity + State + Behavior

- Identity
  - Distinguishes an object from all other objects
- State
  - Consists of a set of *attributes* (or *fields*), which have names, types, and values
- Behavior
  - Defined by the set of *operations* (or *methods*) that may operate on the object
  - Each method has a name, a type, and a value, where
    - The **type** consists of the return type and the list of parameter types of the method, often called *signature*.
    - The **value** is the implementation of the method often expressed as a sequence of statements, in languages like Java and C++

# Association

- An **association** is a binary relationship between classes or objects
- Represented as a line between boxes

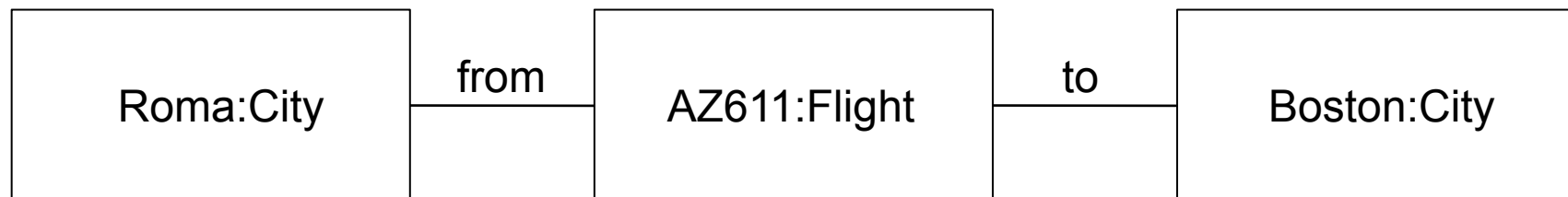


# Association and roles

- The simplest relationship among classes is the *association*
- An association represents a structural relationship that connects two classes
- It means that the two classes “know” each other: all objects in a class have some relationship with some object(s) in the other class
- An association can have a name, which usually describes its role

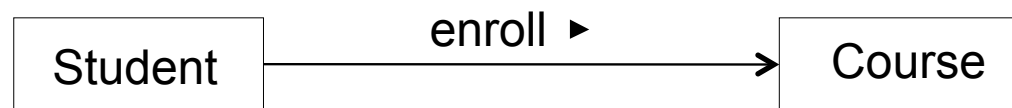


# Class diagrams denote systems of objects



# Association with direction

- An association may have an optional label consisting of a name and a direction arrow
- The direction arrow indicates the direction of association with respect to the name

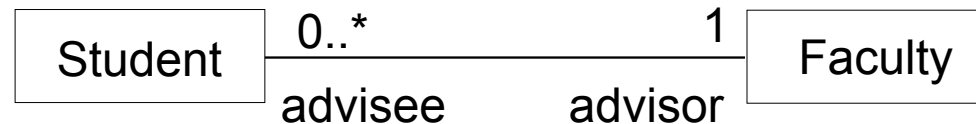


An arrow may be attached to the end of path to indicate that *navigation* is supported in that direction:

Student knows Course, Course does not know Student

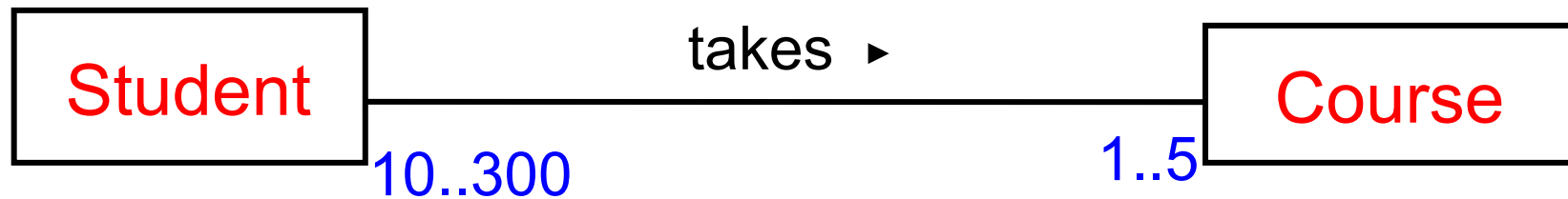
# Roles and multiplicity

- An association line may have a *role name* and a *multiplicity specification*
- The multiplicity specifies an integer interval, e.g.,
  - $l..u$  closed (inclusive) range of integers
  - $i$  singleton range
  - $0..*$  nonnegative integer, i.e., 0, 1, 2, ...



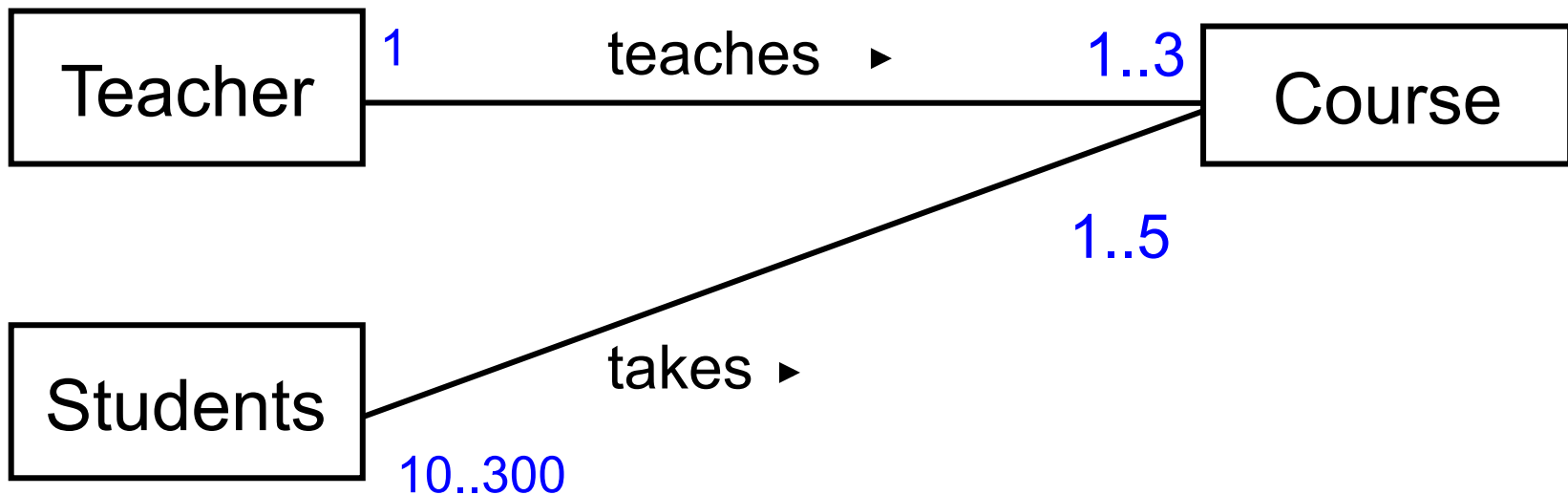
# Association example

- A **Student** can take up to **five Courses**
- Every Student has to be enrolled in at least **one** course
- Up to **300** students can enroll in a course
- A class should have **at least 10** students



# Example

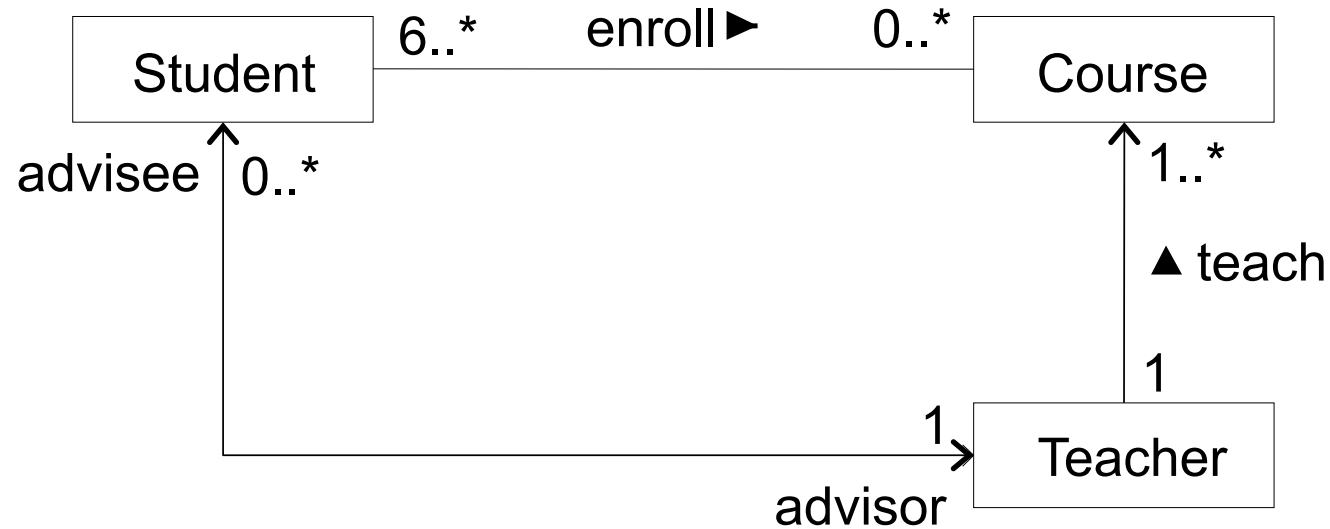
- A teacher teaches 1 to 3 courses
- Each course is taught by only one teacher
- A student can take between 1 to 5 courses
- A course can have 10 to 300 students



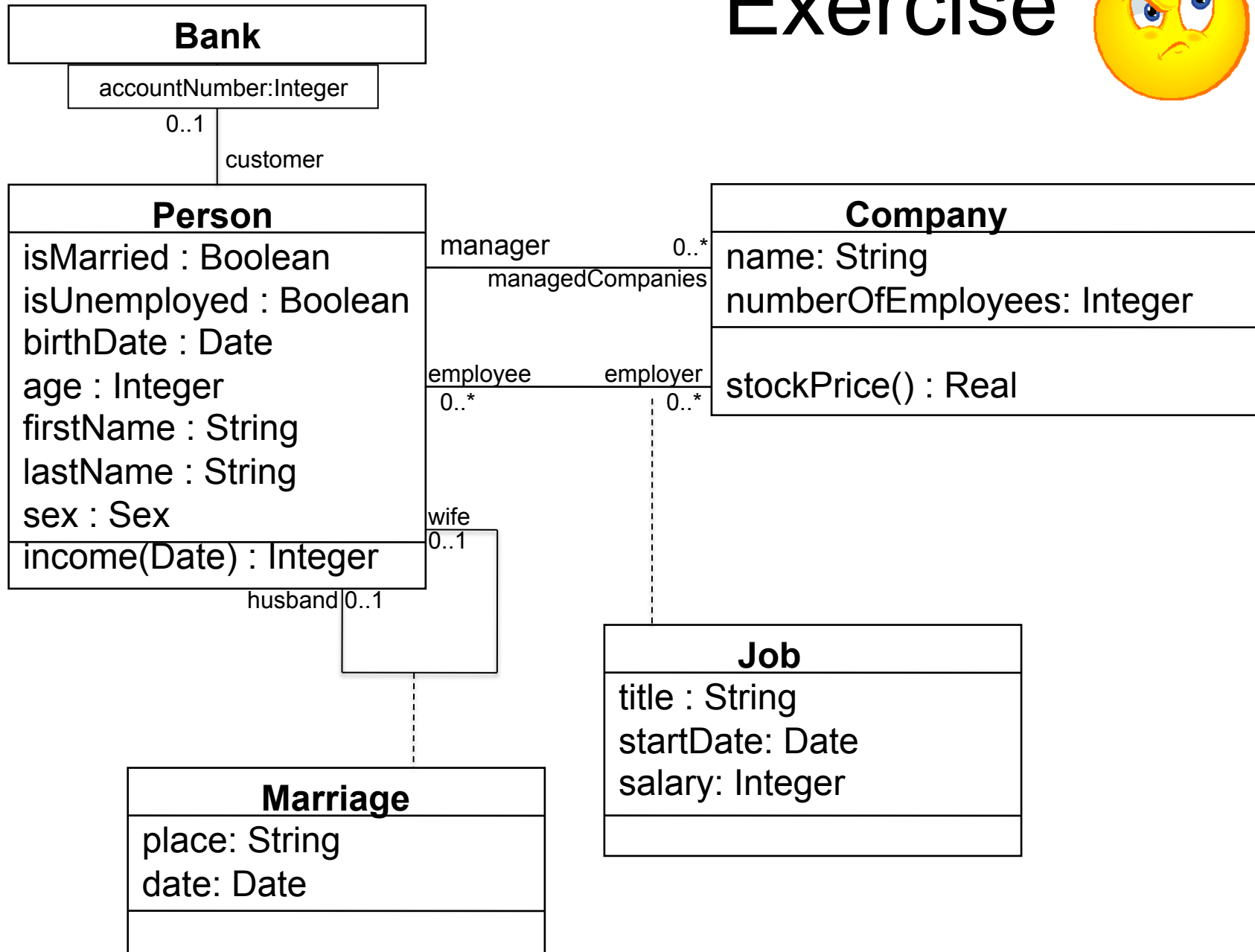
# Exercise



Explain the meaning of this diagram

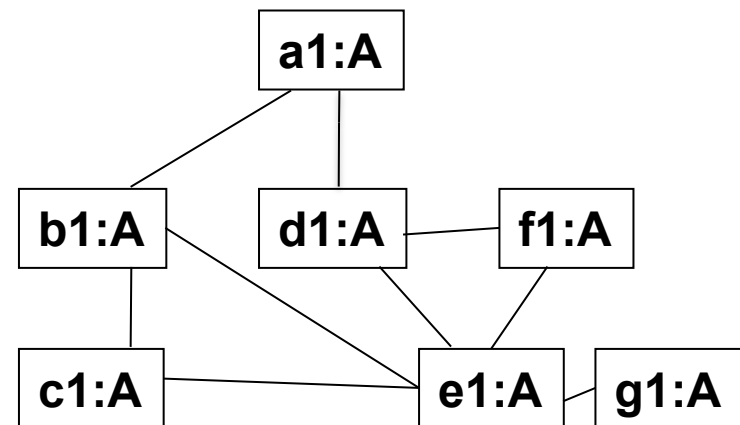
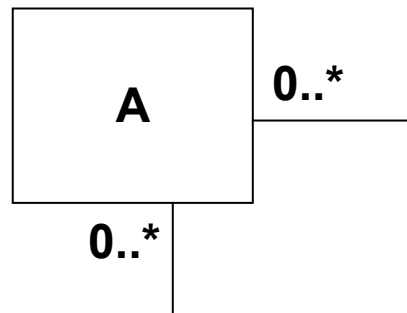


# Exercise



# Net data structures

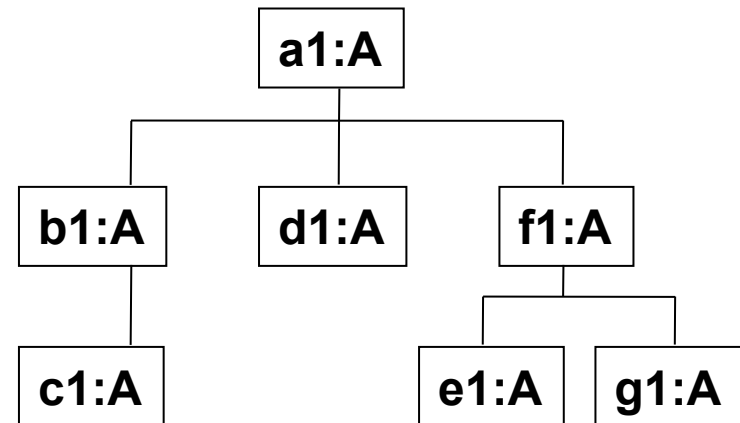
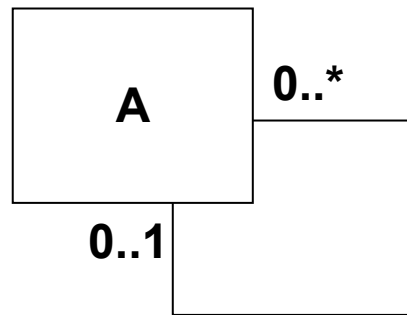
- How we can represent a net of objects from the same class?
- A class with an association to itself with both ends of the association marked with 0..\*





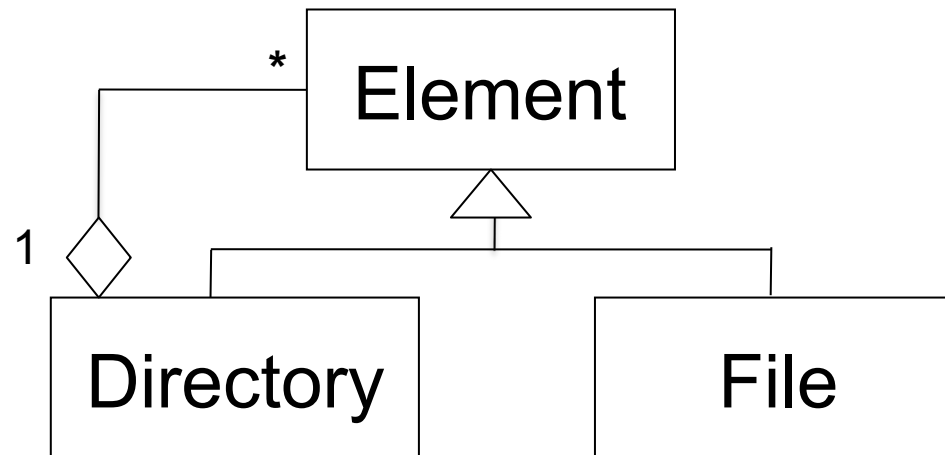
# Hierarchical data structures

- How we can represent a hierarchy of objects from the same class?
- A class with an association to itself with one end of the association marked with  $0..*$  (*children*) and the other as  $0..1$  (*parent*)



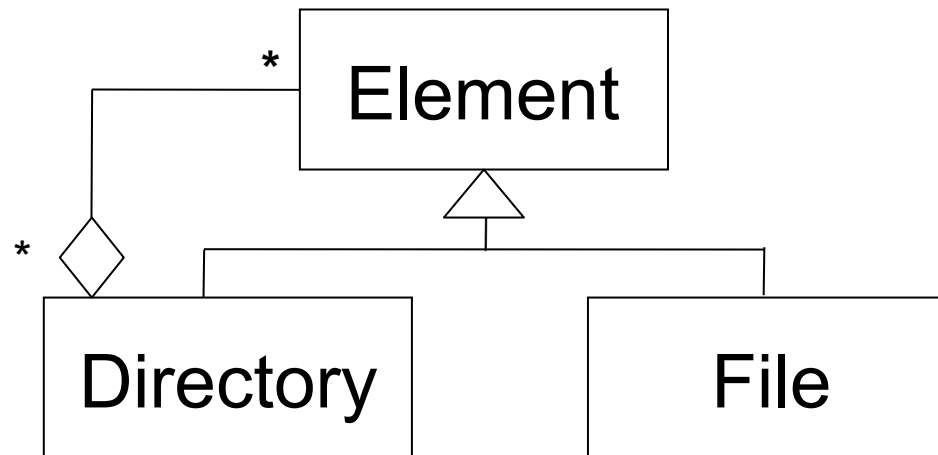
# Hierarchic file system

- A directory can contain any number of elements (either a file or a directory)
- Any element is part of exactly one directory



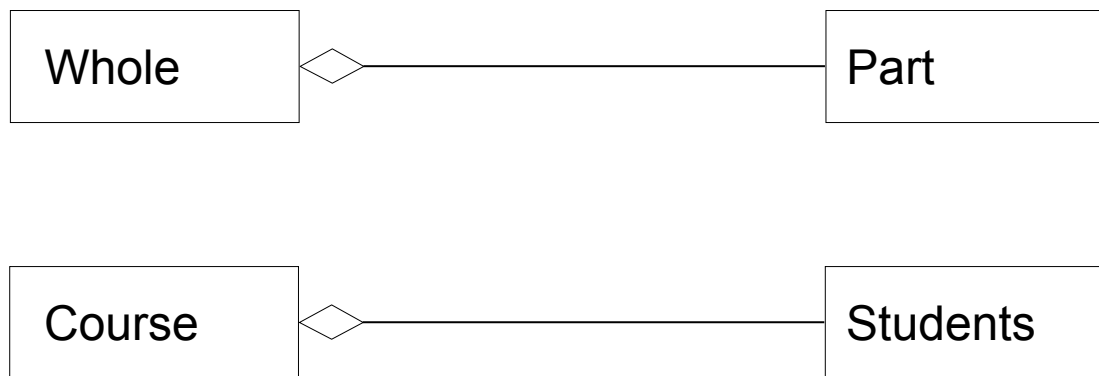
# Non-hierarchic file system

- A directory can contain any number of elements (either a file or a directory)
- An element can be part of many directories

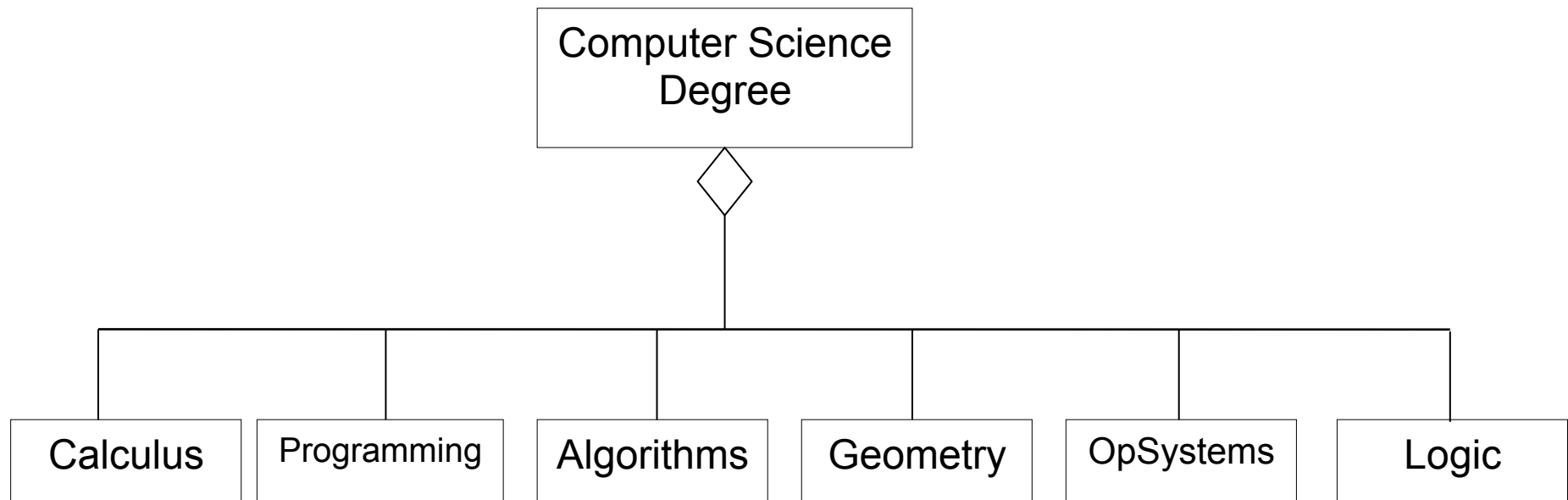


# Aggregation

- An *aggregation* is a special form of association representing *has-a* or *part-whole* relationship
- It distinguishes the whole (aggregate class) from its parts (component class)
- **WARNING:** an aggregation does not bind the parts' lifetime to the whole (they can exist separately)

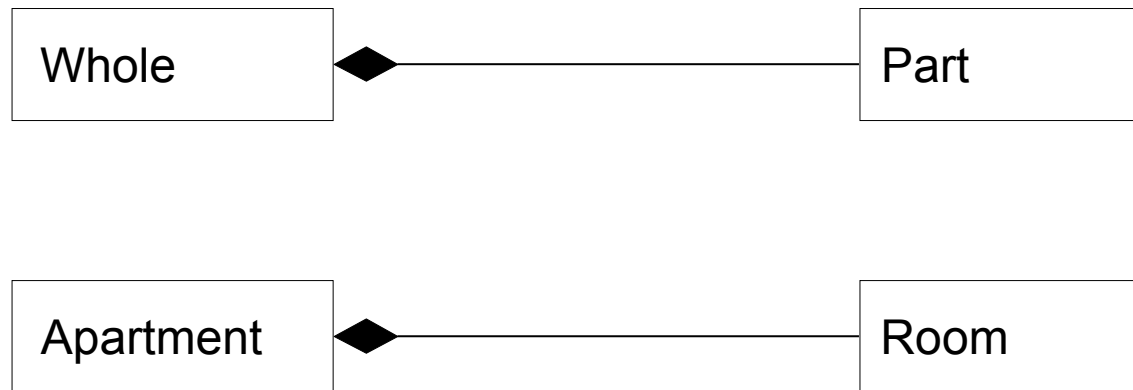


# Example of an aggregation hierarchy

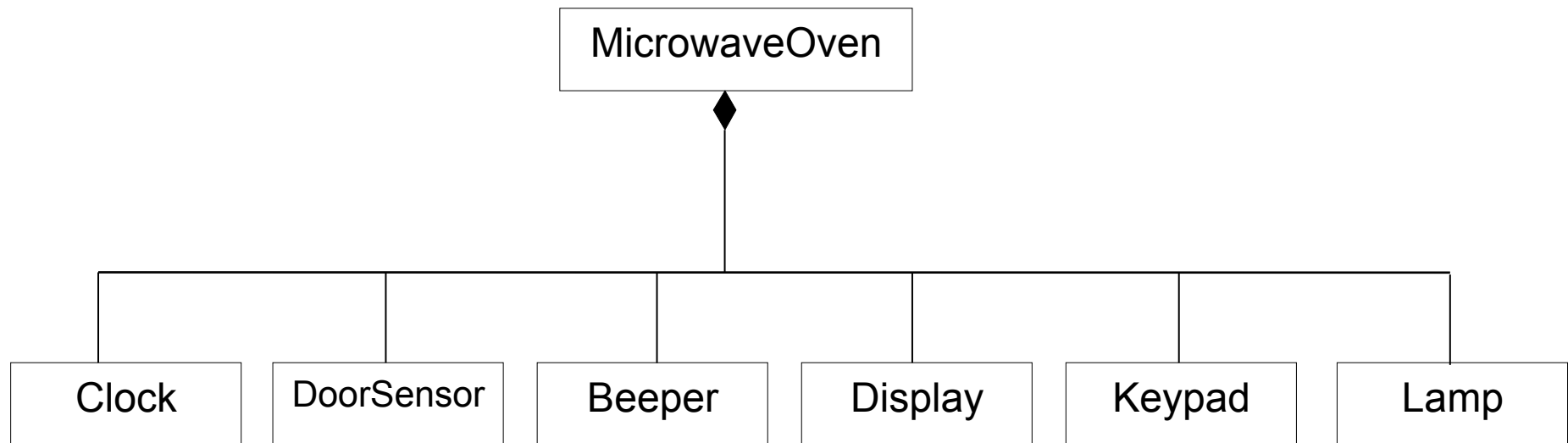


# Composition

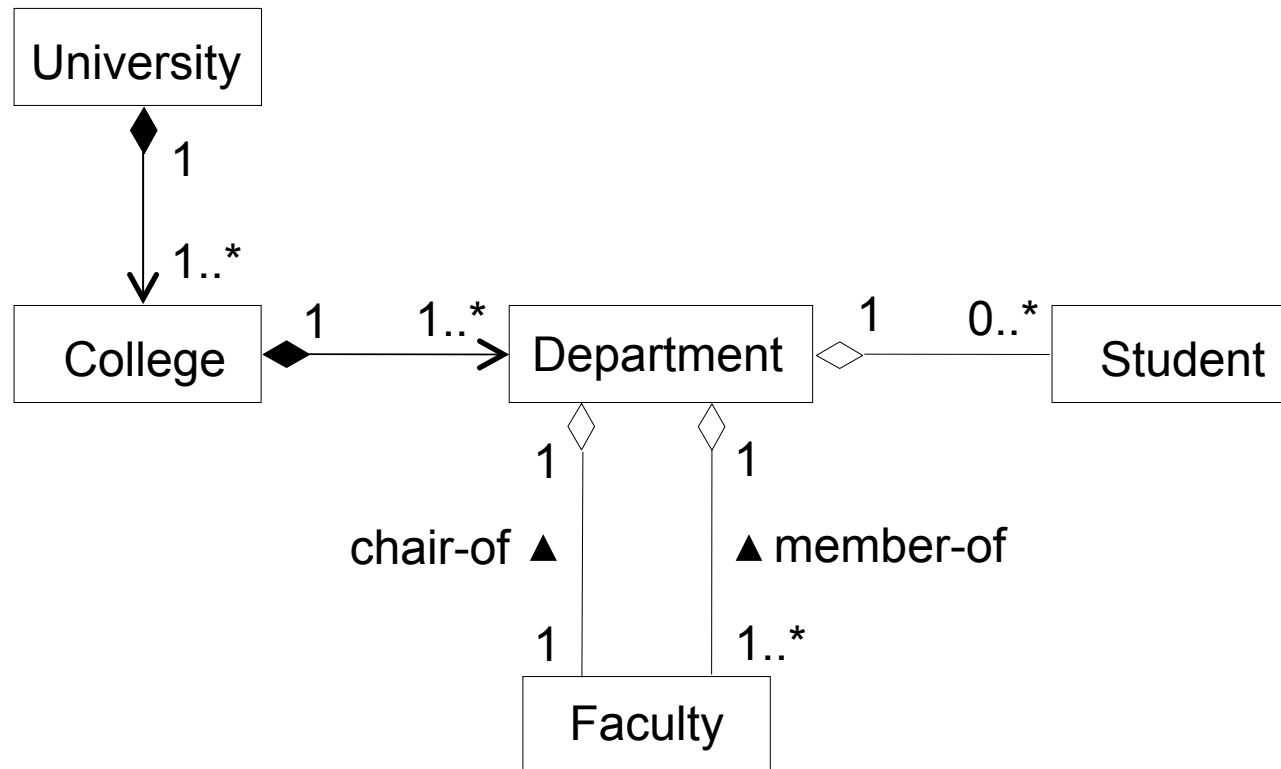
- A *composition* is a stronger form of aggregation
- It implies exclusive ownership of the component class by the aggregate class
- The lifetime of the parts is entirely included in the lifetime of the whole (a part can not exist without its whole)



# Example of a composition hierarchy

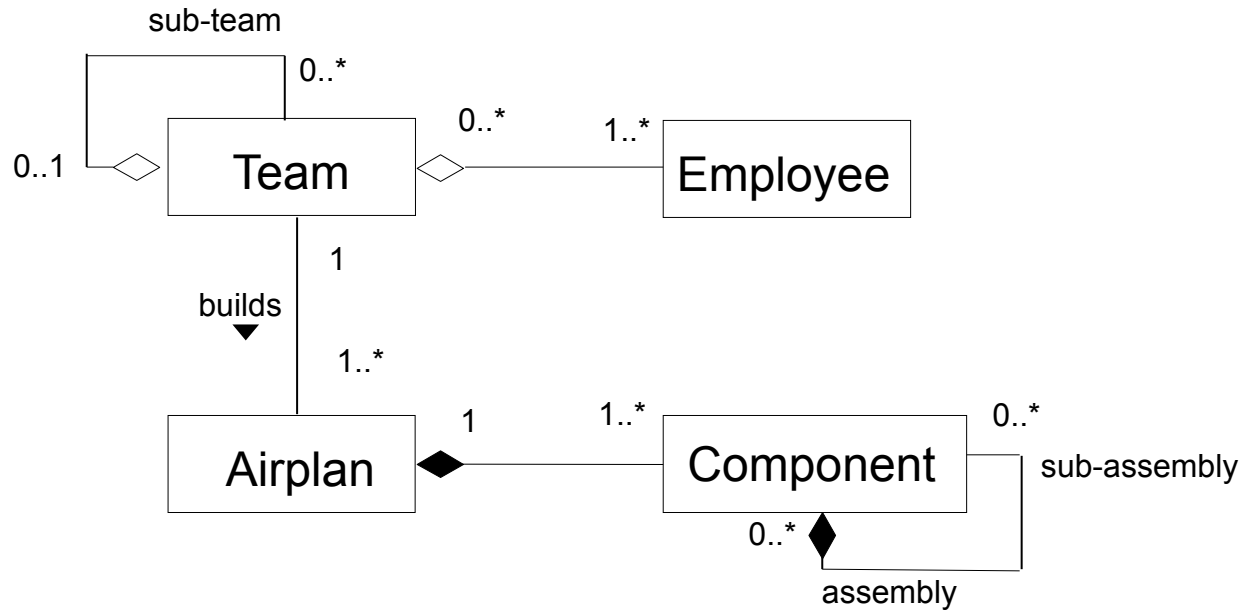


# Example

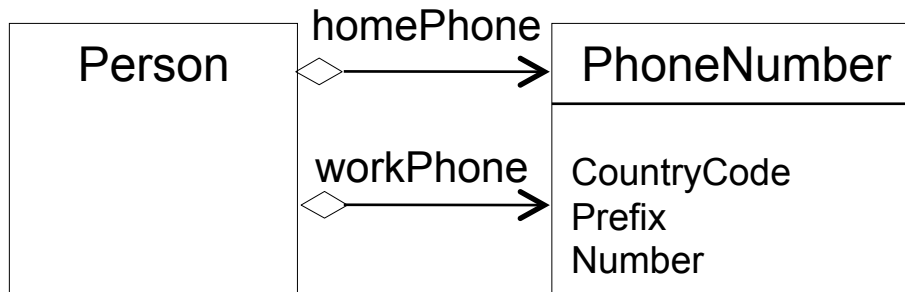




# Example

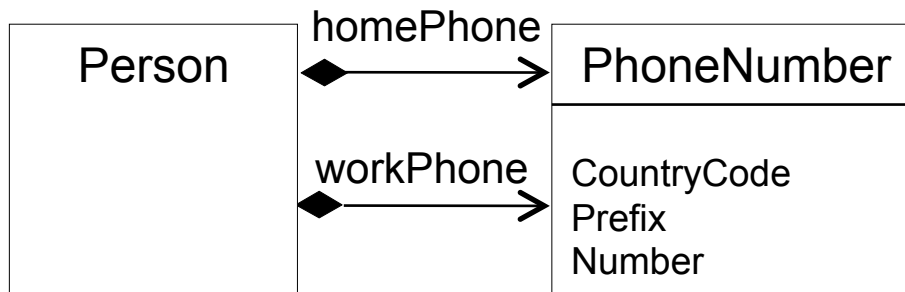


# Aggregation vs composition



## Aggregation:

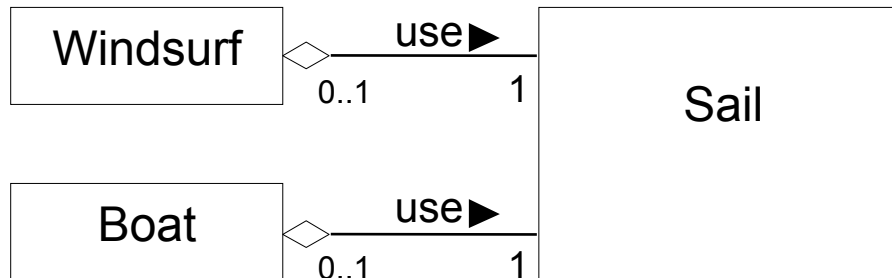
A Person **uses** two phone numbers;  
Numbers are independent from  
Persons



## Composition:

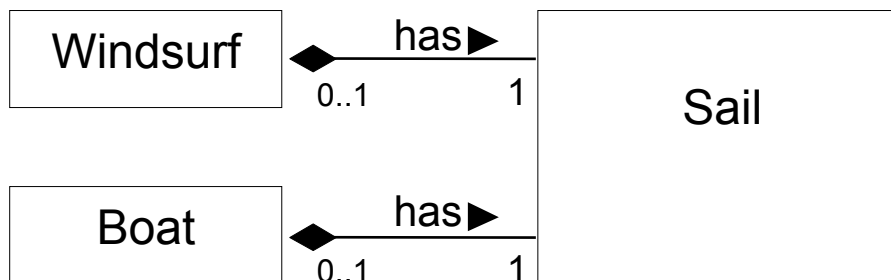
A Person **has** two phone numbers;  
Numbers are dependent from  
Persons

# Aggregation vs composition



## Aggregation:

Windsurfs and boats **use** sails.  
The same sail can be used  
in a Windsurf or in a Boat



## Composition:

Here windsurfs and  
boats **have** each their  
own sails which are  
destroyed when the  
“container” is  
destroyed

# Exercise



aggregation or composition ?

- Building and rooms
- Course and lessons
- TV channel and programs
- Parliament and members
- Sky and stars
- Country and cities
- City and buildings
- Wood and trees

# Example

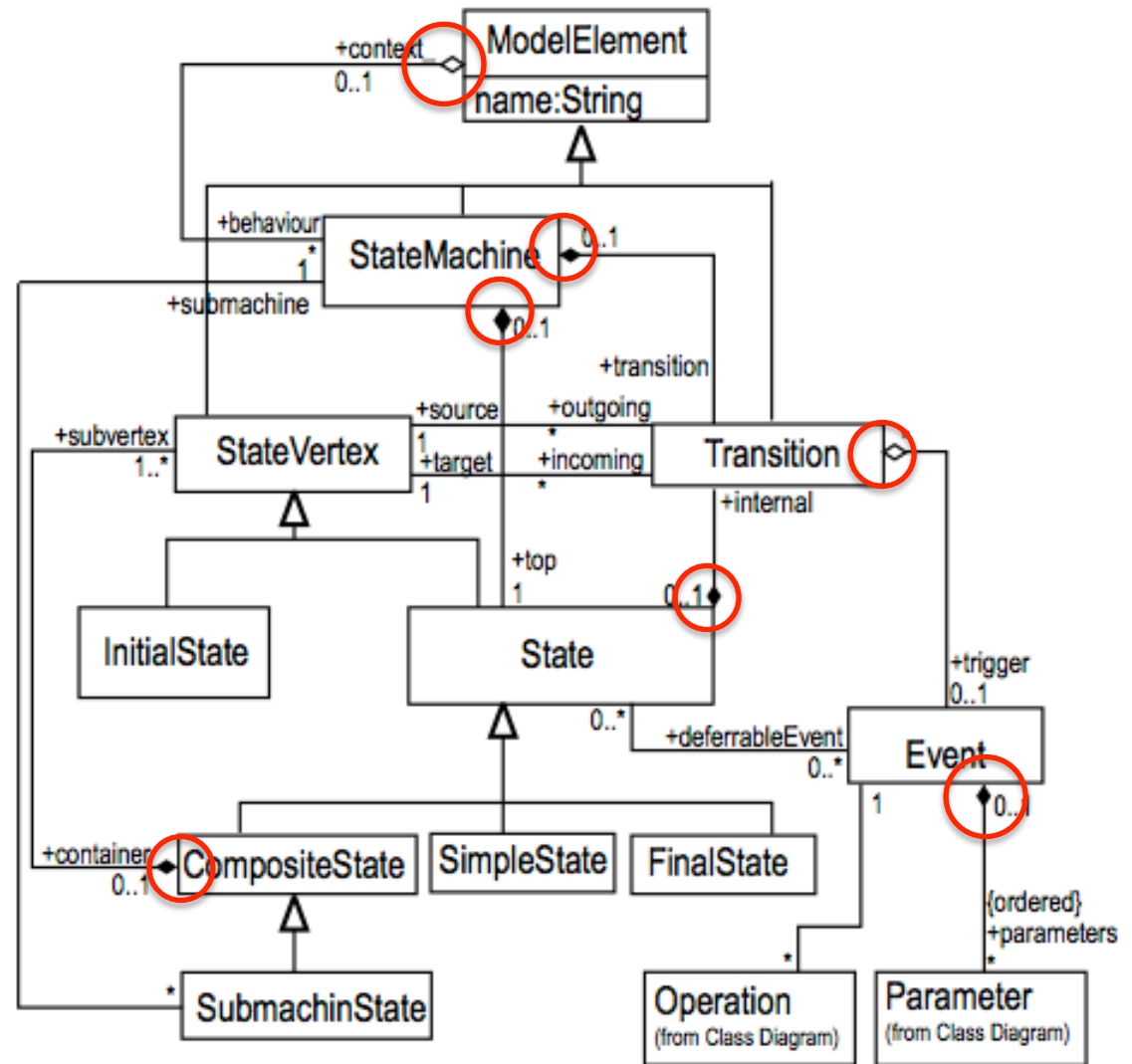


Figure 4. A fragment of the Statechart diagram metamodel (excerpt from the UML standard v1.4)

# Exercise

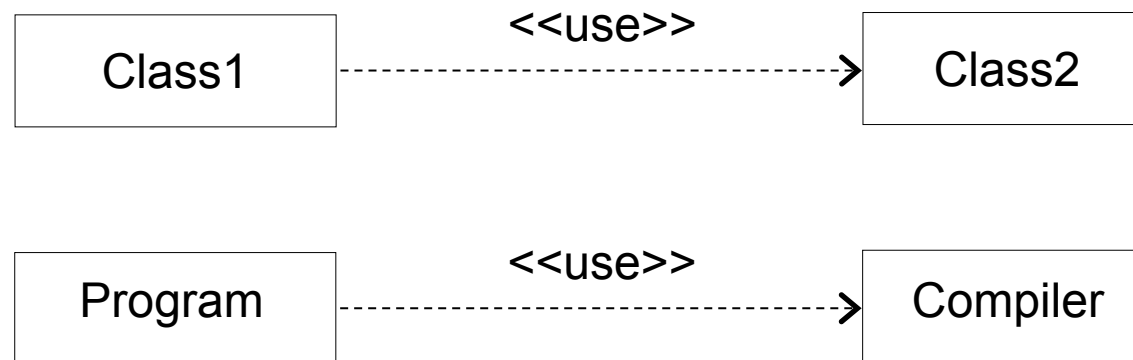


Imagine some aggregation or composition relationships among the following classes and draw a corresponding class diagram

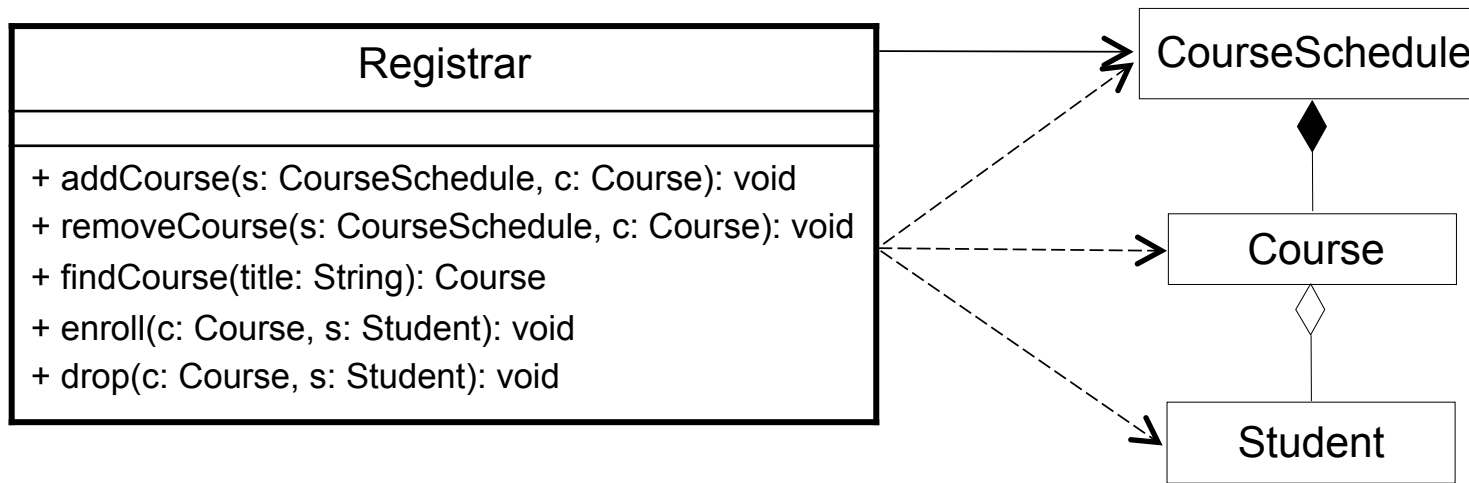
- Employee
- Manager
- Office
- Department

# Dependency

- A dependency of A from B is a relationship between two entities A and B such that the proper operation of A depends on the presence of B, and changes in latter would affect the former
- Example: a common form of dependency is the *use* relation among classes



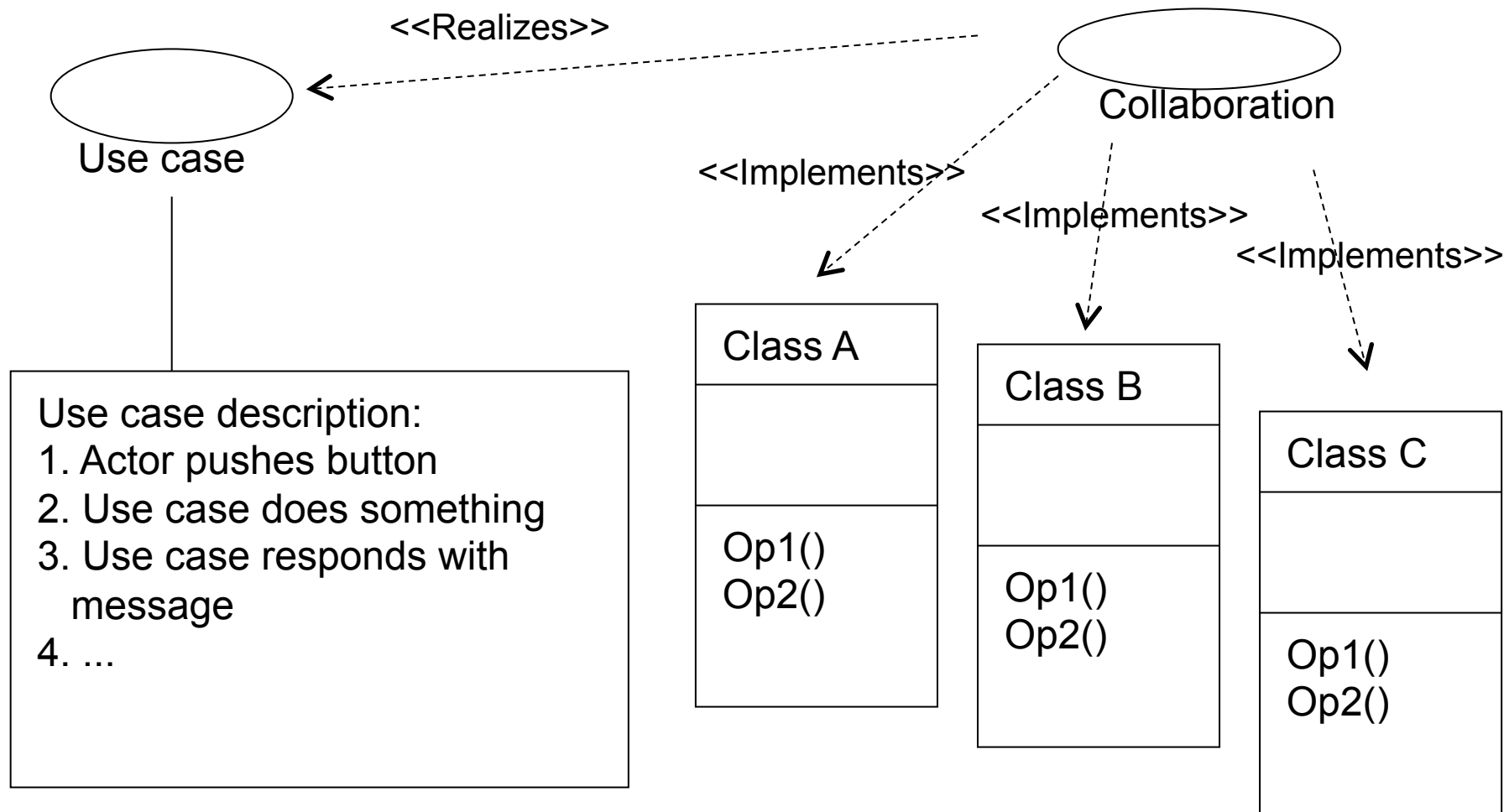
# Example: dependencies in a class diagram



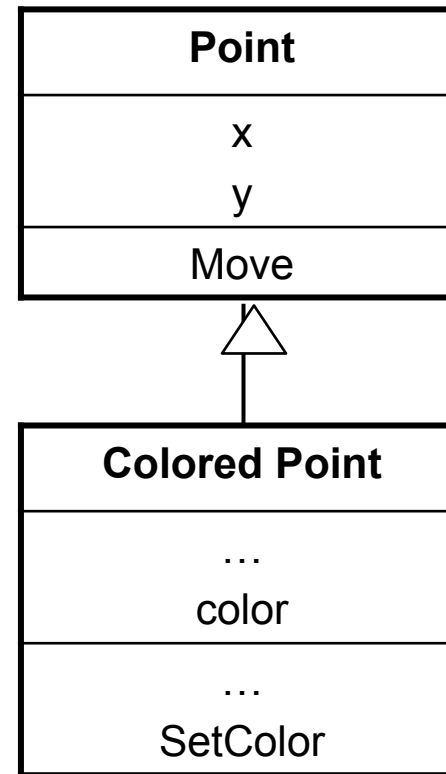
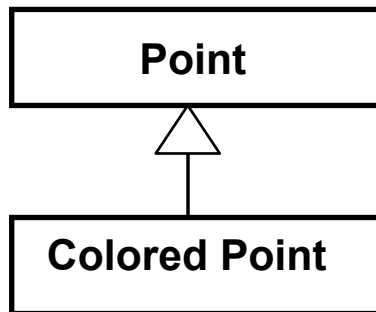
Dependencies are often omitted from a class diagram unless they convey some significant information



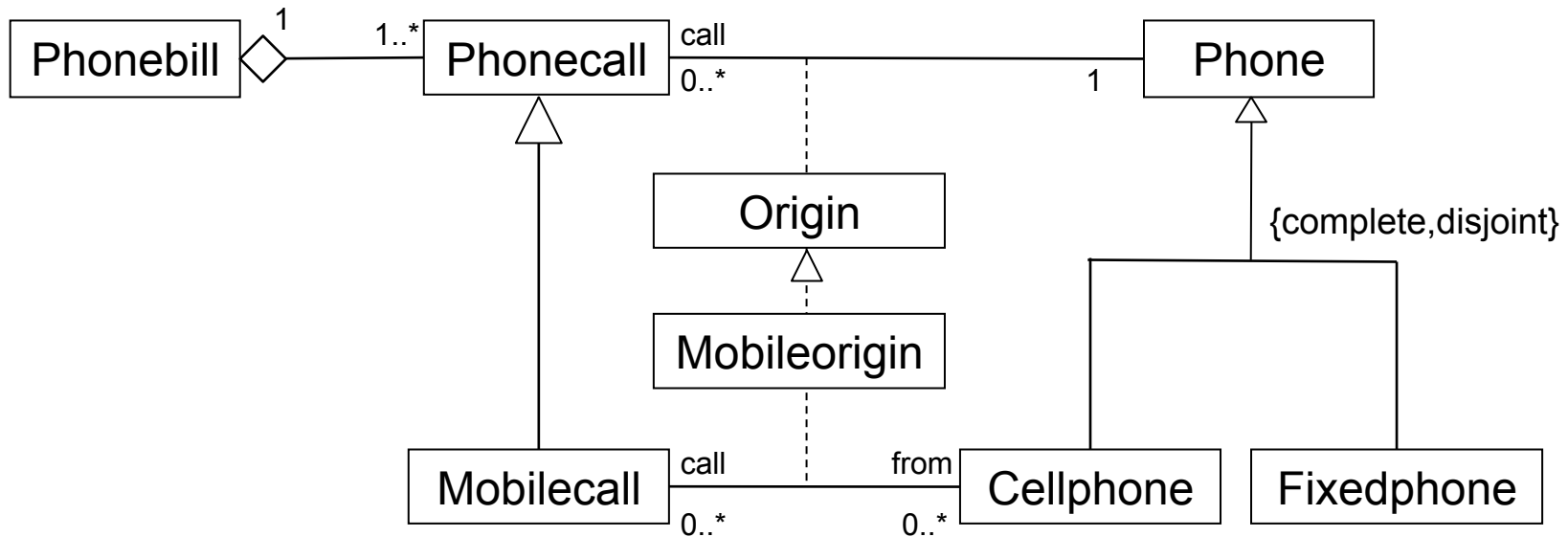
# Example: realizing a use case



# Class and superclass



# Example

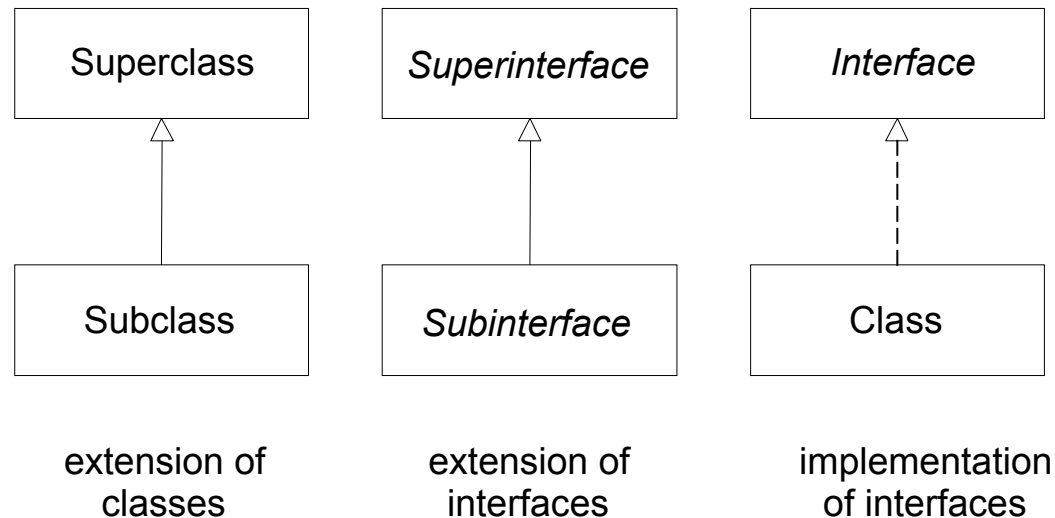


# Inheritance

- Key relationship in object modeling
- Inheritance defines a relationship “IS\_A” among classes or interfaces
- In UML there are three kinds of inheritance
  - *extension* relation between two classes (*subclass* and *superclass*)
  - *extension* relation between two interfaces (*subinterface* and *superinterface*)
  - *implementation* relation between a class and an interface

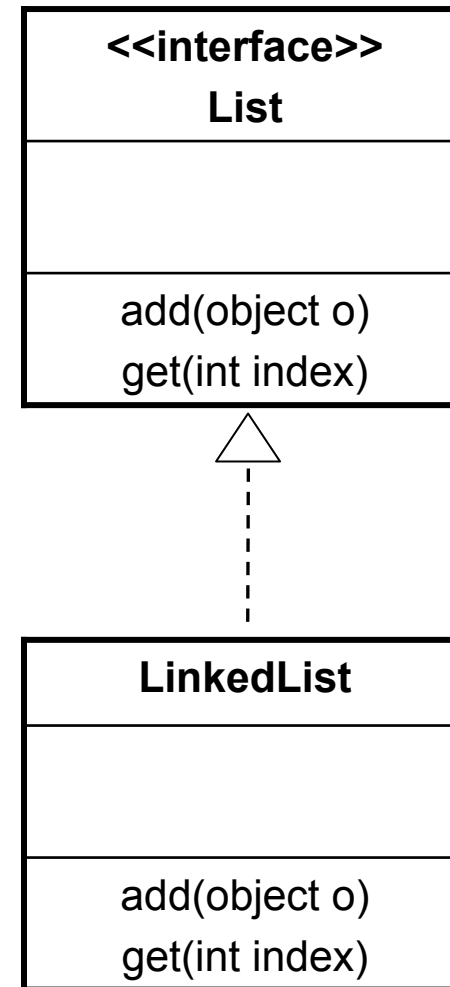
# Three kinds of inheritance

- An extension relation is called *specialization* and *generalization*
- An implementation relation is called *realization*

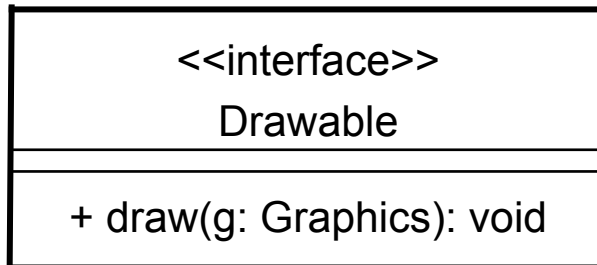


# Interface

- Interfaces are like classes, but have no “implementation”
- A class implementing an interface has a special inheritance arrow toward the interface
- LinkedList implements List



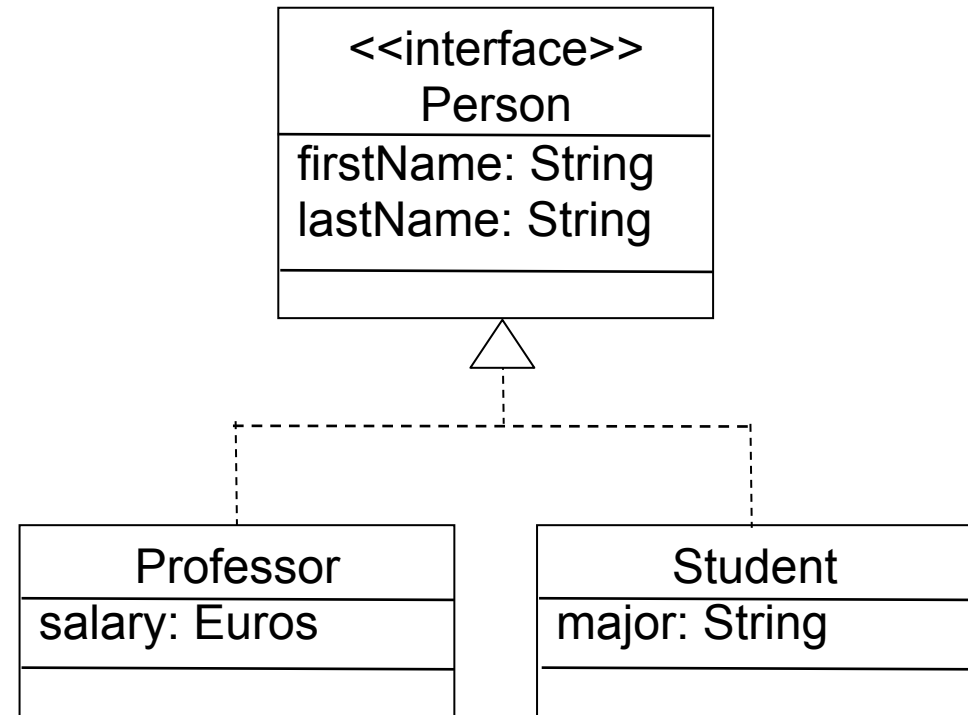
# Interfaces in Java



```
interface Drawable {
    void draw(Graphics g);
}
```

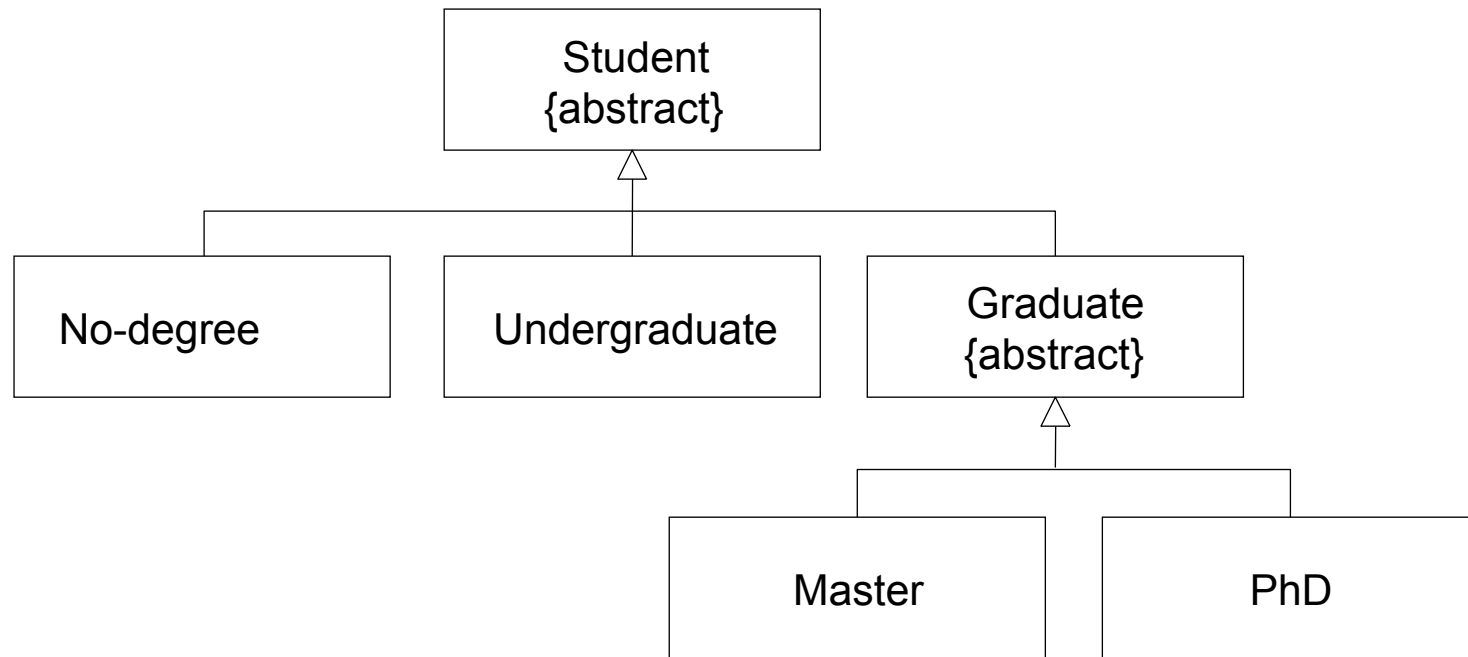
# Interfaces

- A class and an interface differ: a class can have an actual instance of its type (but it can also have zero instances), whereas an interface must have at least one class to implement it
- **Example:** both the Professor and Student classes implement the Person interface

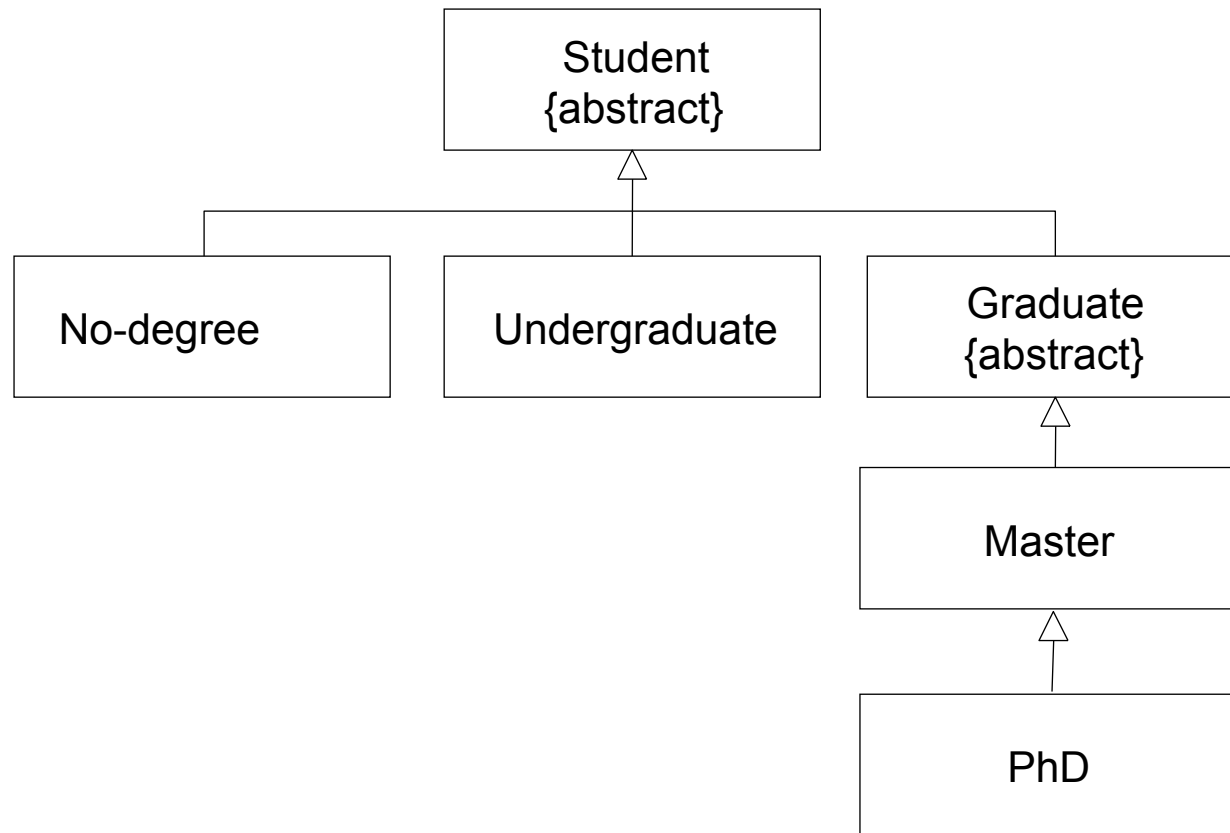




# Example



# Example

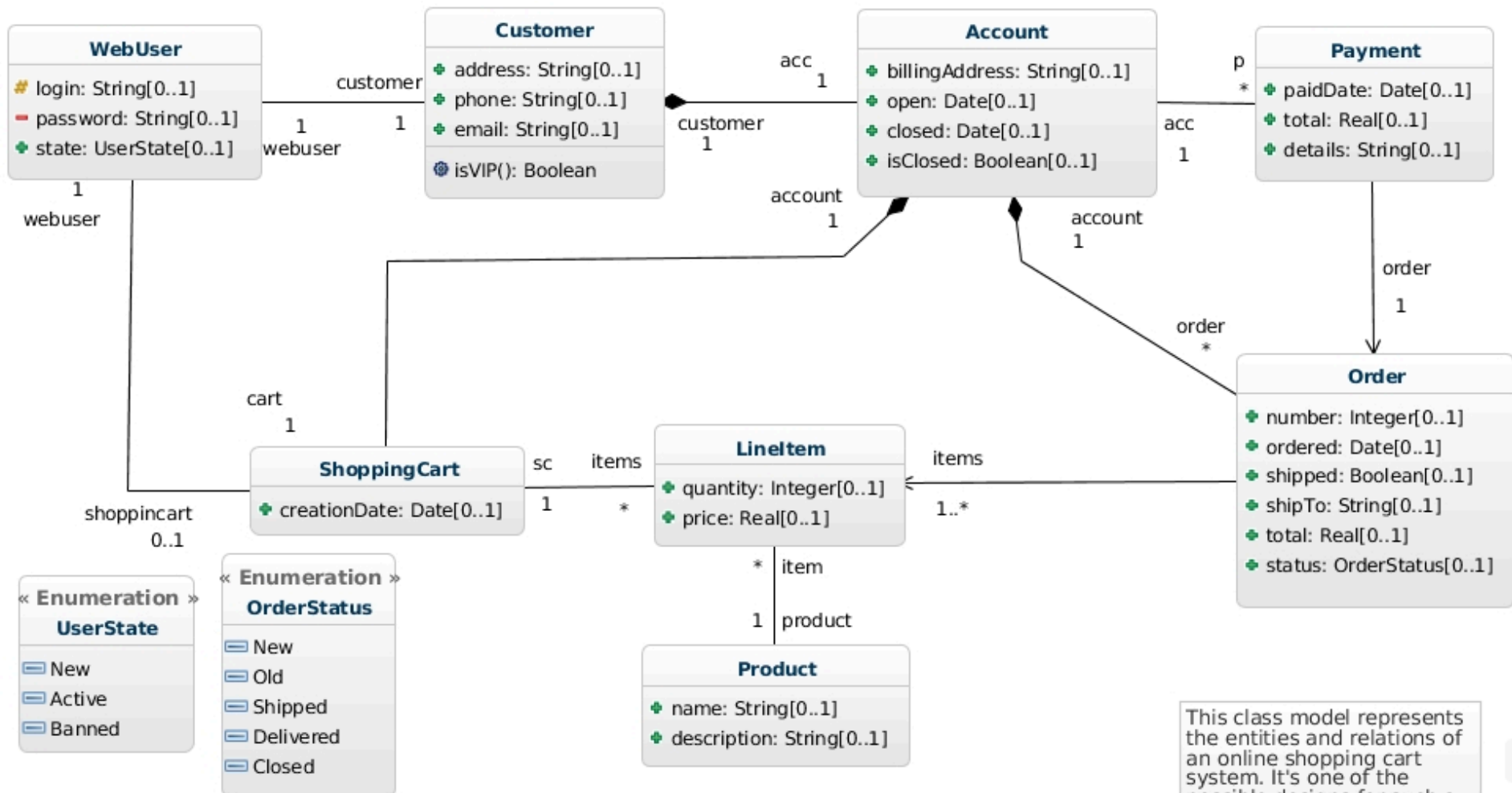


# Exercise



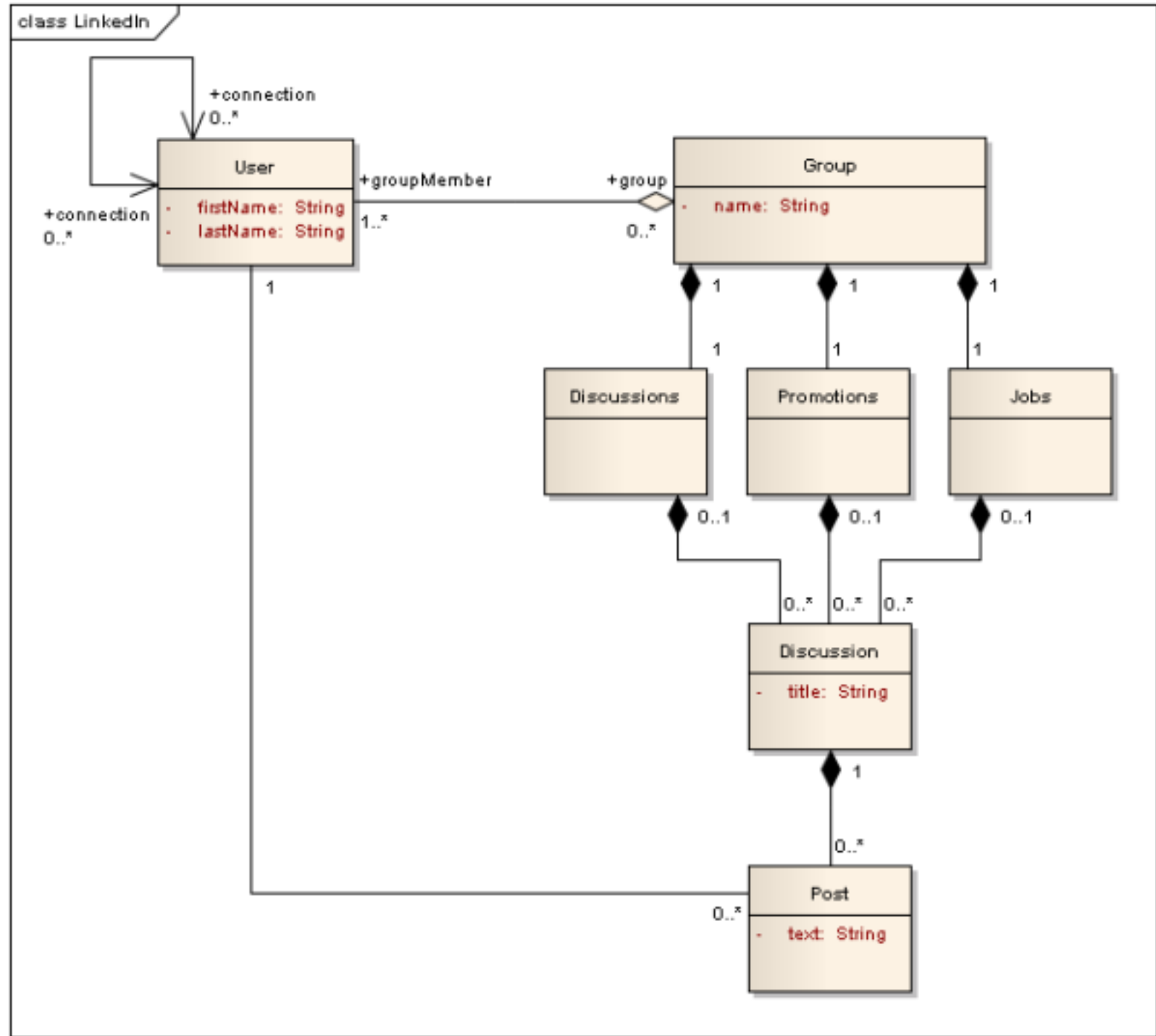
- Draw a class diagram showing inheritance relationships among classes Person, Employee, and Manager
- Draw a class diagram showing inheritance relationships among classes Person, Student, Professor, and Assistant

# Real example: online shopping cart



This class model represents the entities and relations of an online shopping cart system. It's one of the possible designs for such a system. Fork it to generate

Real example:  
LinkedIn



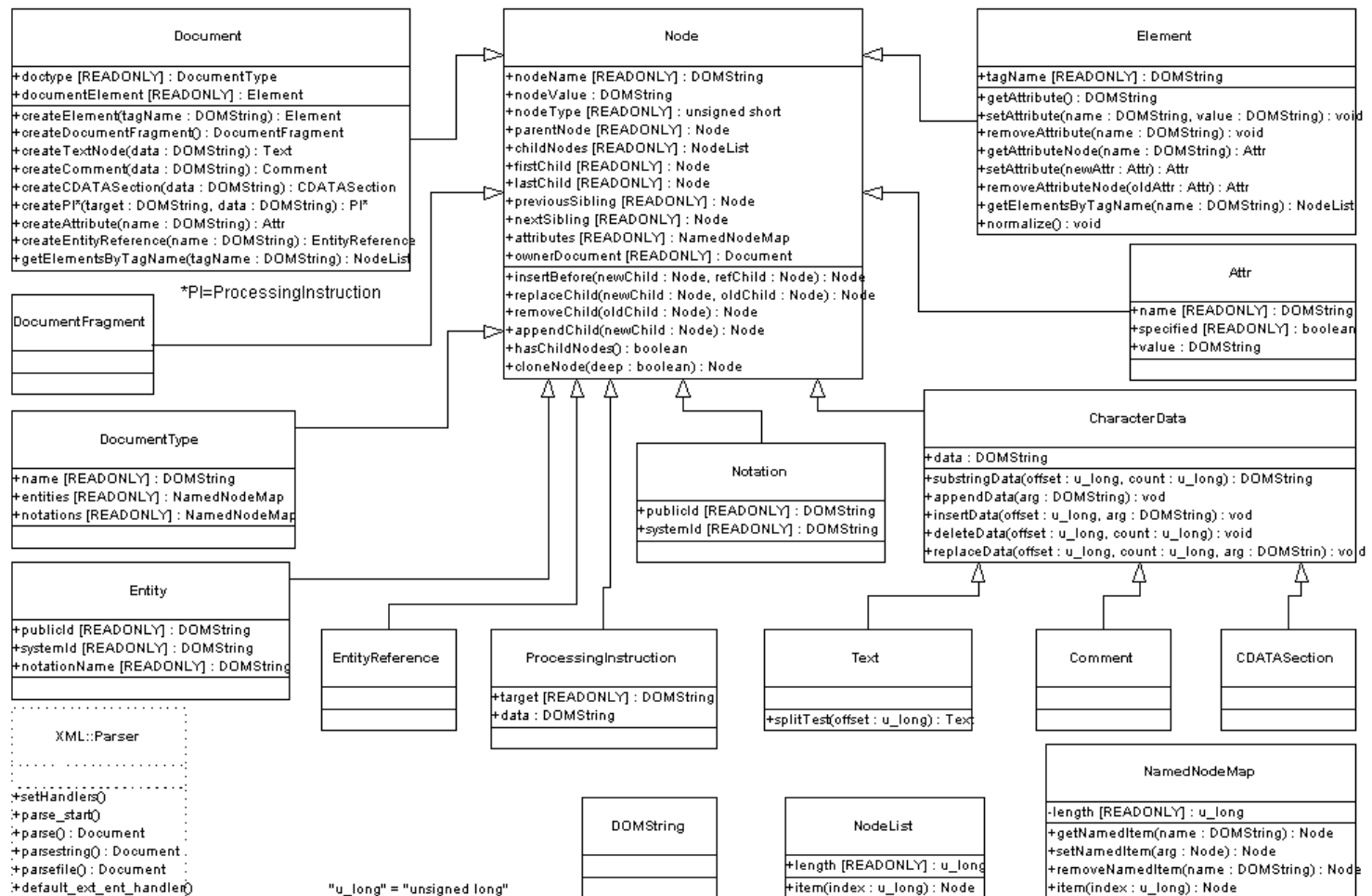


# Real example: DOM

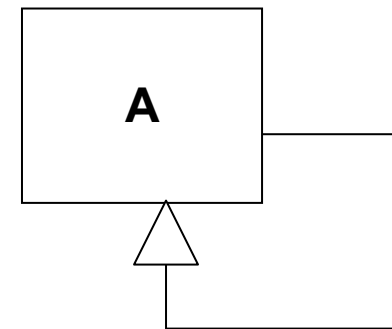
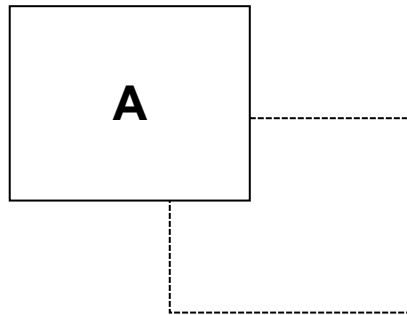
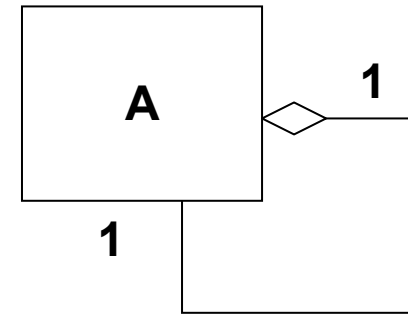
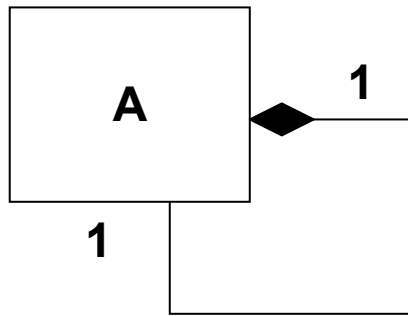
## Logical View

### DOM (Core) Level One

Invoke "get *Name*" to read instance variable *name* when using XML::DOM or XML4J



# Strange examples





# Behavior diagrams



G. Balla: Dinamismo di cane al guinzaglio (1912)



M. Duchamp: Nude descending a staircase (1912)

# Modeling Behavior

- Statechart diagram
  - Depicts the flow of control inside an object using **states** and **transitions** (finite state machines)
- Activity diagram
  - Describes the **control flow** among objects by actions organized in workflows (Petri Nets)
- Sequence diagram
  - Depicts objects' interaction by highlighting the **time ordering** of method invocations
- Communication (collaboration) diagram
  - Depicts the **message flows** among objects

OBJECTS'  
DYNAMICS

OBJECTS'  
INTERACTION

# Behavioral elements

- ❑ Verbs in the requirements
- ❑ Dynamic parts of UML models: “behavior over time”
- ❑ Usually connected to structural elements

Two primary kinds of behavioral elements:

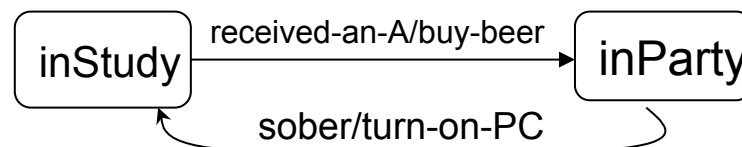
- ❑ **Interaction**

a set of objects exchanging messages, to accomplish a specific purpose.

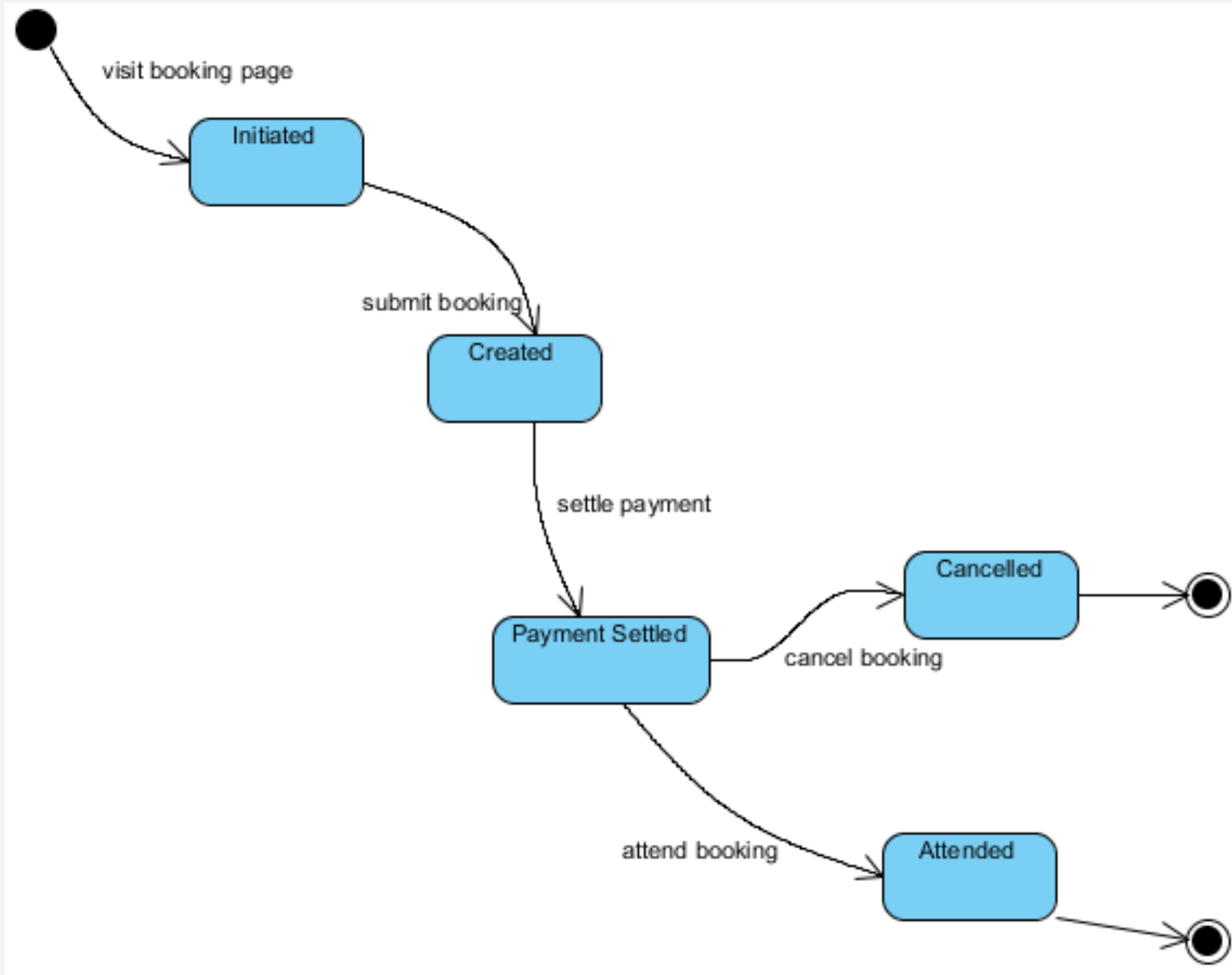


- ❑ **State Machine**

specifies the sequence of states an object goes through during its lifetime in response to events

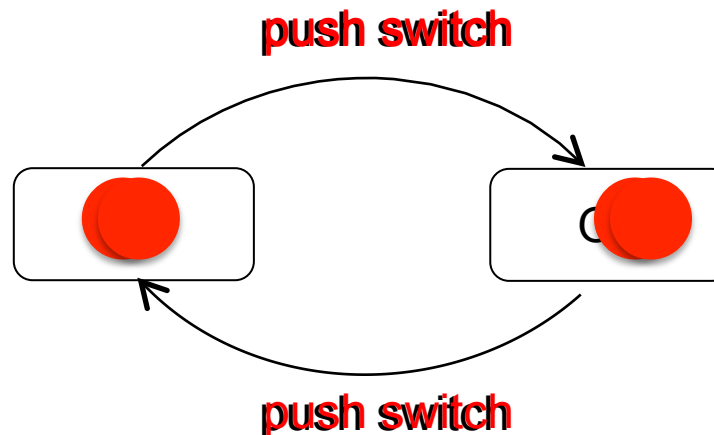


# State diagram: booking object

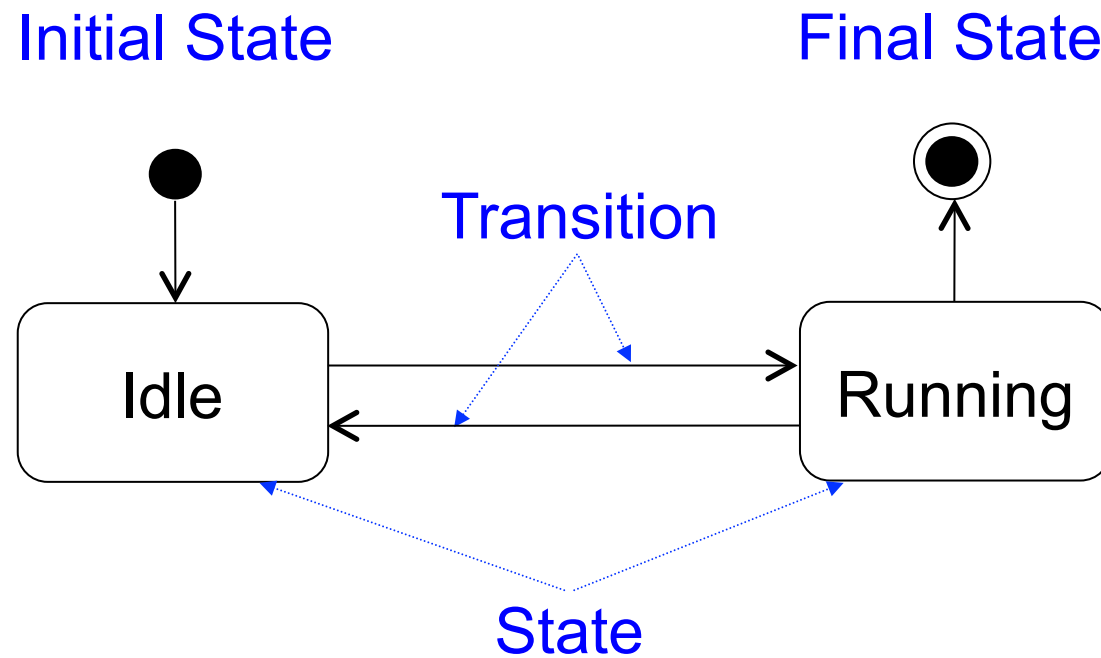


# State diagram

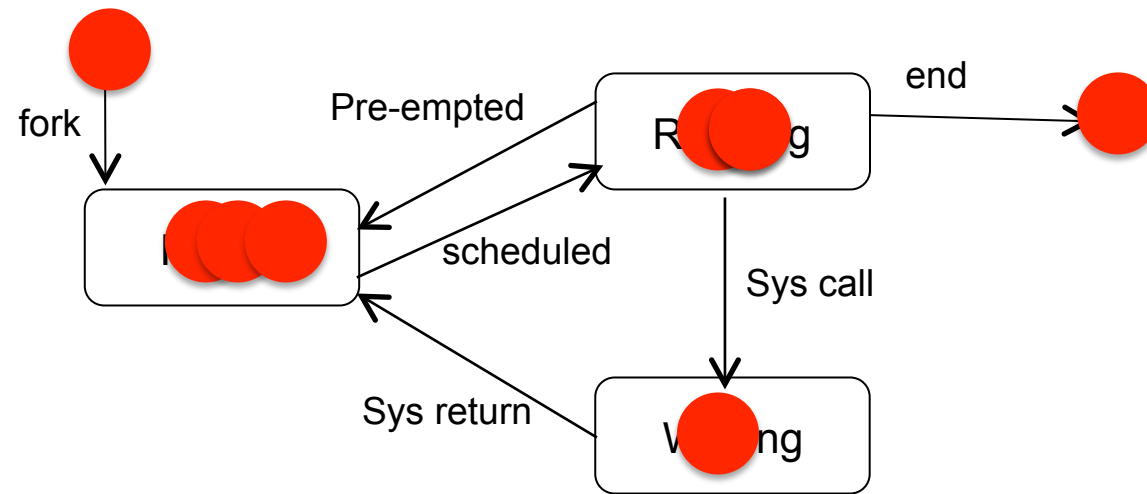
- A state diagram represents the behavior of an object
- Graph: net of states (nodes) and transitions (arrows)
- Graph representing a **finite state machine**
- Useful for modeling a reactive (event-driven) system
- Animation by “*token game*”



# State diagram: elements



# Example: Unix process



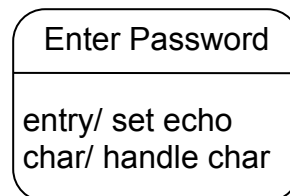
# State

- Situation in the life of an object (or system) during which it:
  - Satisfies some condition,
  - Performs some activity, or
  - Waits for some events
- Set of values of properties that affect the behavior of the object (or system)
  - Determines the response to an event,
  - Thus, different states may produce different responses to the same event

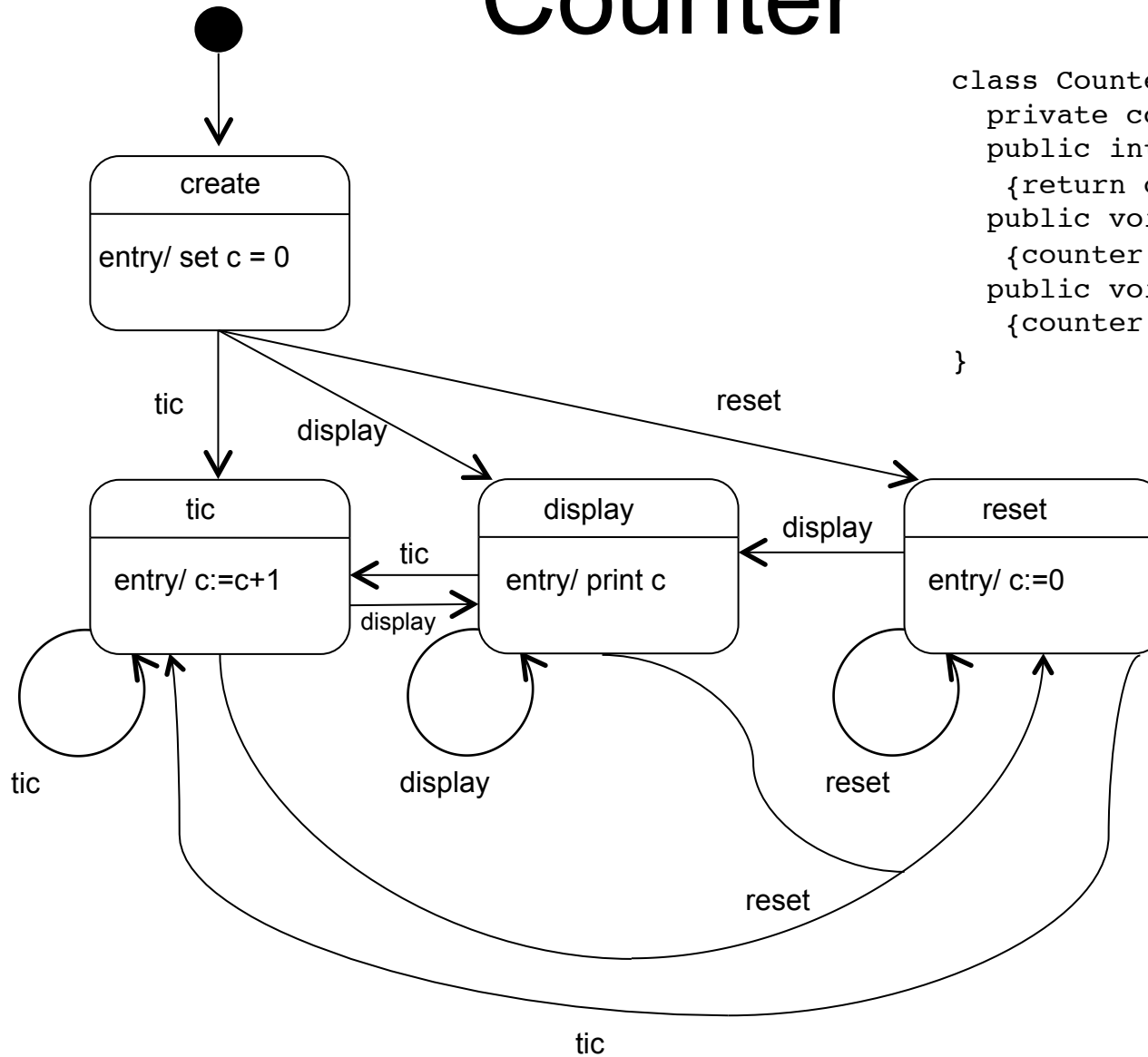


# State in a state diagram

- States are rounded rectangles with at least one section
  - Mandatory field: name
- optional: list of internal actions (with optional guards)
  - format: event-name argument-list | [ guard condition ] / action-expression
  - special actions: 'entry/' and 'exit/' (these cannot have arguments or guards)
- optional: invoking a nested state machine
  - format: do/machine-name
  - 'machine-name' must have initial and final states



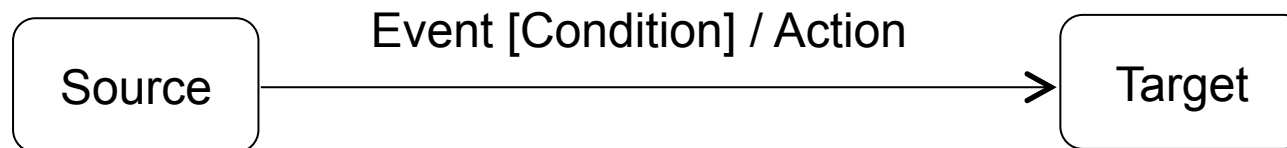
# Counter



```
class Counter{
    private counter: integer;
    public integer display()
        {return counter};
    public void tic()
        {counter = counter + 1};
    public void reset()
        {counter = 0};
}
```

# Transition

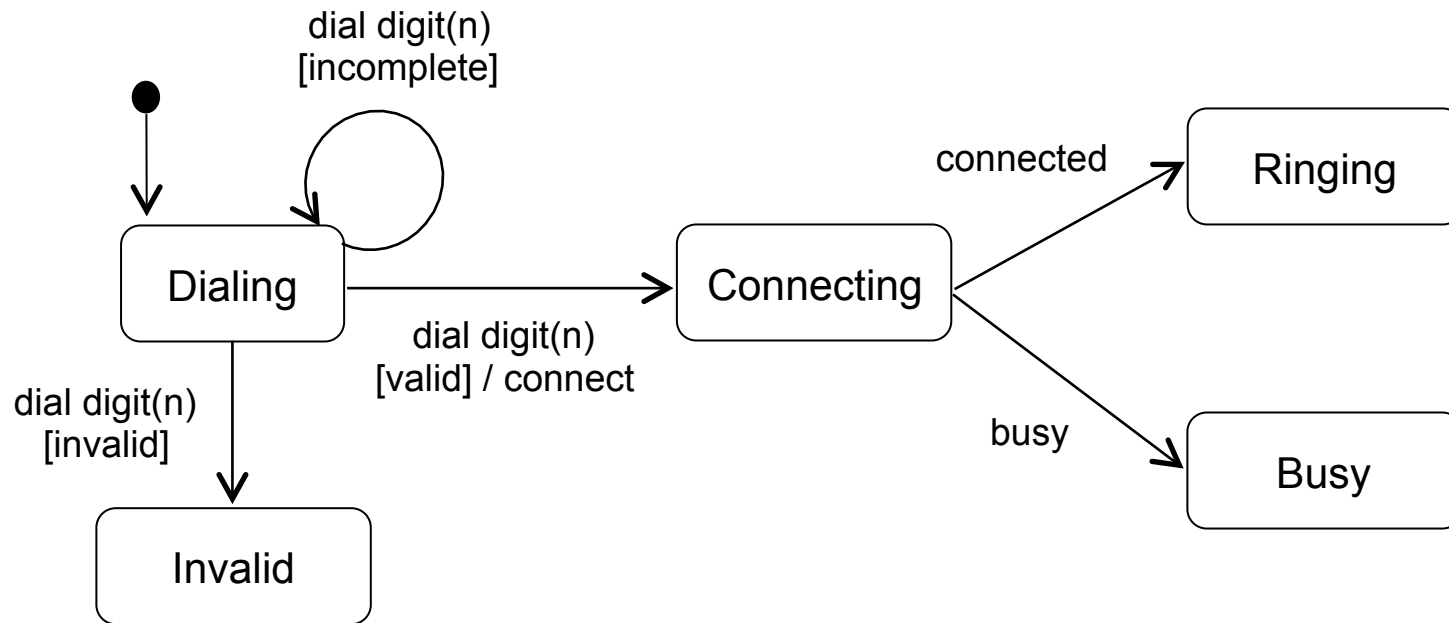
- Relationship between two states indicating that a system (or object) in the first state will:
  - Perform certain actions and
  - Enter the second state when a specified event occurs or a specified condition is satisfied
- A transition consists of:
  - Source and target states
  - Optional *event*, *guard condition*, and *action*



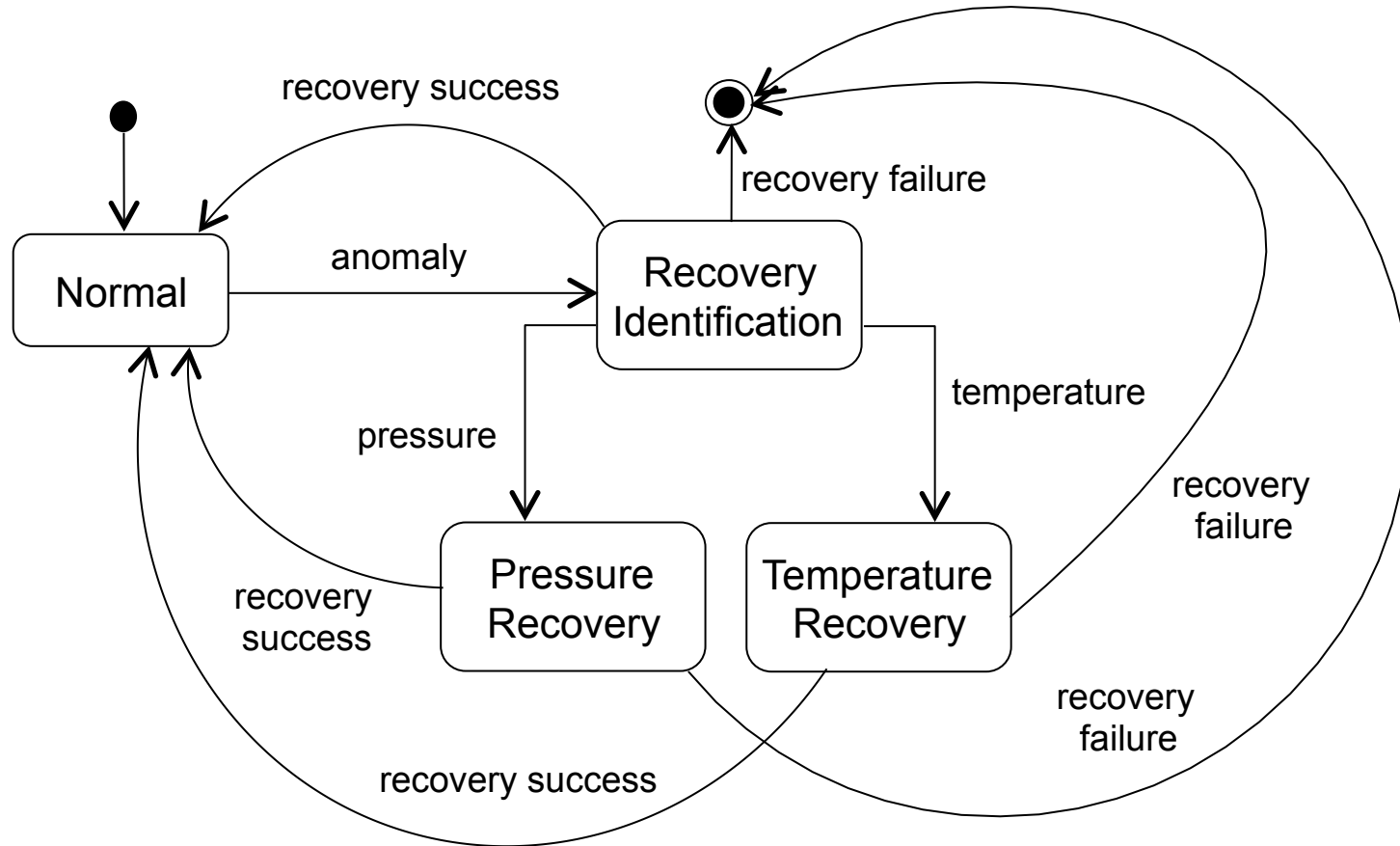
# Definition: *event* and *action*

- *Event*
  - An occurrence of a stimulus that can trigger a state transition
  - Instantaneous and no duration
- *Action*
  - An executable atomic computation that results in a change in state of the model or the return of a value

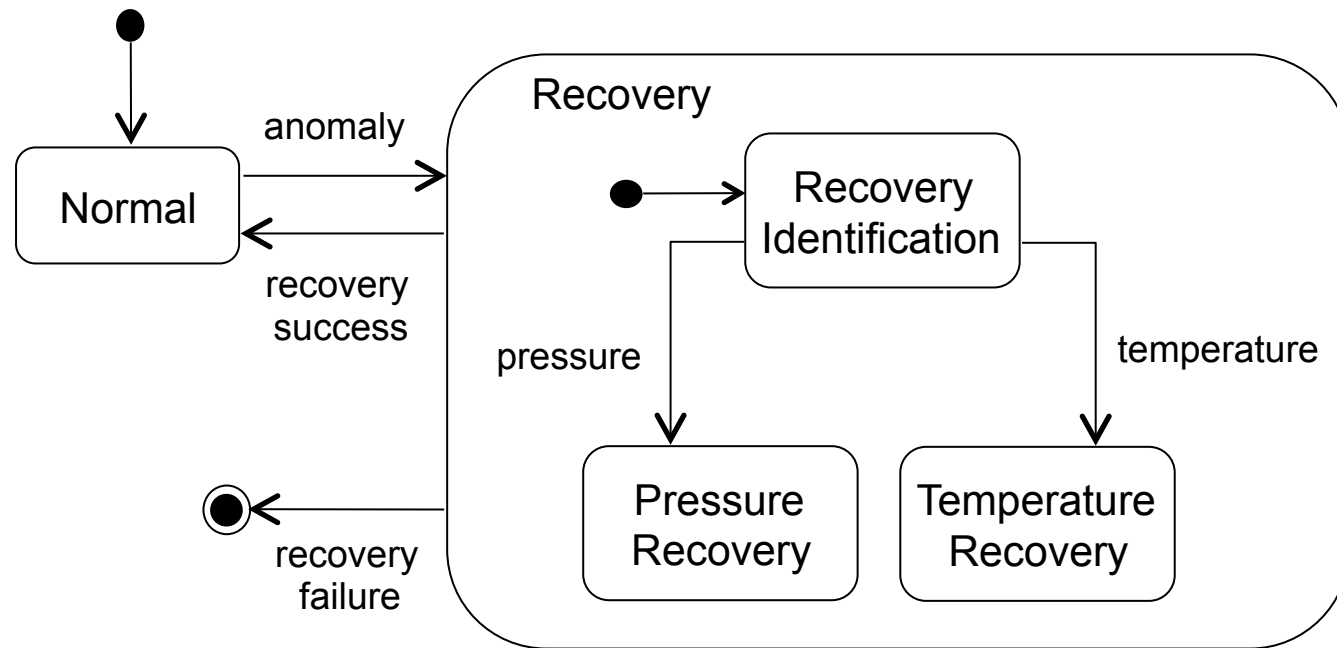
# Example



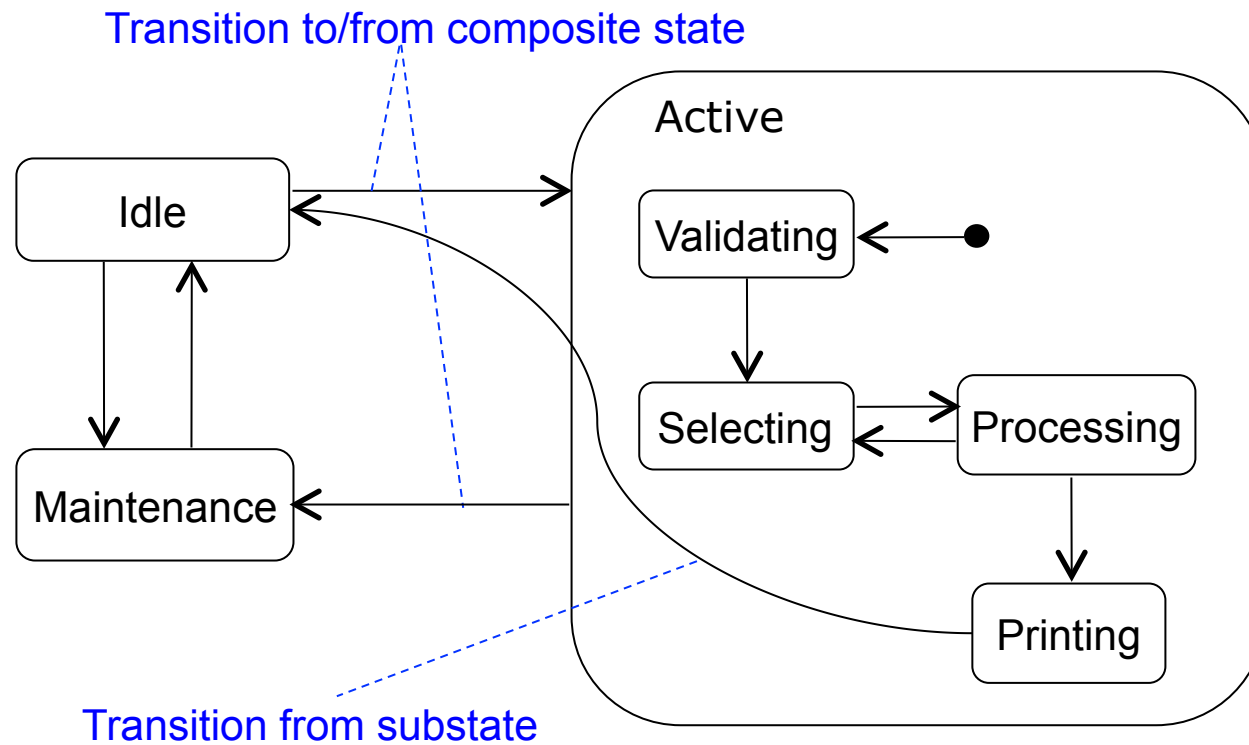
# Example



# Composite states

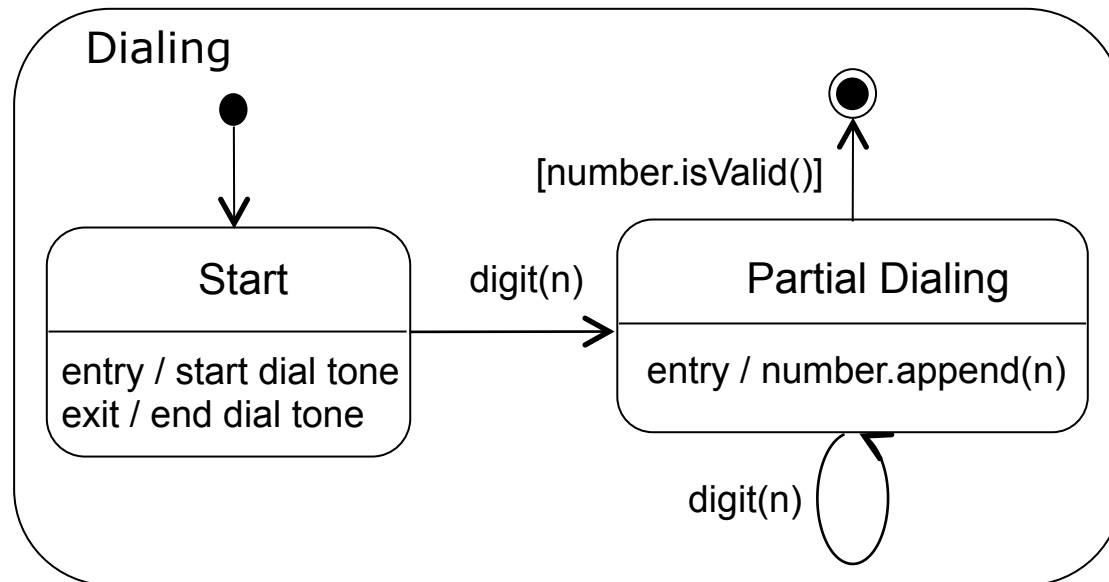
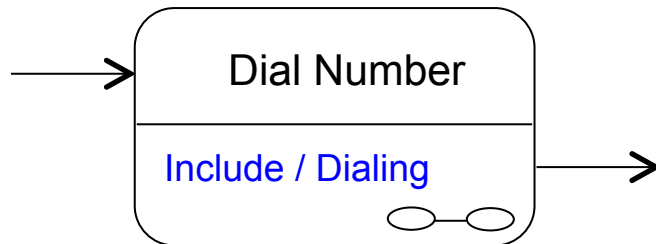


# Composites and transitions





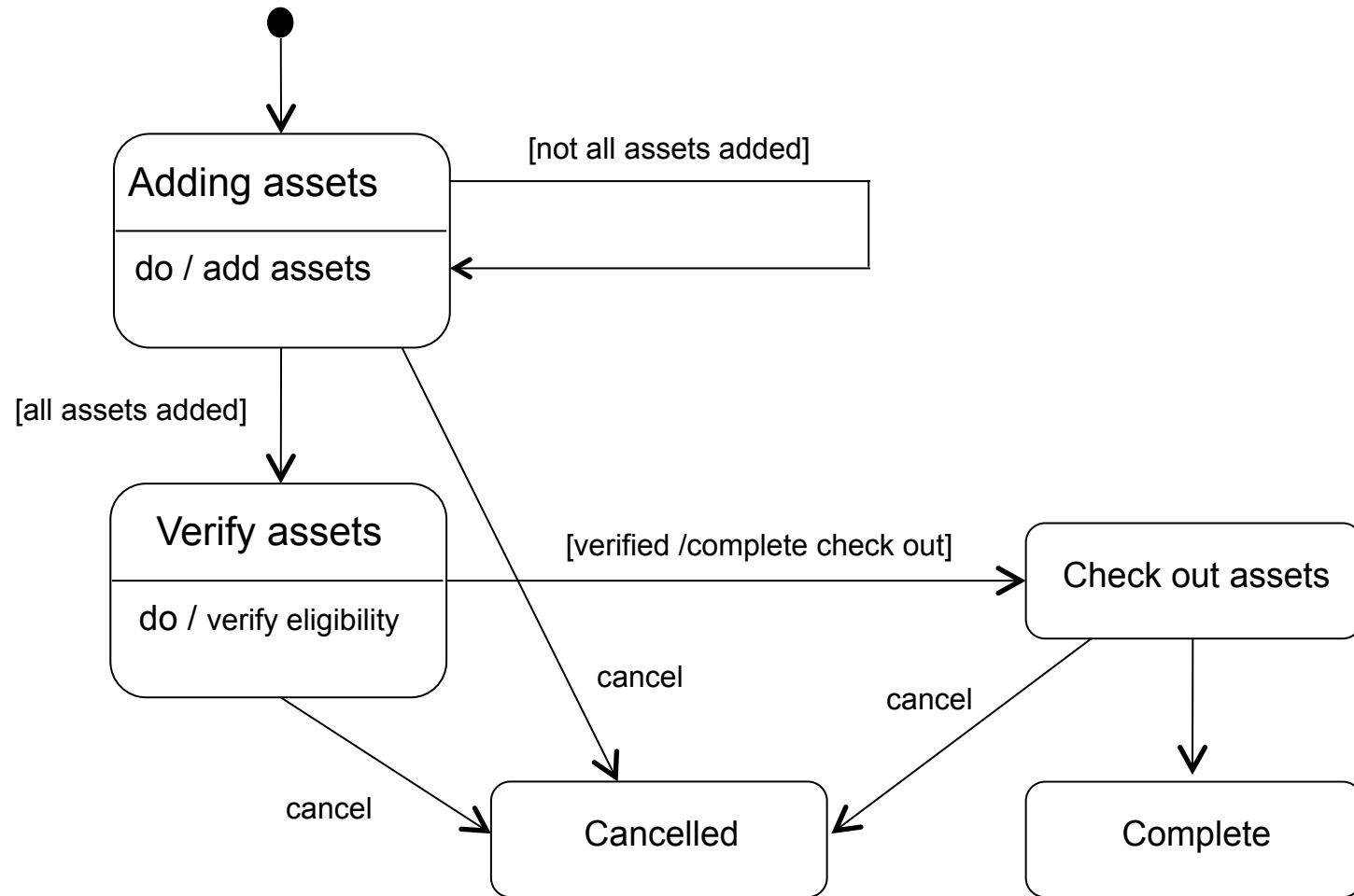
# Including composite states



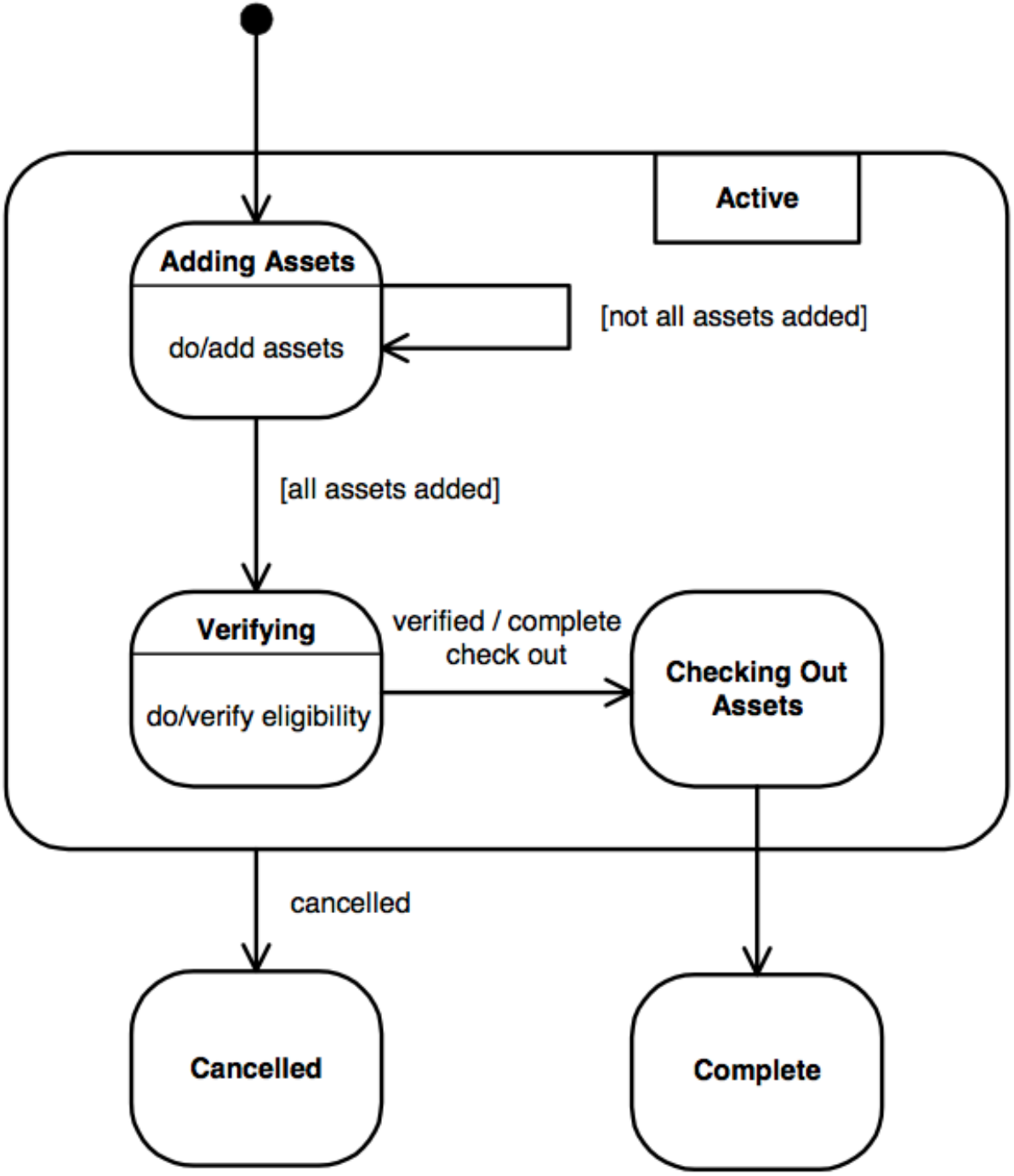
# Composite state

- Used to simplify diagrams
- Inside, it looks like a statechart
- It may have composite transitions
- It may have transitions from substates
- It can be sequential or parallel

# Example

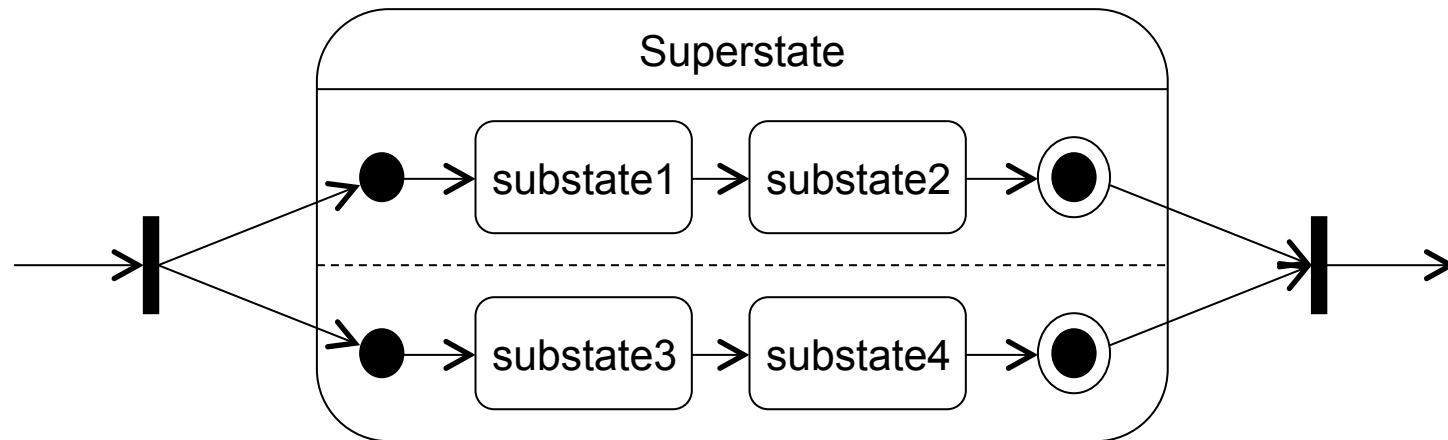


Exploiting  
a  
composite  
state

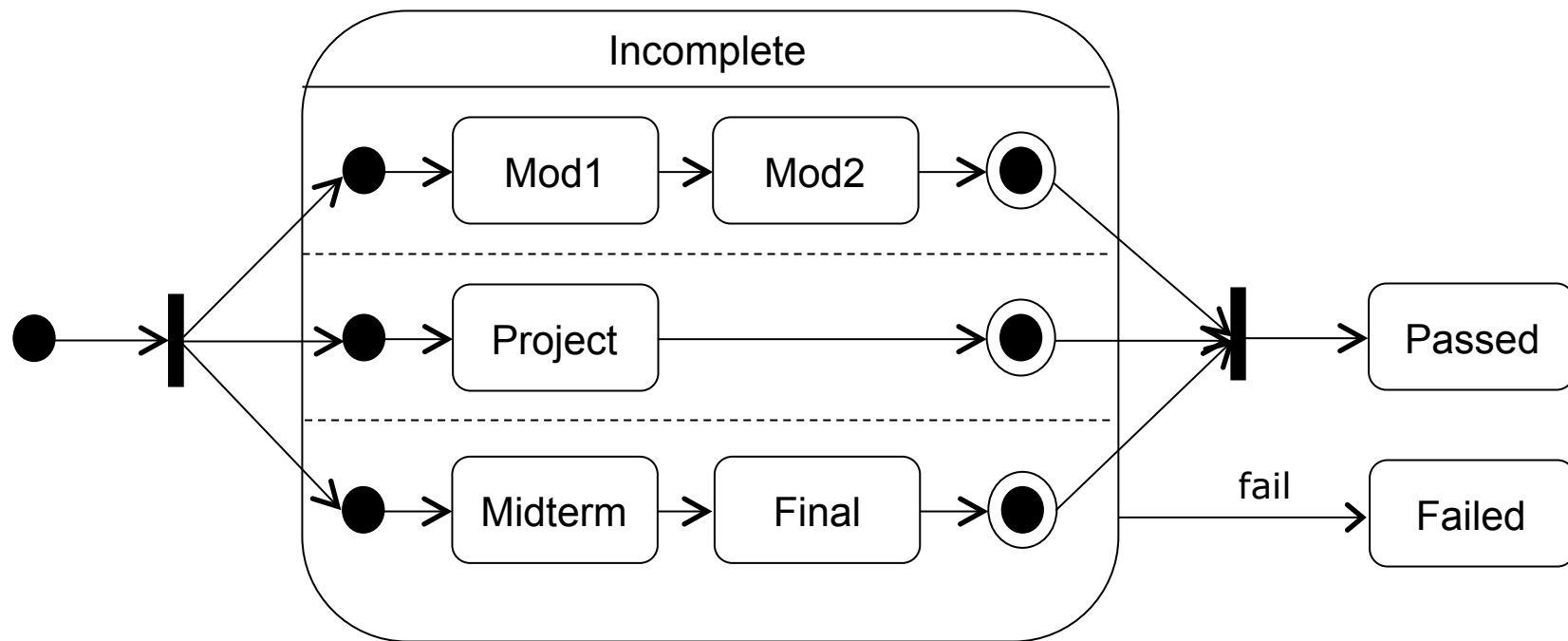


# Parallel composition

- Concurrency (multiple threads of control)
- Synchronization



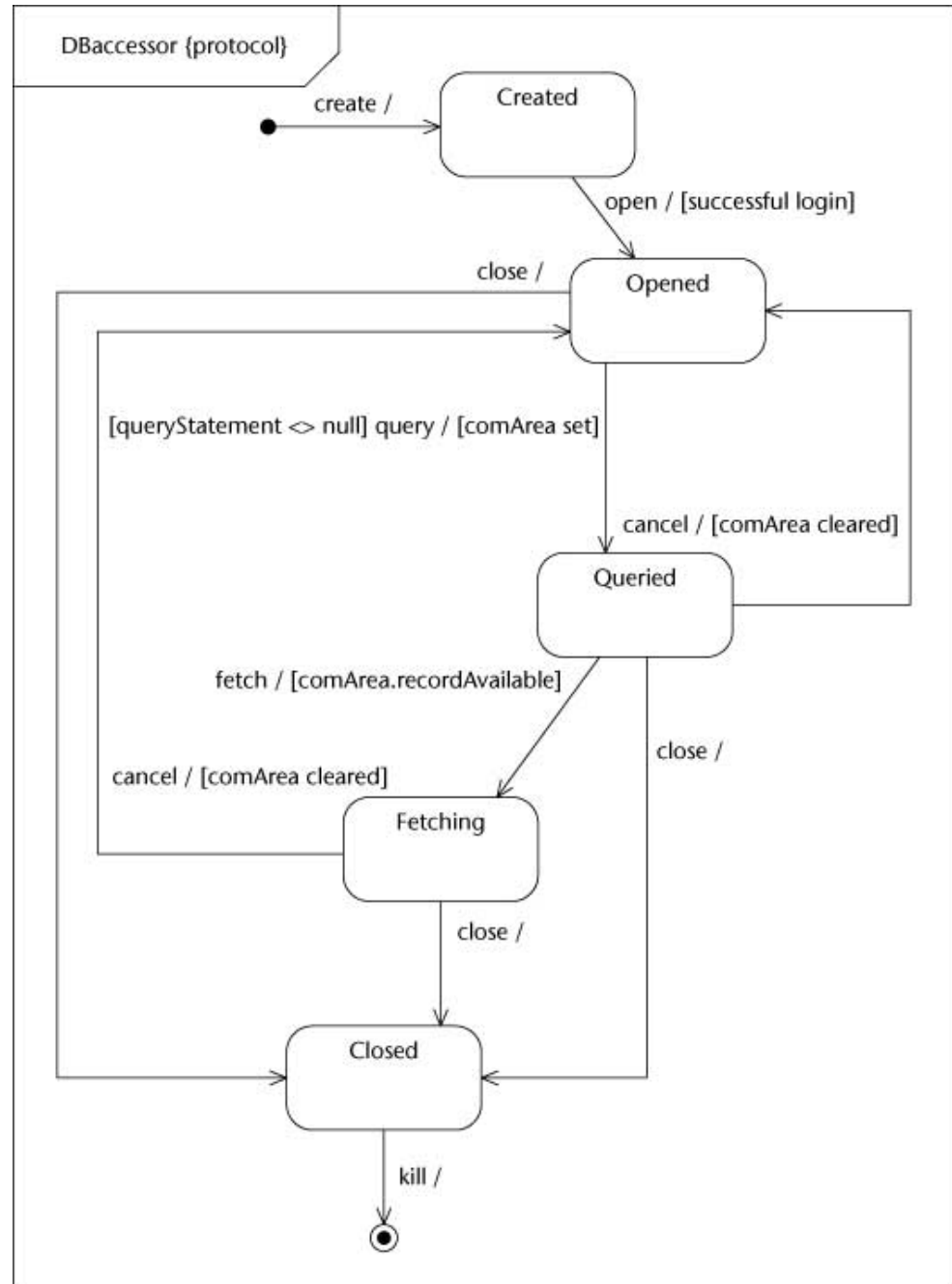
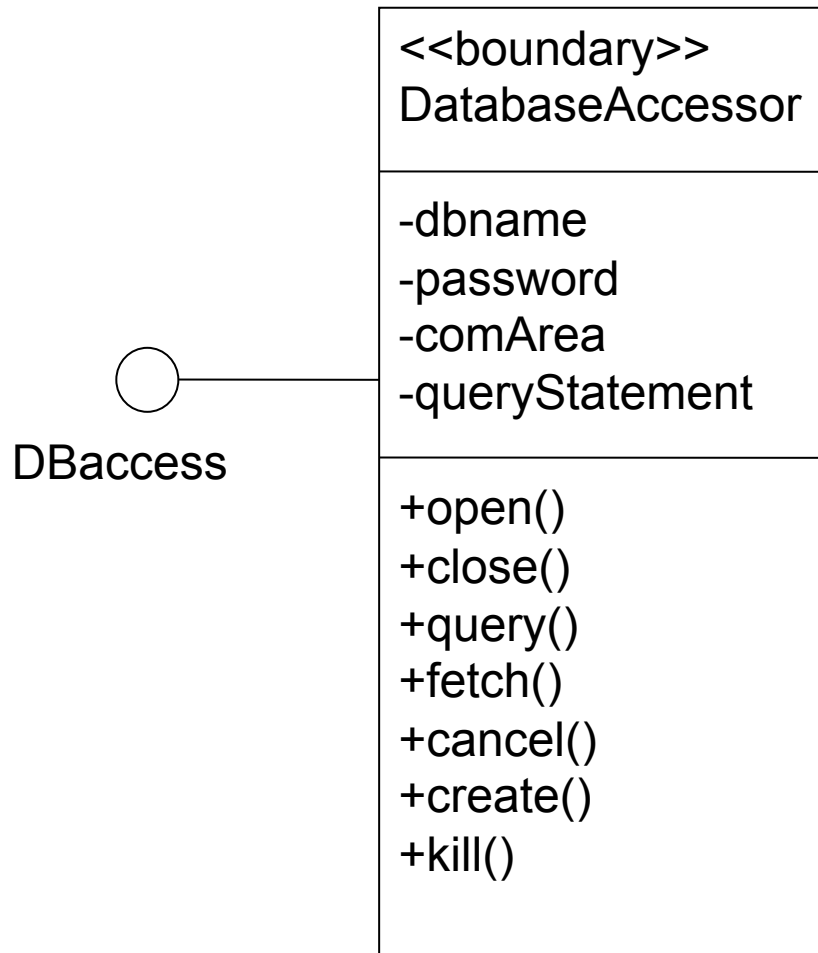
# Example



# Protocol state machine

- Normally we use a state diagram to show the internal behavior of all objects of a class
- Sometimes, however, we want to show a complex protocol (set of rules governing communication) when using an interface for a class
- For example, when we access a database we need to use operations like open, close and query. But these operations must be called in the right order: we cannot query the database before we open it

# Protocol state machine



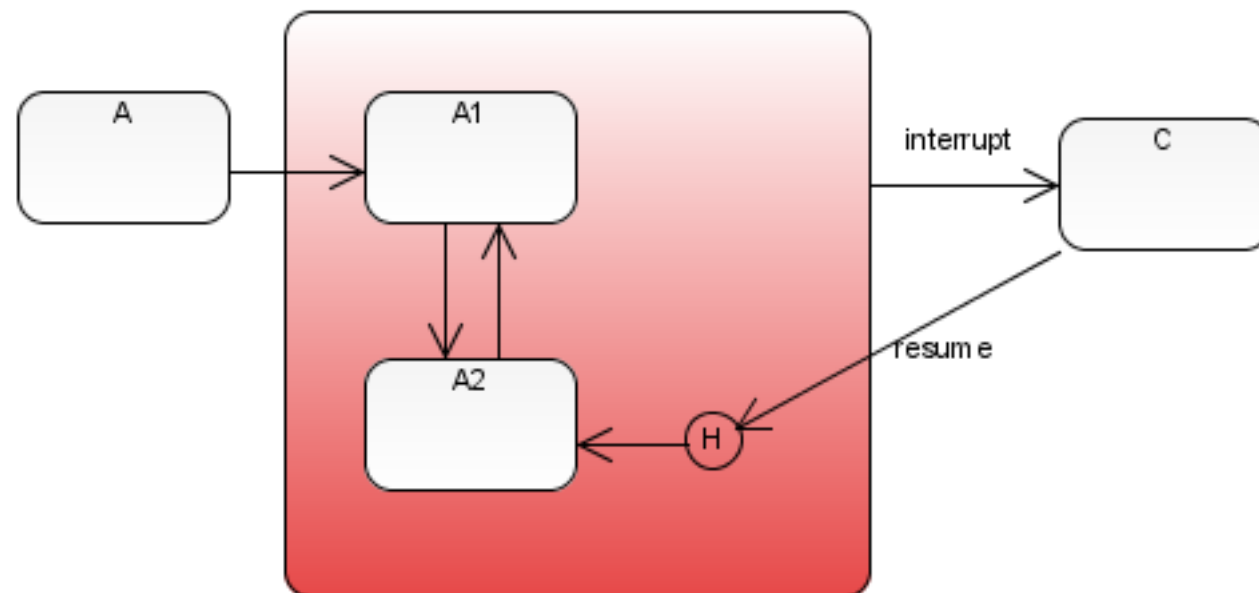


# Behavioral vs protocol state machine

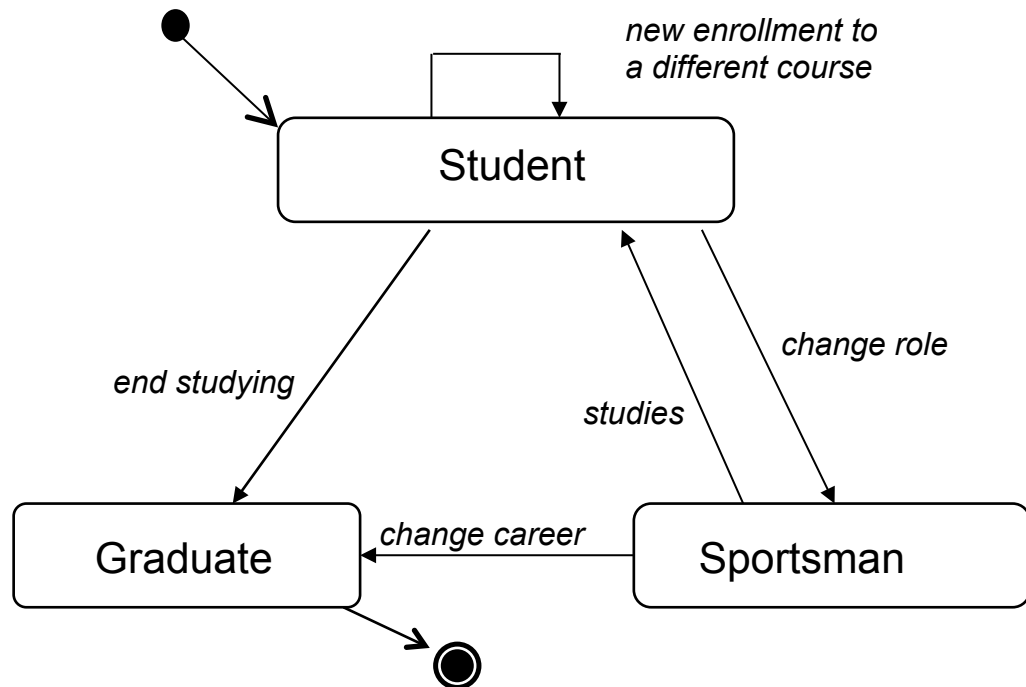
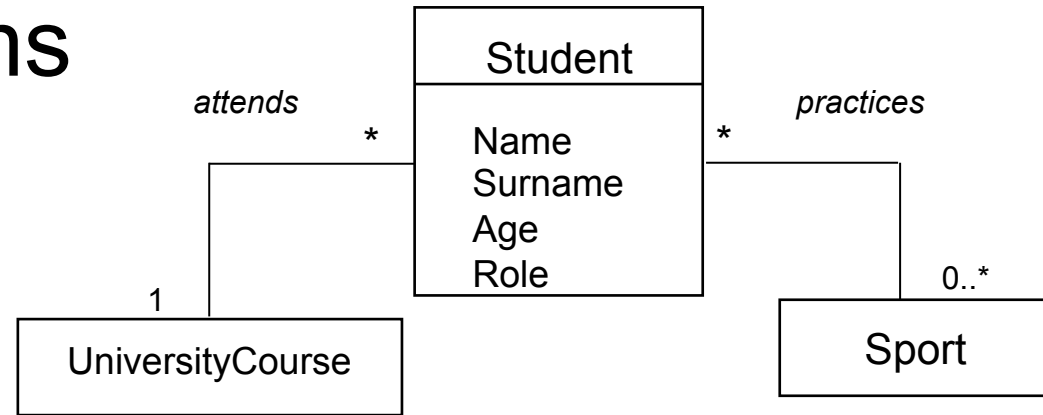
- Two kinds of state machines: *behavioral state machines* and *protocol state machines*
- Behavioral state machines can be used to model the behavior of individual entities (e.g., class instances)
- Protocol state machines are used to express **usage protocols** and can be used to specify the legal usage scenarios of classifiers, interfaces, and ports

# History pseudo state

- A **history** pseudostate represents the most recent active substate of its containing state
  - There are two kinds of this pseudostate: **shallow** or **deep**
    - Shallow (H): only the topmost active level of superstate is recorded
    - Deep (H\*): all nested active levels in the superstate are recorded
- (See the Superstructure sect 15.3.8 for pseudostate definitions and icons)



# Consistency among diagrams



# Exercise: Cellular Phone



- Draw a statechart describing the operation of a cellular phone. Assume that the phone has keys for:
  - power on and off
  - keypad locking and unlocking
  - 0-9, #, and \*
  - talk (or send) and end

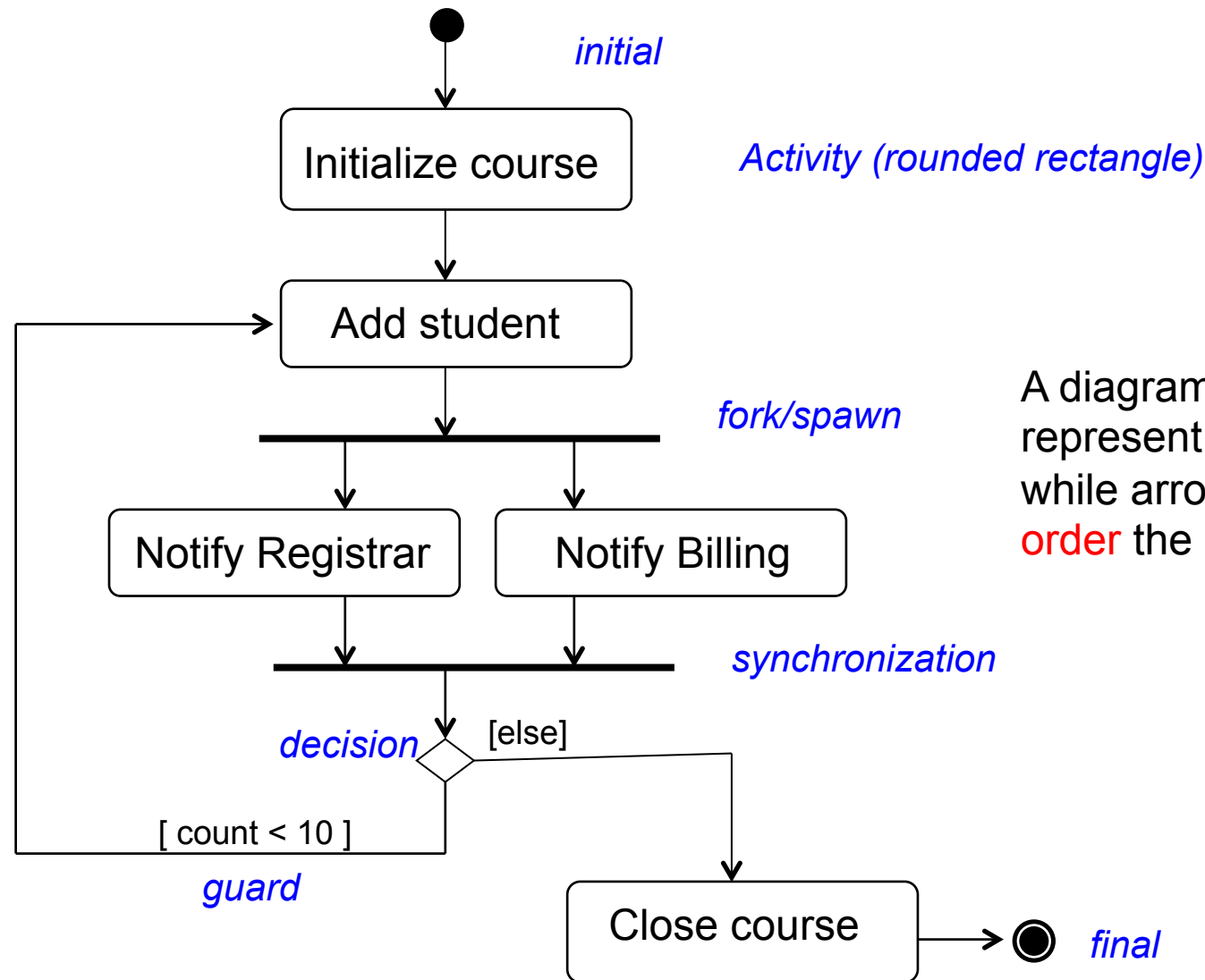
Model the following operations:

- power on/off
- keypad locking/unlocking
- making calls (e.g., dialing, connecting, talking),
- receiving calls (e.g., ringing, talking)

# Activity diagrams

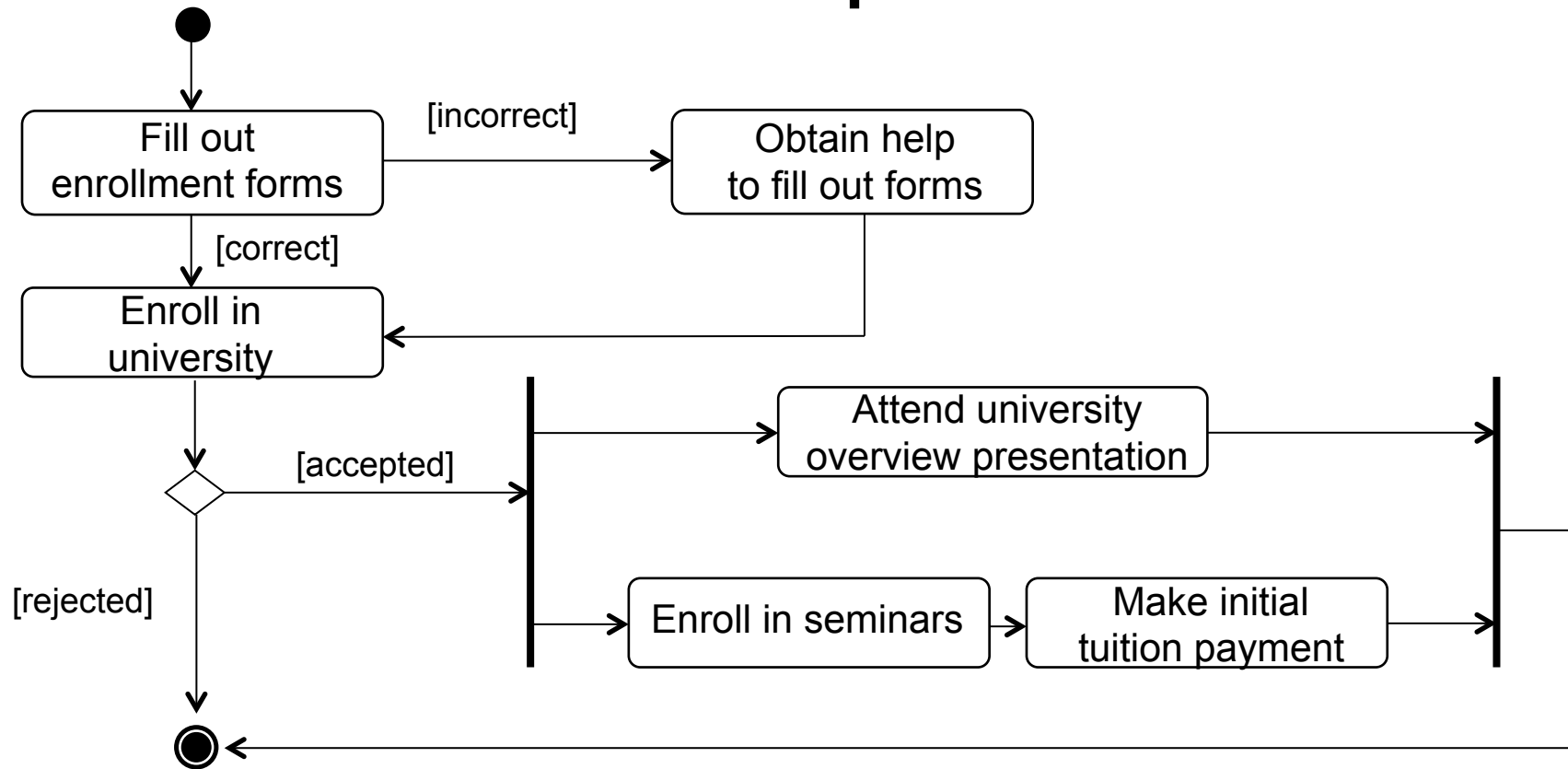
- Activity diagrams represent workflows of actions of several objects (but objects are not shown)
- Actions are composed by sequence, choice, iteration, and concurrency
- AD can be used to describe the activities of the components of a system
- In UML1 AD are based on State Diagrams in UML2 they have a different semantics

# Activity diagram: elements

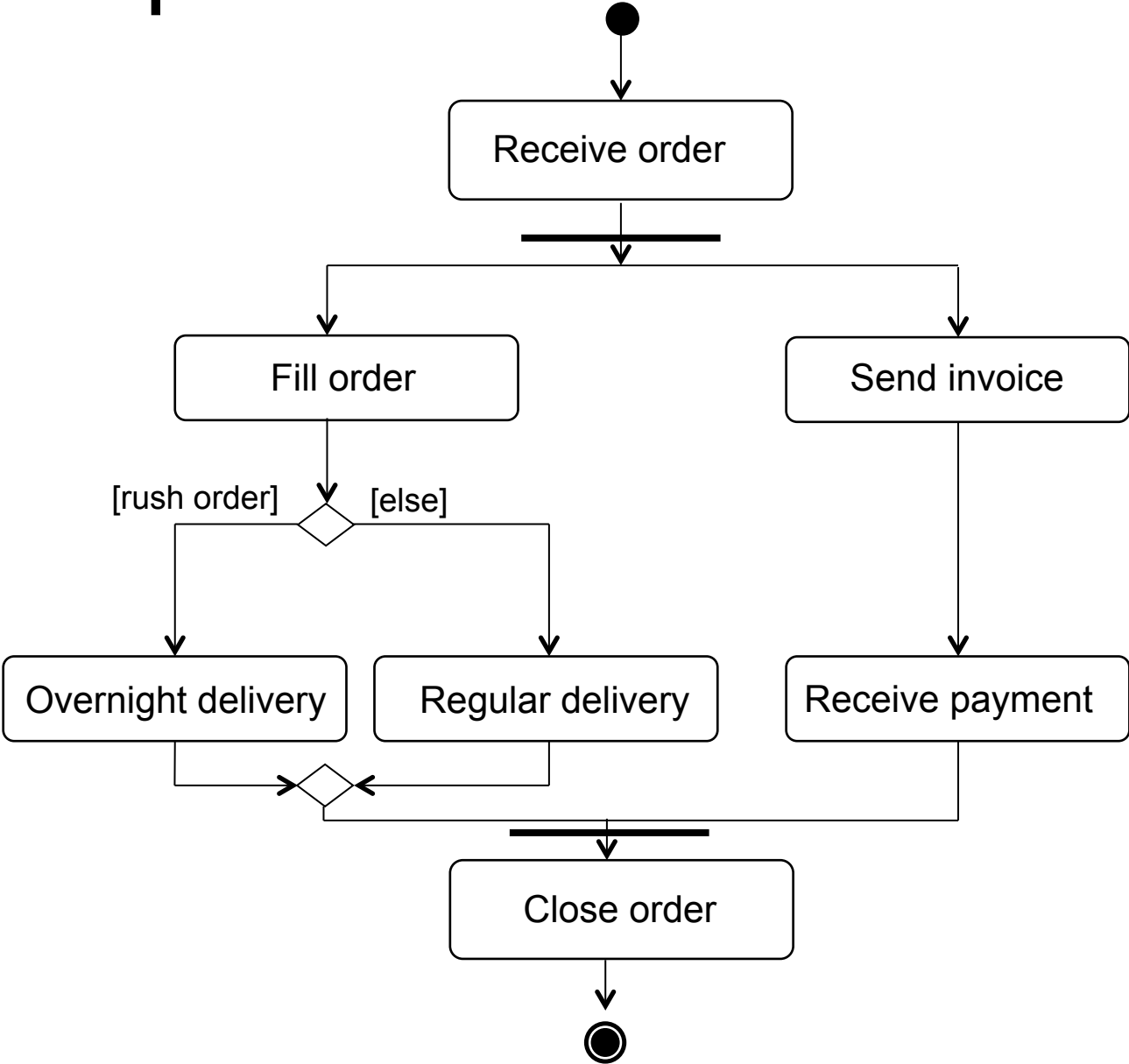


A diagram whose nodes represent **activities**, while arrows represent the **order** the activities happen

# Example

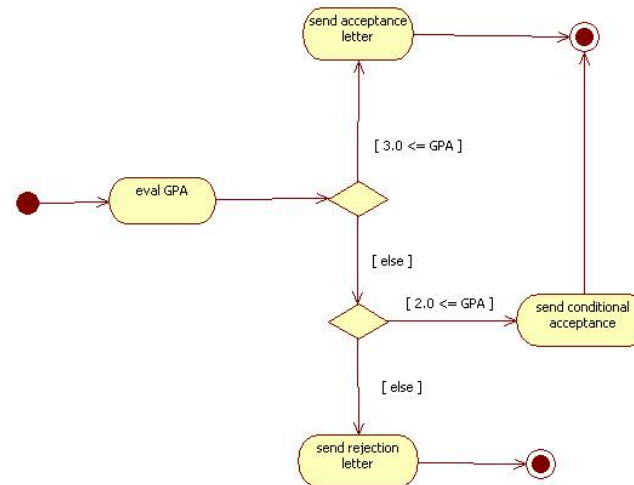
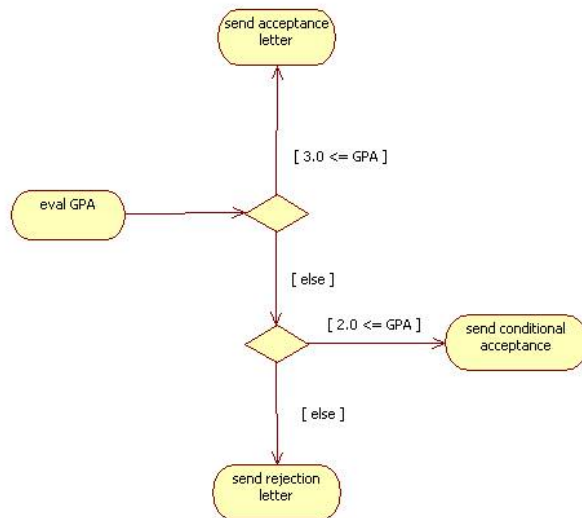
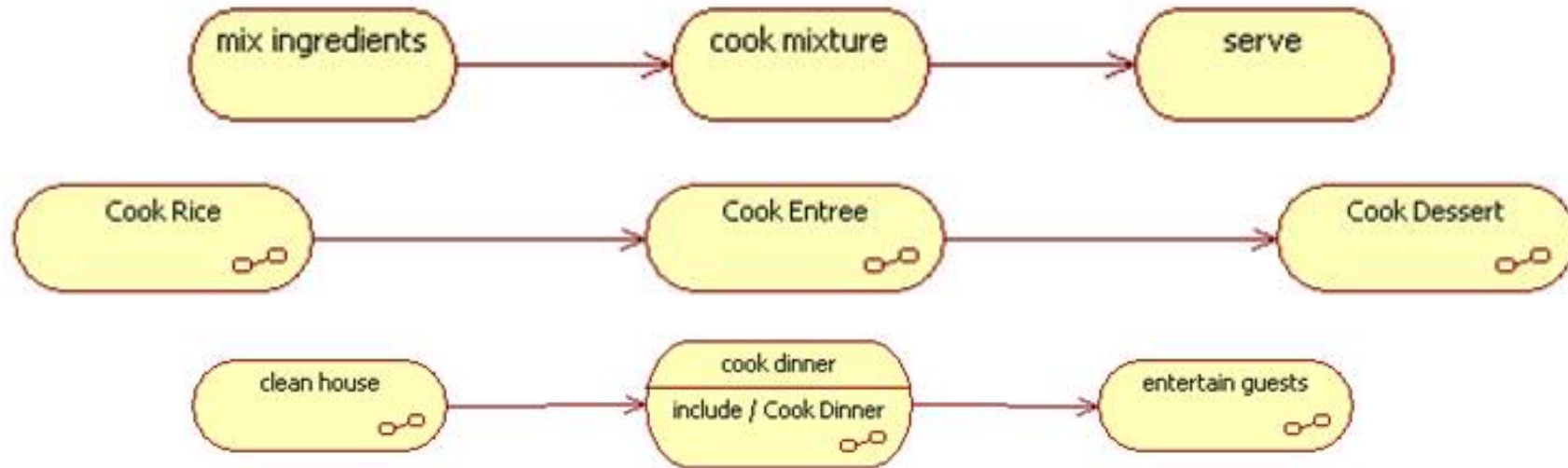


# Example

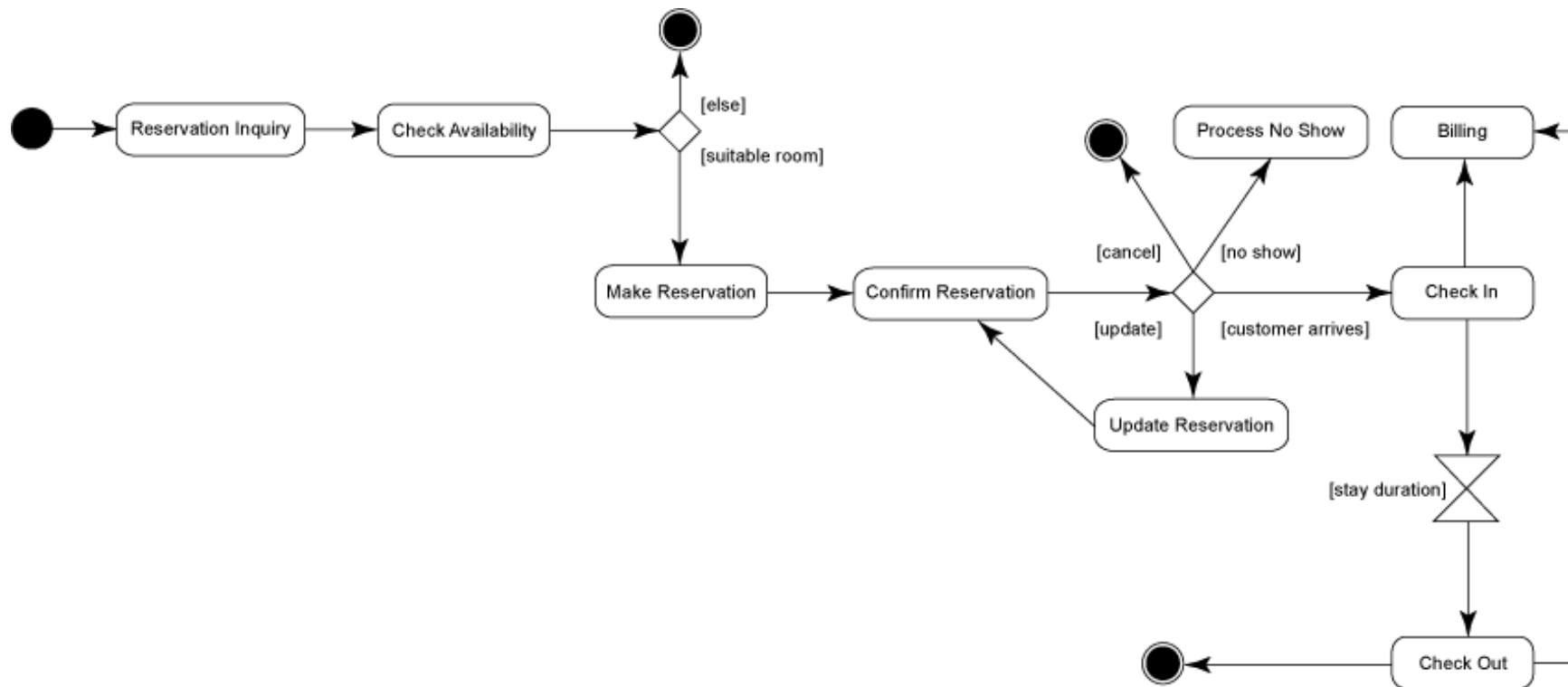




# Activity diagram

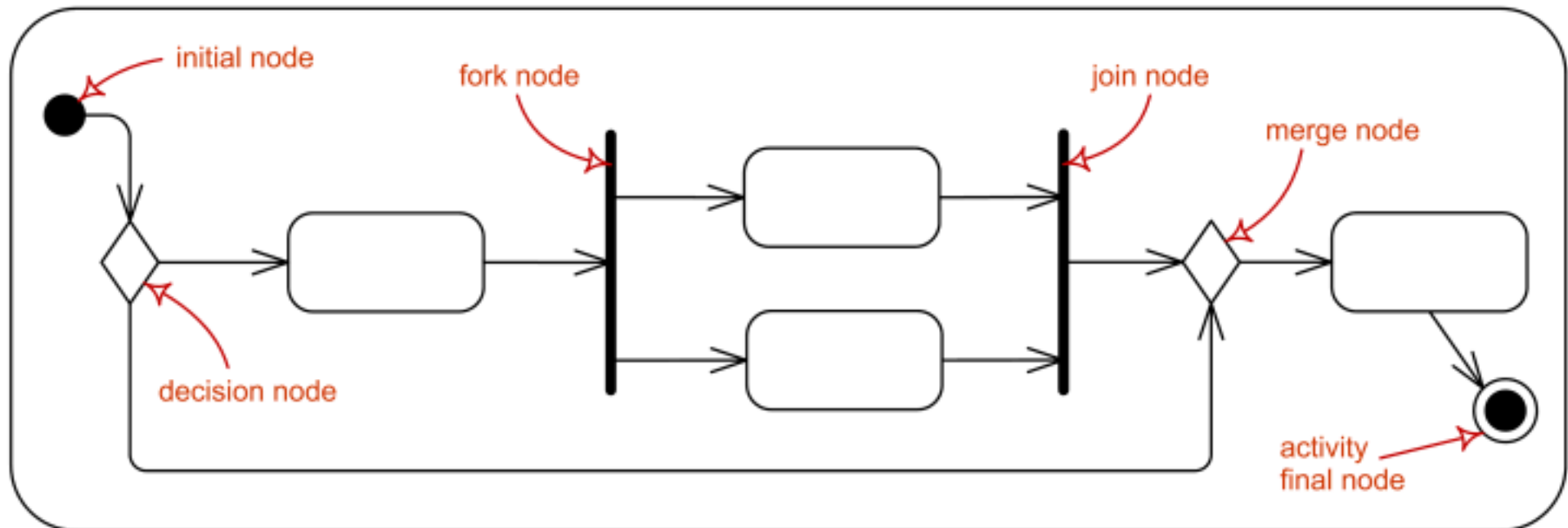


# Example: hotel reservation



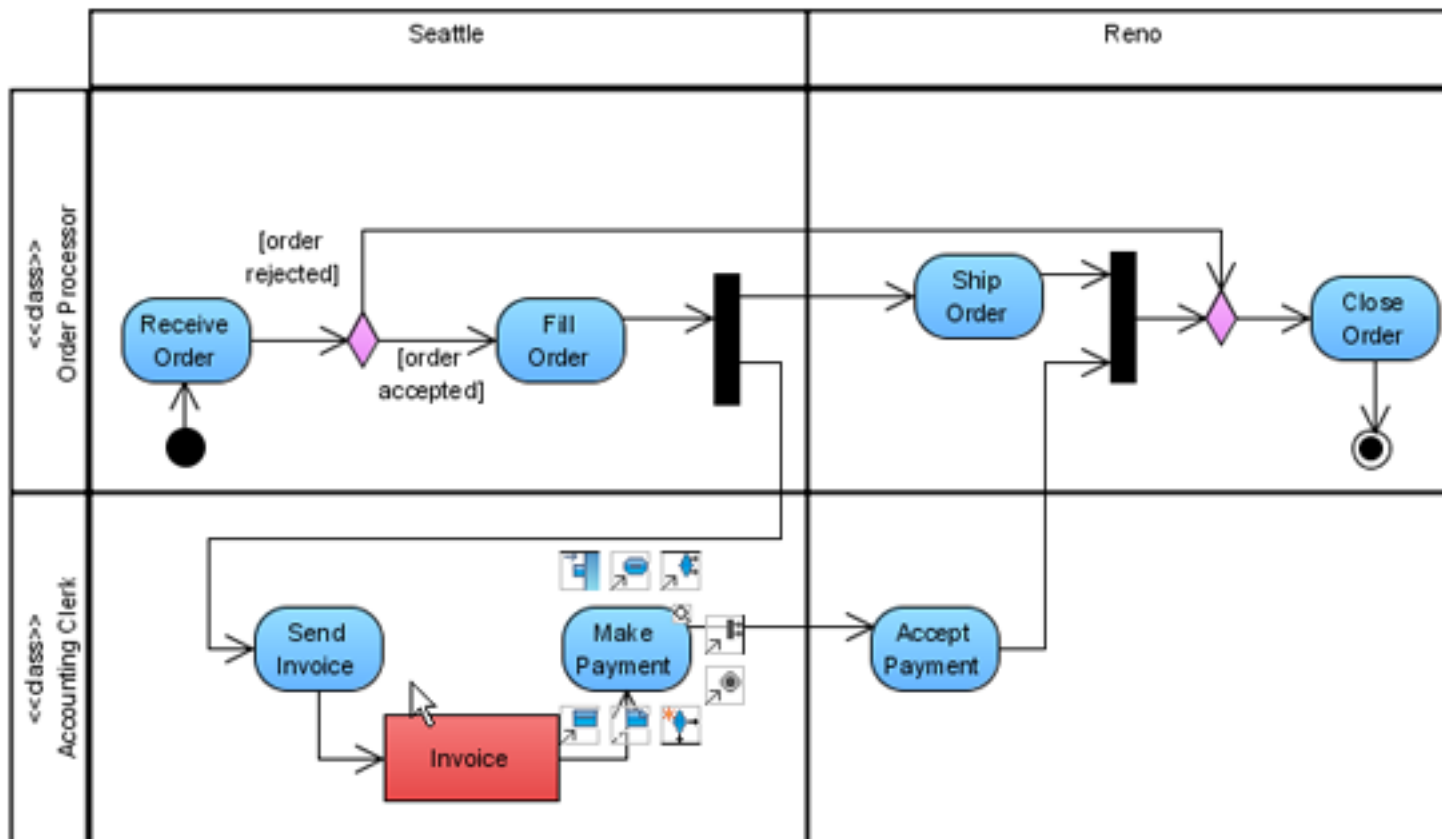
# Activity control nodes

- Initial node
- Decision node
- Fork node
- Join node
- Merge node
- Activity final node
- Flow final node

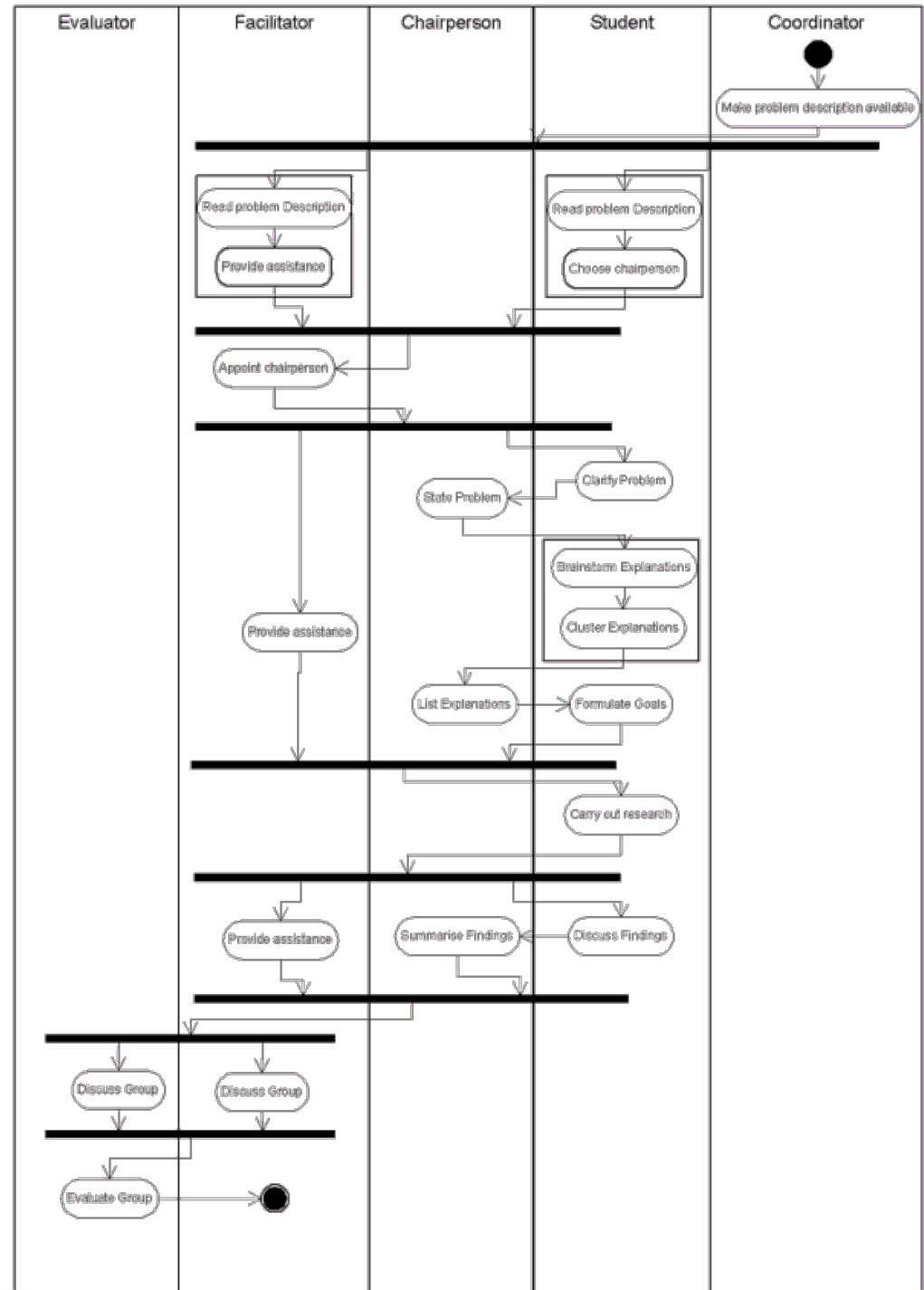


# Activity partition

- Partitions divide the diagram to constrain and show some special view
- Partitions often correspond to organizational units in a business model

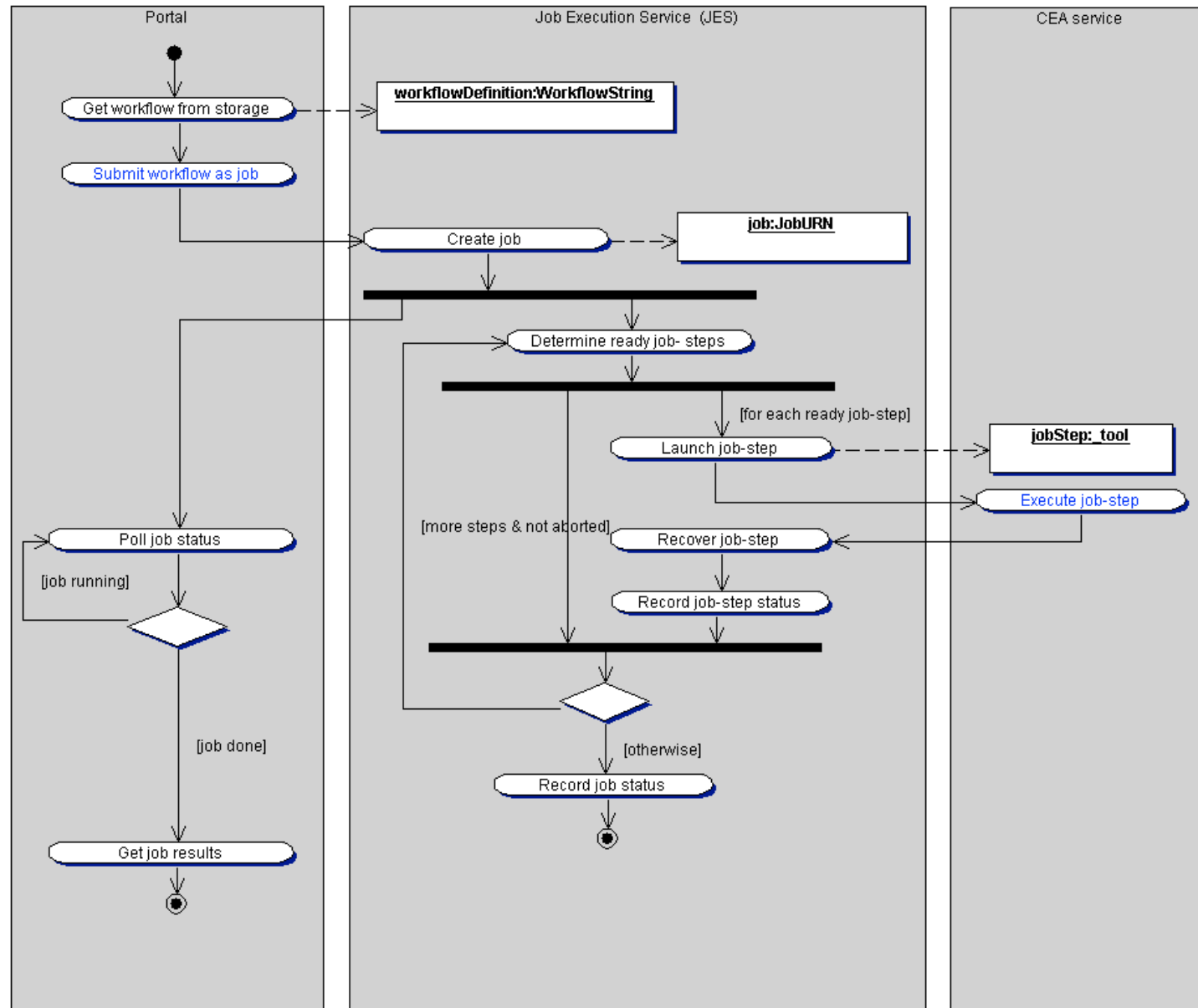


# Swimlanes in an activity diagram showing a workflow with several roles



# Exercise

Which is the maximum degree of parallelism in this activity diagram?



# What an AD does not show?

- Objects (but they can be inferred)
- States
- Messages
- Data passed between steps
- User interface

# How to create an AD

1. Identify the activities (steps) of a process
2. Identify who/what performs activities (process steps)
3. Identify decision points (if-then)
4. Determine if a step is in loop
5. Determine if a step is parallel with some other
6. Identify the order of activities, decision points



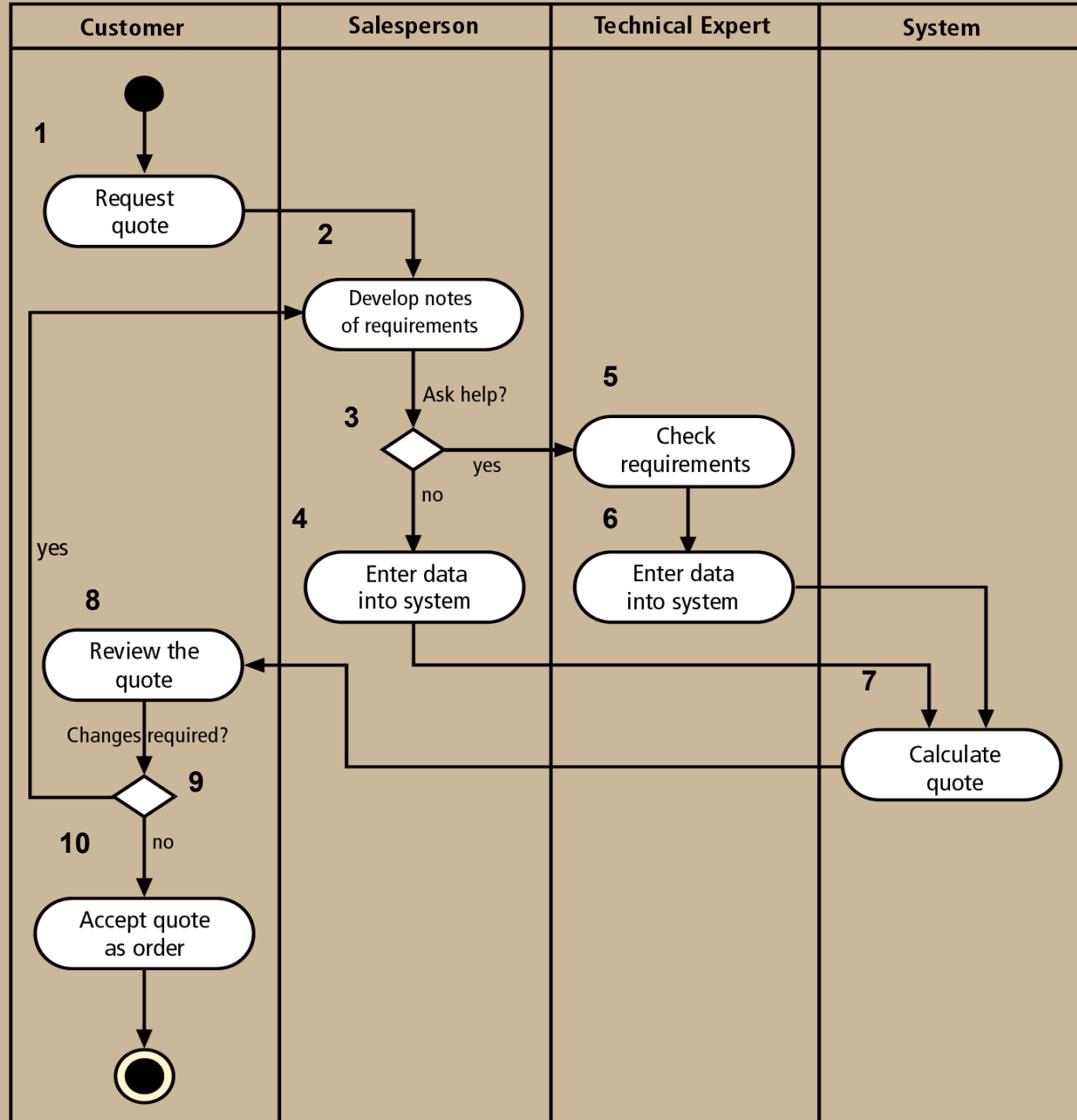
# Example

Step ID	Process Step or Decision	Who/What Performs	Parallel Activity	Loop	Preceding Step
1	Request quote	Customer	No	No	-
2	Develop requirement notes	Salesperson	No	Yes	1
3	Decision: Help?	Salesperson	-	Yes	2
4	Salesperson enters data	Salesperson	No	Yes	3
5	Check requirements	Technical Expert	No	Yes	3
6	Tech. expert enters data	Technical Expert	No	Yes	5
7	Calculate quote	System	No	Yes	4, 6
8	Review quote	Customer	No	Yes	7
9	Decision: Changes?	Customer	No	Yes	8
10	Accept quote as order	Customer	No	No	9

# How to create an AD (cont.)

7. **Draw** the swimlanes
8. **Draw** the start point of the process in the swimline of the first activity (step)
9. **Draw** the oval of the first activity (step)
10. **Draw** an arrow to the location of the second step
11. **Draw** subsequent steps, while inserting decision points and synchronization/loop bars where appropriate
12. **Draw** the end point after the last step.

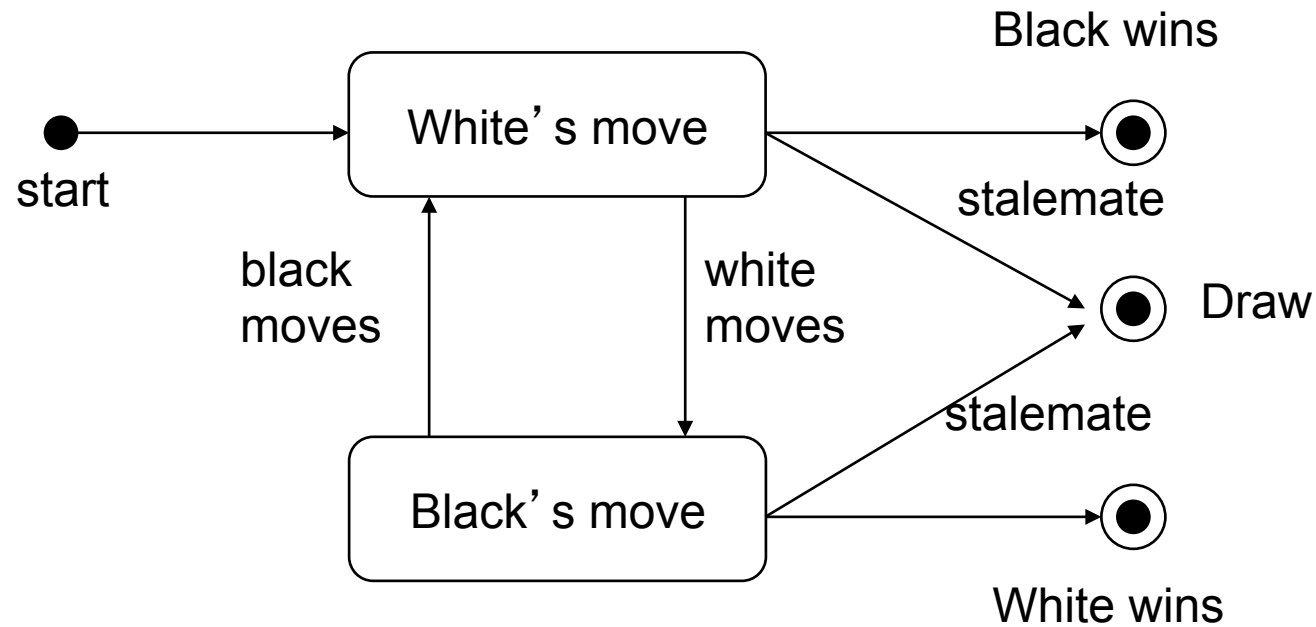
# Example



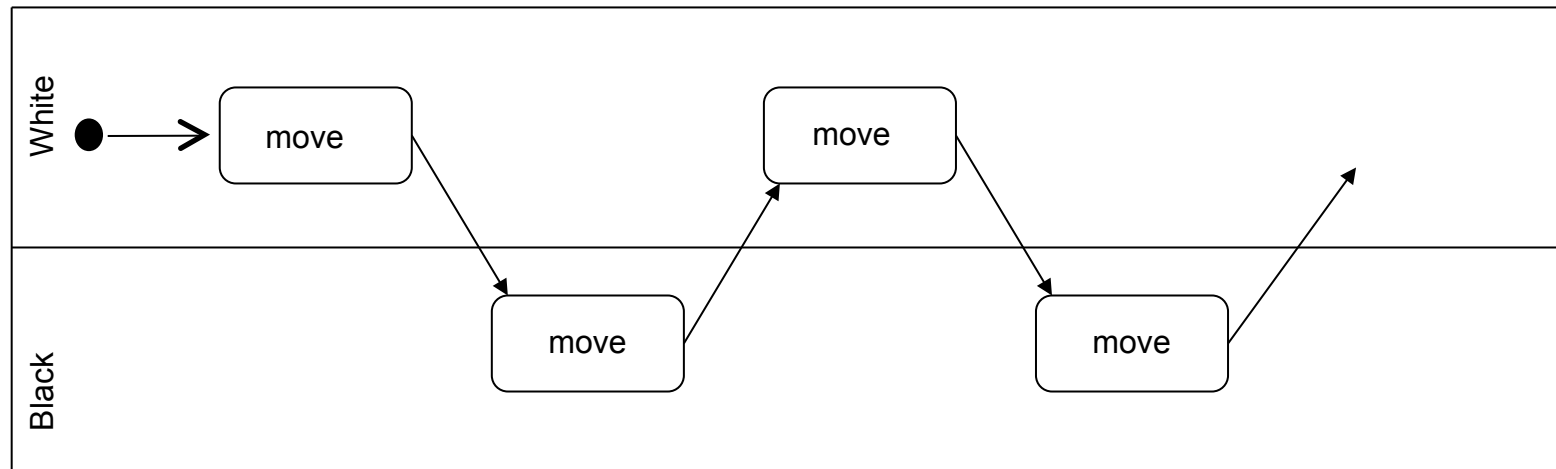
# State vs activity diagrams

- Both diagrams describe behaviors, by state changes and actions, respectively
- In UML1 they are equivalent (in AD states are actions)
- In UML2 they differ: ActivityD are based on Petri Nets, StateD on Harel automata
- Their typical usage is also different:
  - State diagrams are **single** context
  - Activity diagrams are **multiple** context

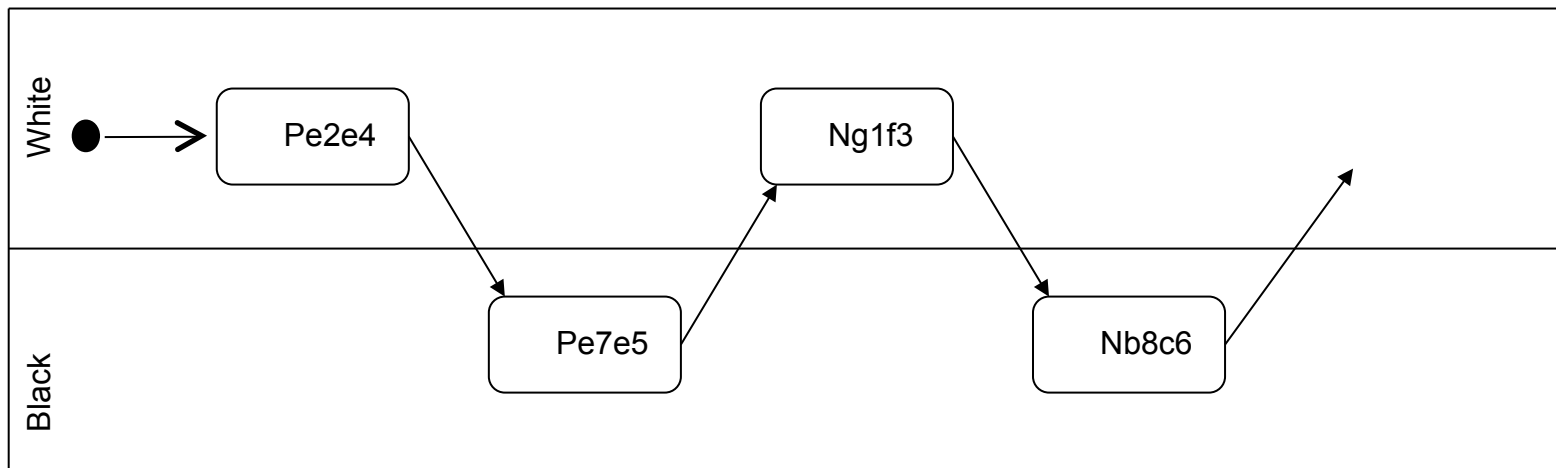
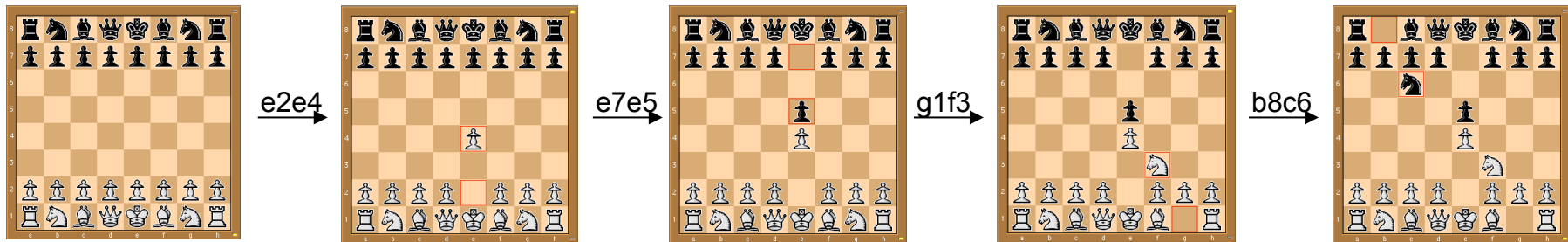
# State machine: chess game



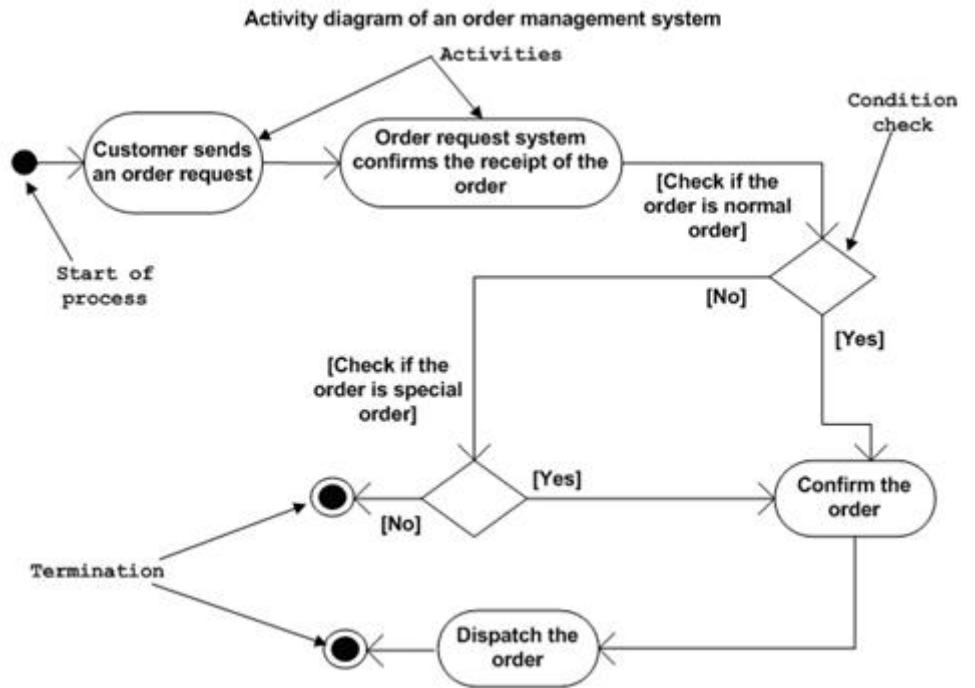
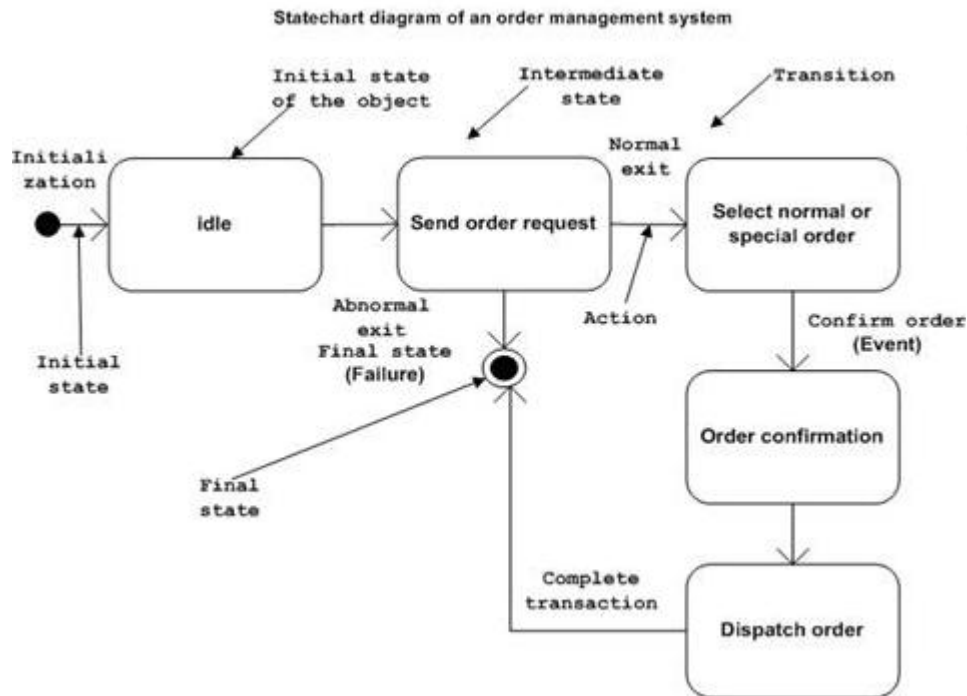
# Activity diagram: chess game



# State vs activity diagrams



# State vs activity diagrams





# Activity diagram vs flowchart

- An activity diagram shows the order in which to do tasks
- The key difference between an activity diagram and a flowchart is that the activity diagram can describe parallel processes, while flowcharts are sequential

# Behavior diagrams: interaction



Balla: Dinamismo di cane al guinzaglio, 1912

# Modeling Interaction

- Statechart diagram
  - Depicts the flow of control inside an object using **states** and **transitions** (finite state machines)
- Activity diagram
  - Describes the **control flow** among objects by actions organized in workflows (Petri Nets)
- Sequence diagram
  - Depicts objects' interaction by highlighting the **time ordering** of method invocations
- Communication (collaboration) diagram
  - Depicts the **message flows** among objects

INTERACTION

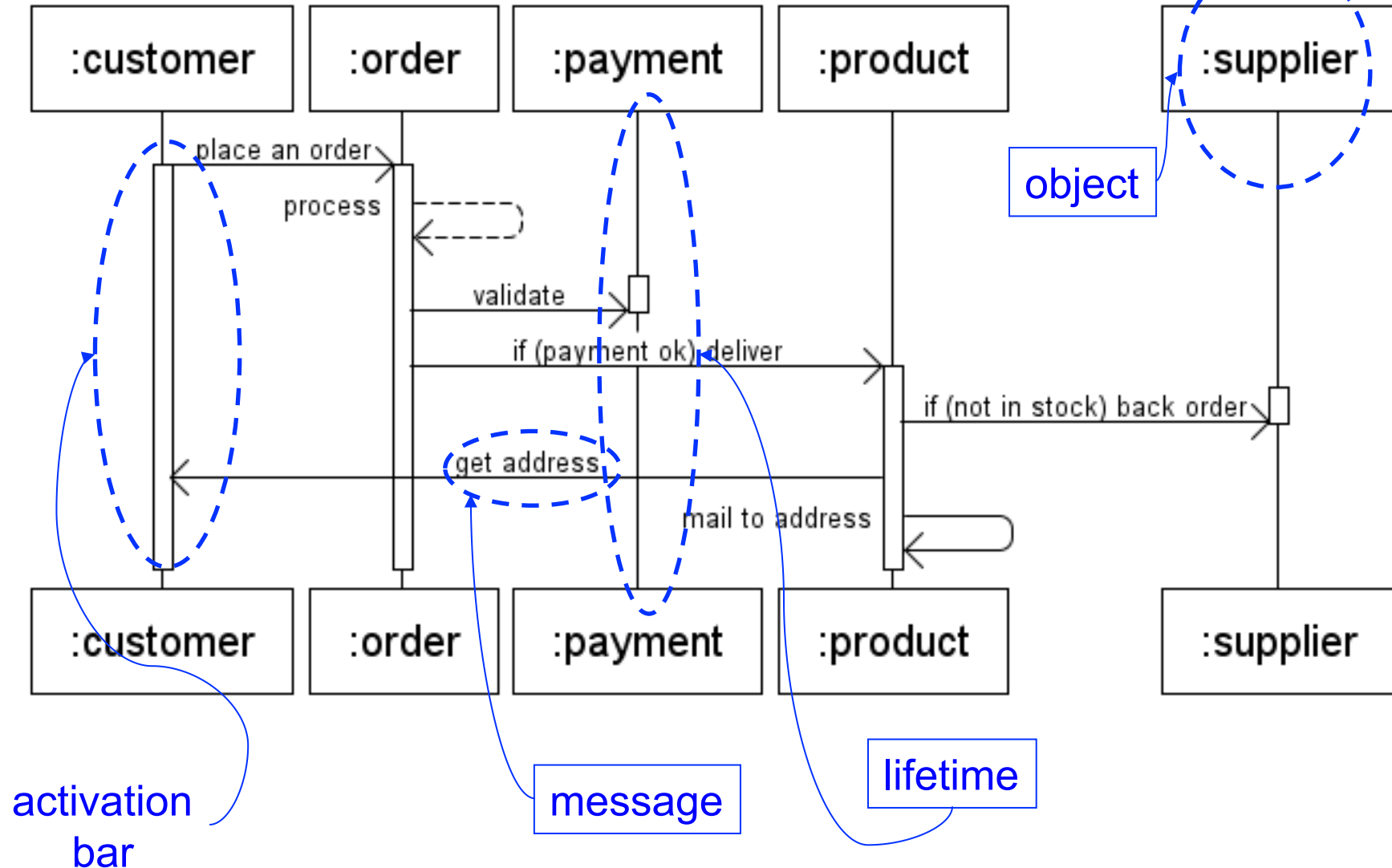
# Interaction diagrams

- A use case diagram presents an **outside view** of the system
- The **inside view** of a system is shown by **interaction diagrams**
- Interaction diagrams describe how a use case is realized in terms of interacting objects
- Two types of interaction diagrams
  - **Sequence** diagrams
  - **Collaboration** (Communication) diagrams

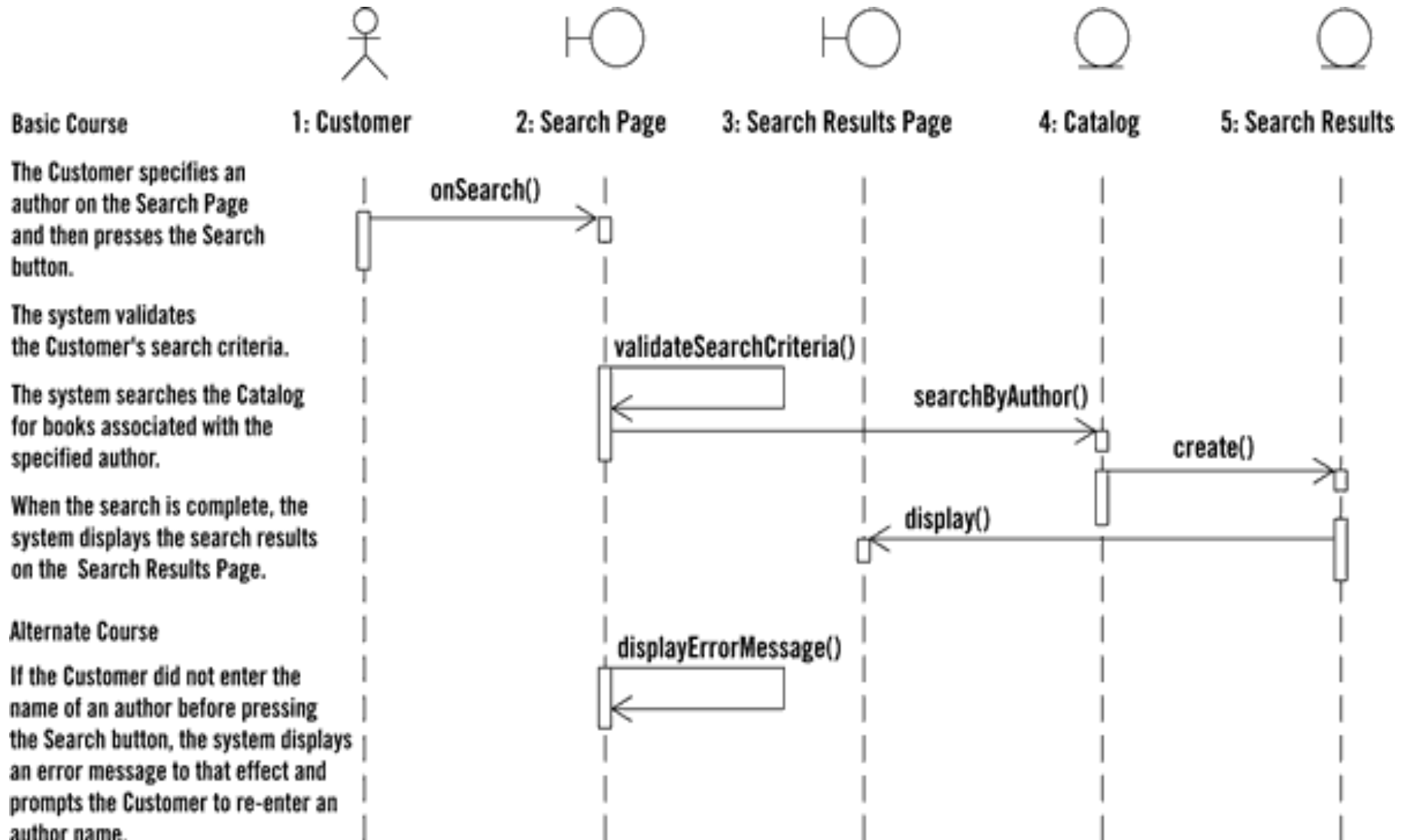
# Sequence diagram: main entities

- **participant**: an object that acts in the sequence diagram
- **message**: communication between participant objects
- the **axes** in a sequence diagram:
  - horizontal: which object/participant is acting
  - vertical: time (down -> forward in time)

# Sequence diagram: elements

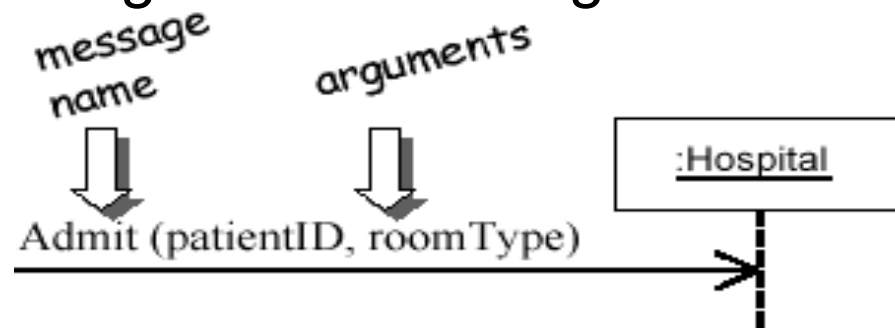


# Sequence diagram

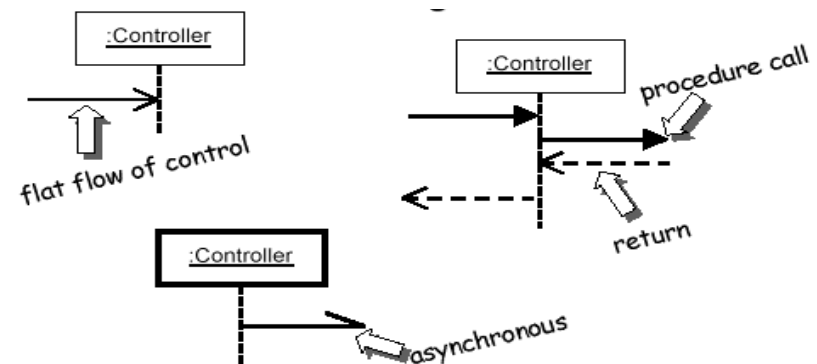


# Messages between objects

- message (method call) indicated by an horizontal arrow to another object
  - write the message name and arguments above arrow



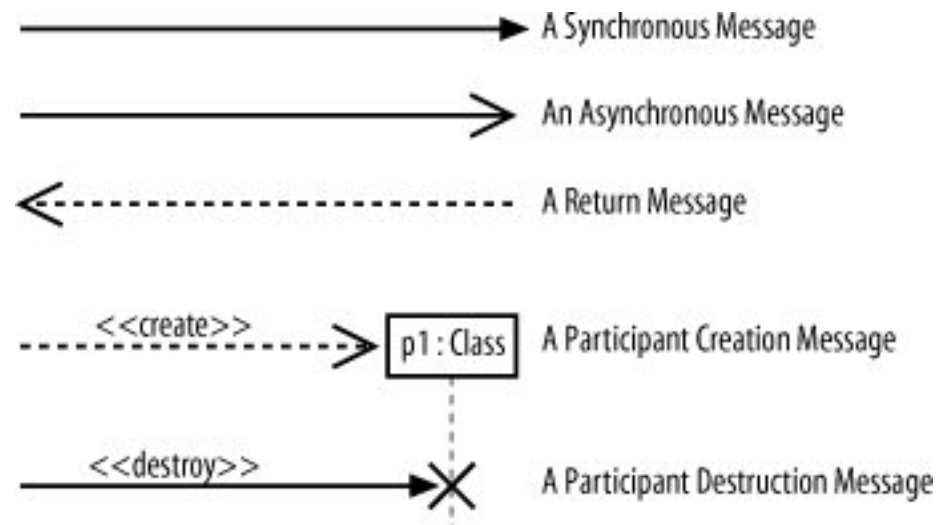
- dashed arrow back indicates return
- different arrowheads for synchronous / asynchronous methods



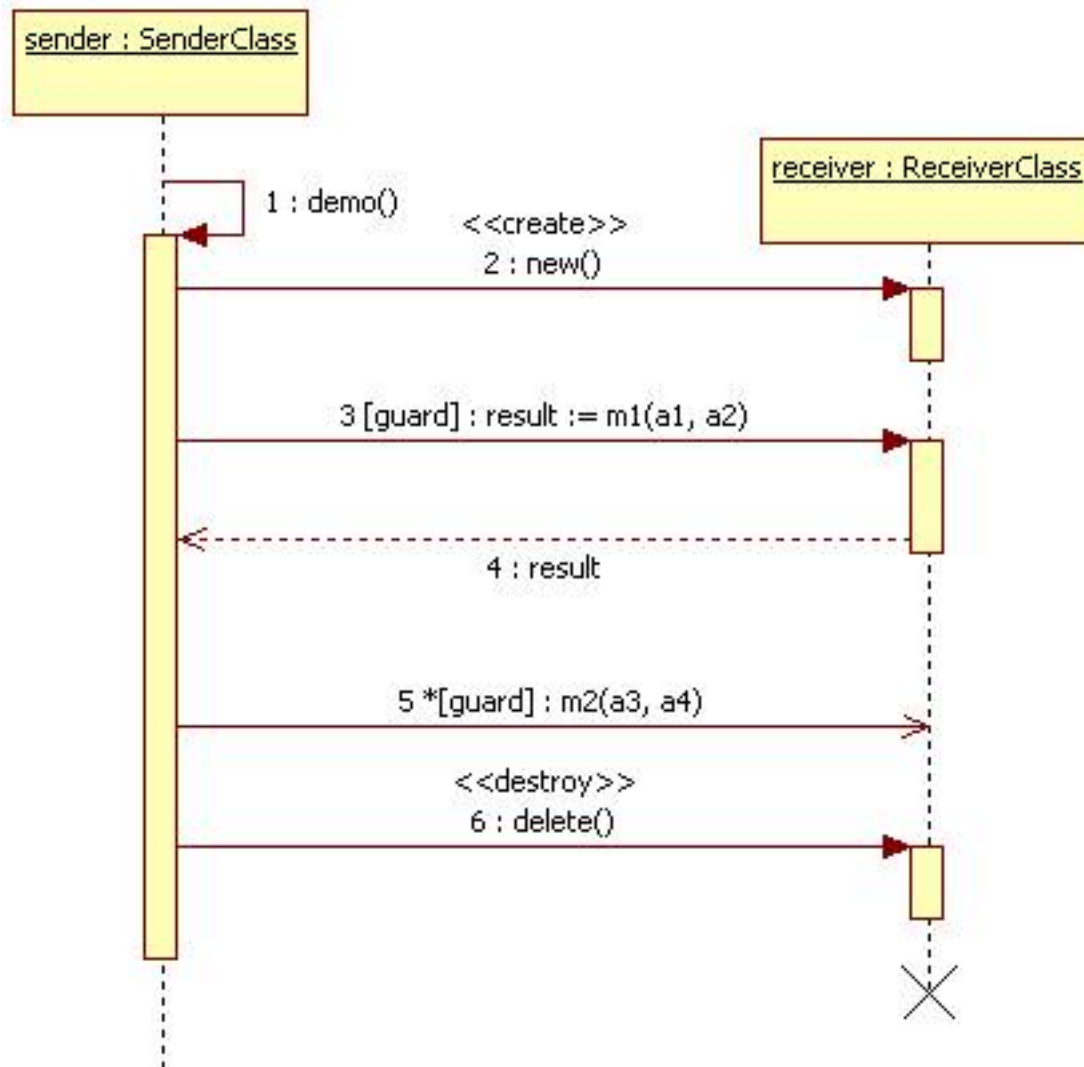


# Arrows in a sequence diagram

- A sequence diagram describes sequences of method calls among objects
- There are several types of method calls

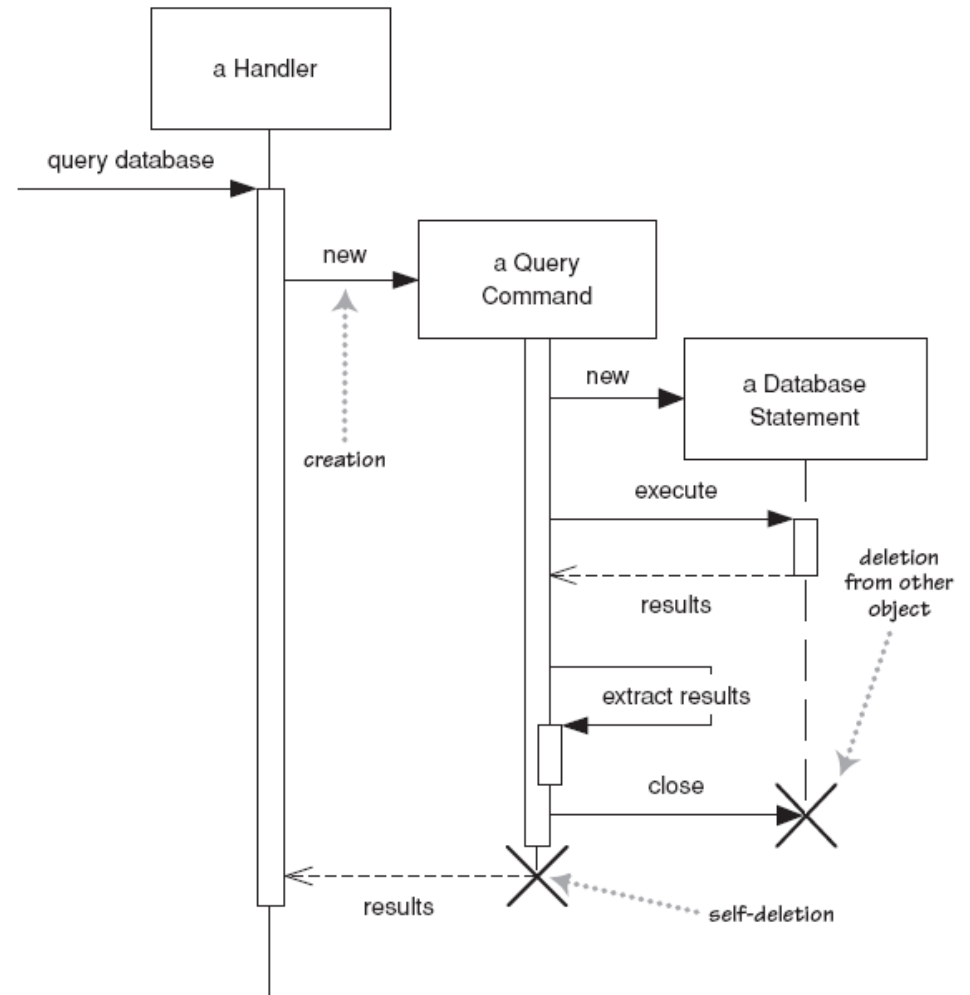


# Example with different msgs



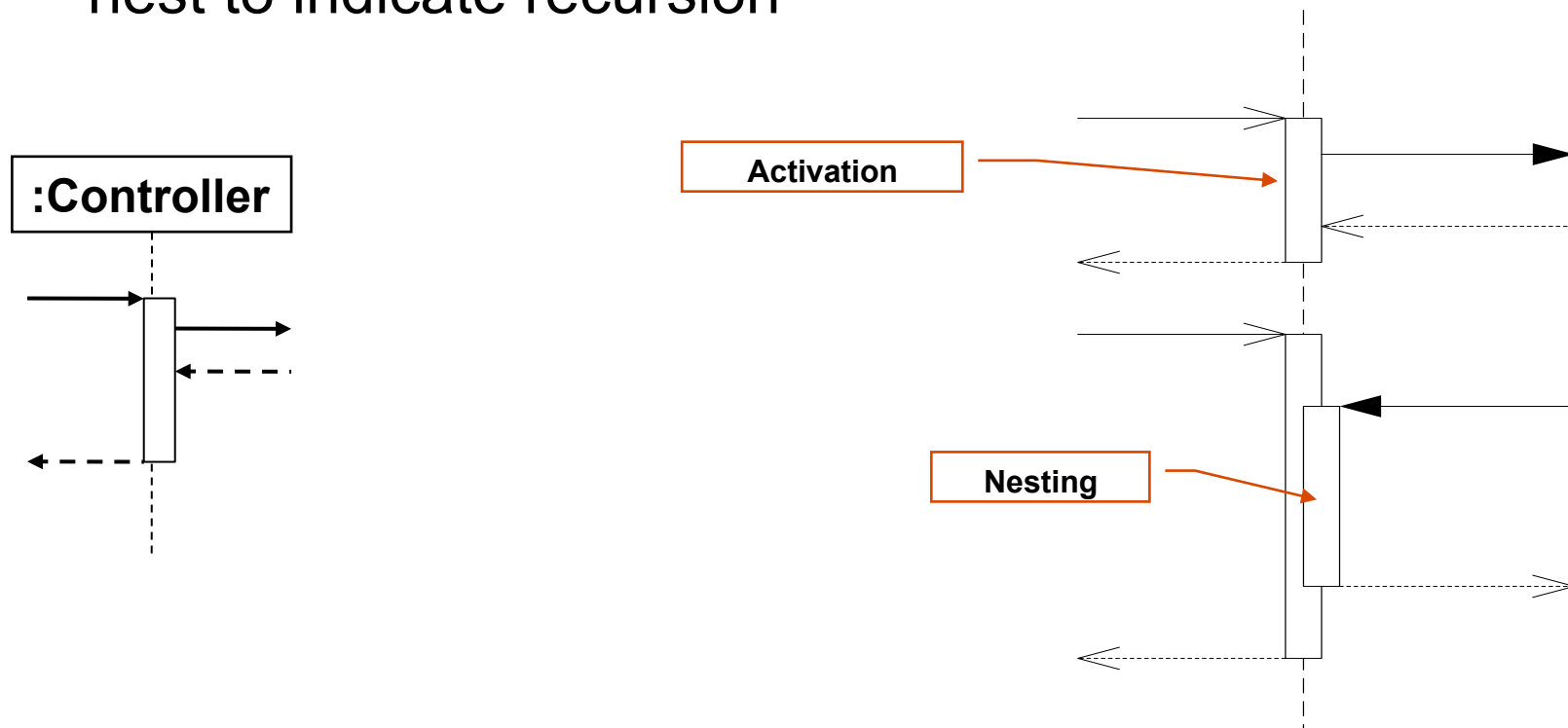
# Lifetime of objects

- creation: arrow with 'new' label
  - an object created after the start of the scenario appears lower than the others
- deletion: an X at bottom of object's lifeline
  - Java does not explicitly delete objects; they fall out of scope and are garbage-collected

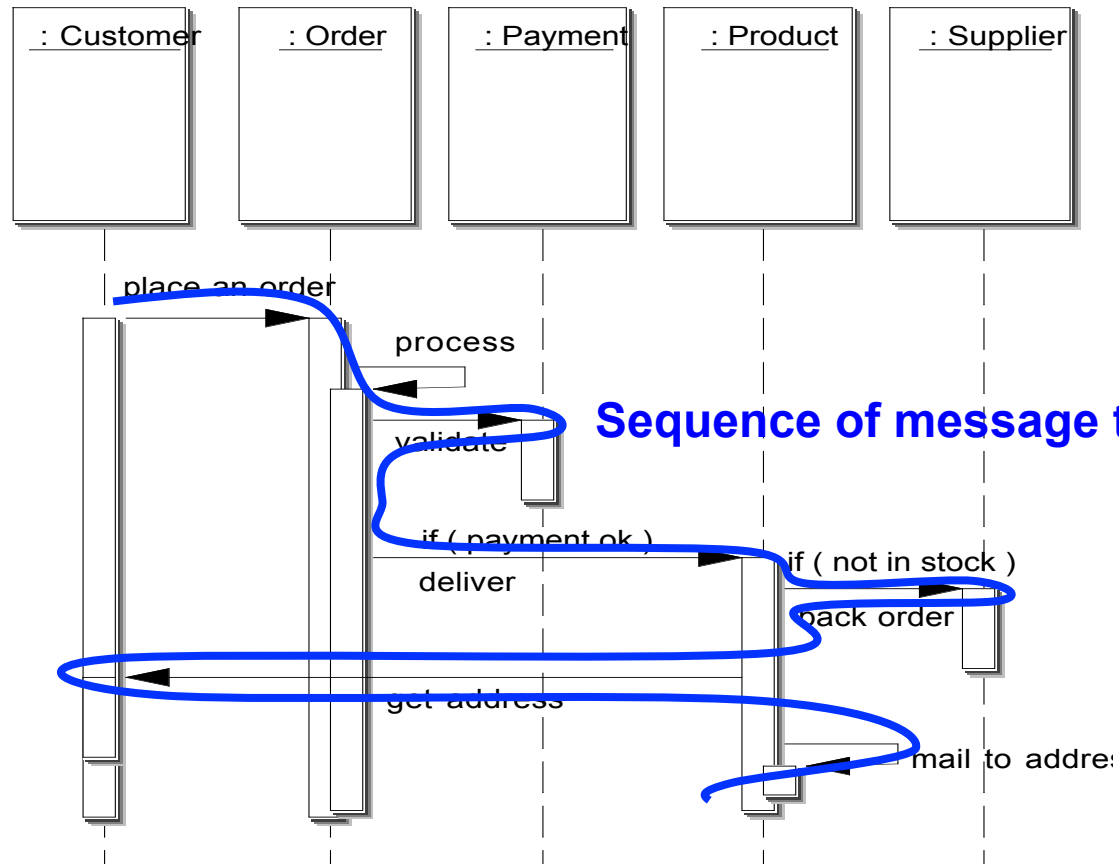


# Indicating method calls

- **activation**: thick box over object's life line
  - The object is running its code, or it is waiting for another object's method to finish
  - nest to indicate recursion

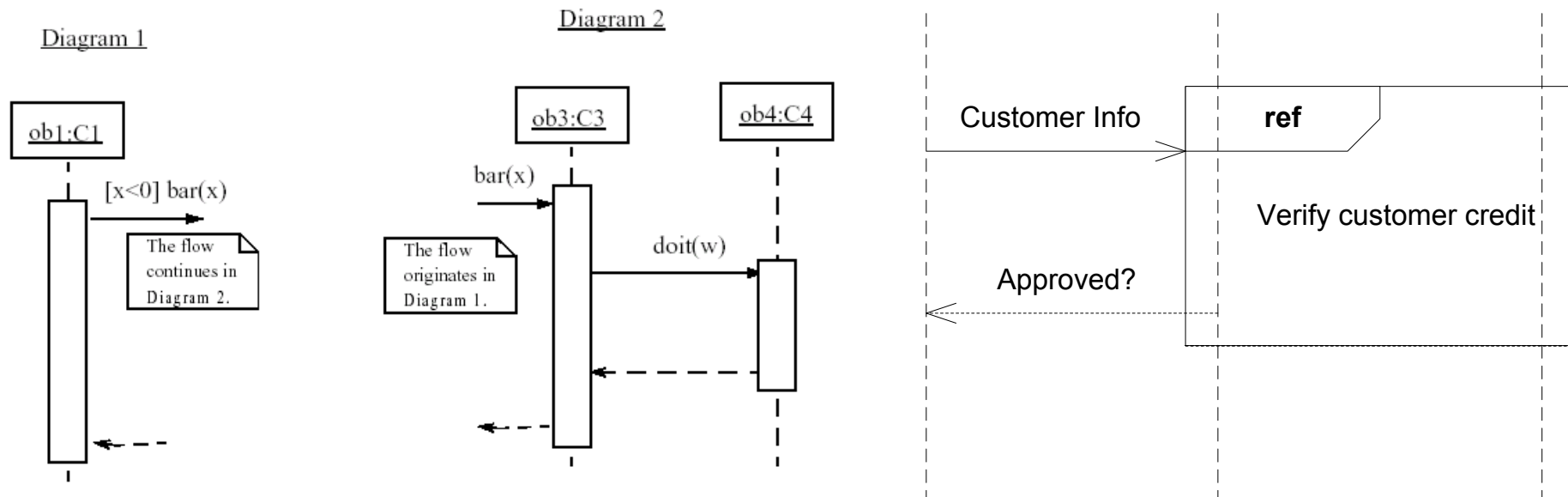


# Sequence diagram: flow



# Linking sequence diagrams

- if a sequence diagram is too large or refers to another diagram, indicate it with either:
  - an unfinished arrow and a comment
  - a “**ref**” frame that names the other diagram

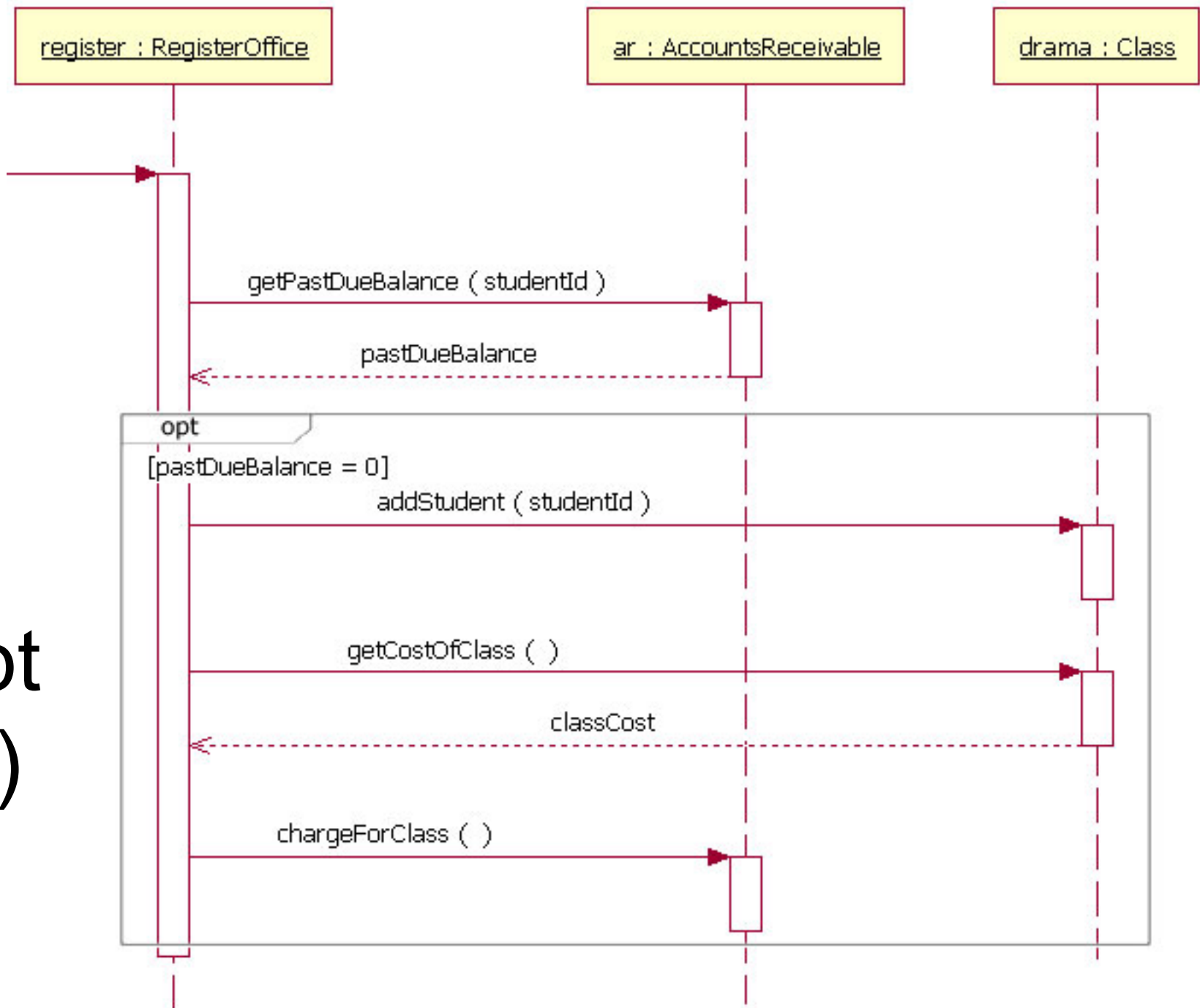


# Conditionals and loops

- frame: box around part of a sequence diagram to indicate selection or loop
  - if: (`opt`) [`condition`]
  - if-else: (`alt`) [`condition`] else [`condition`]
  - loop: (`loop`) [`condition to loop over`]

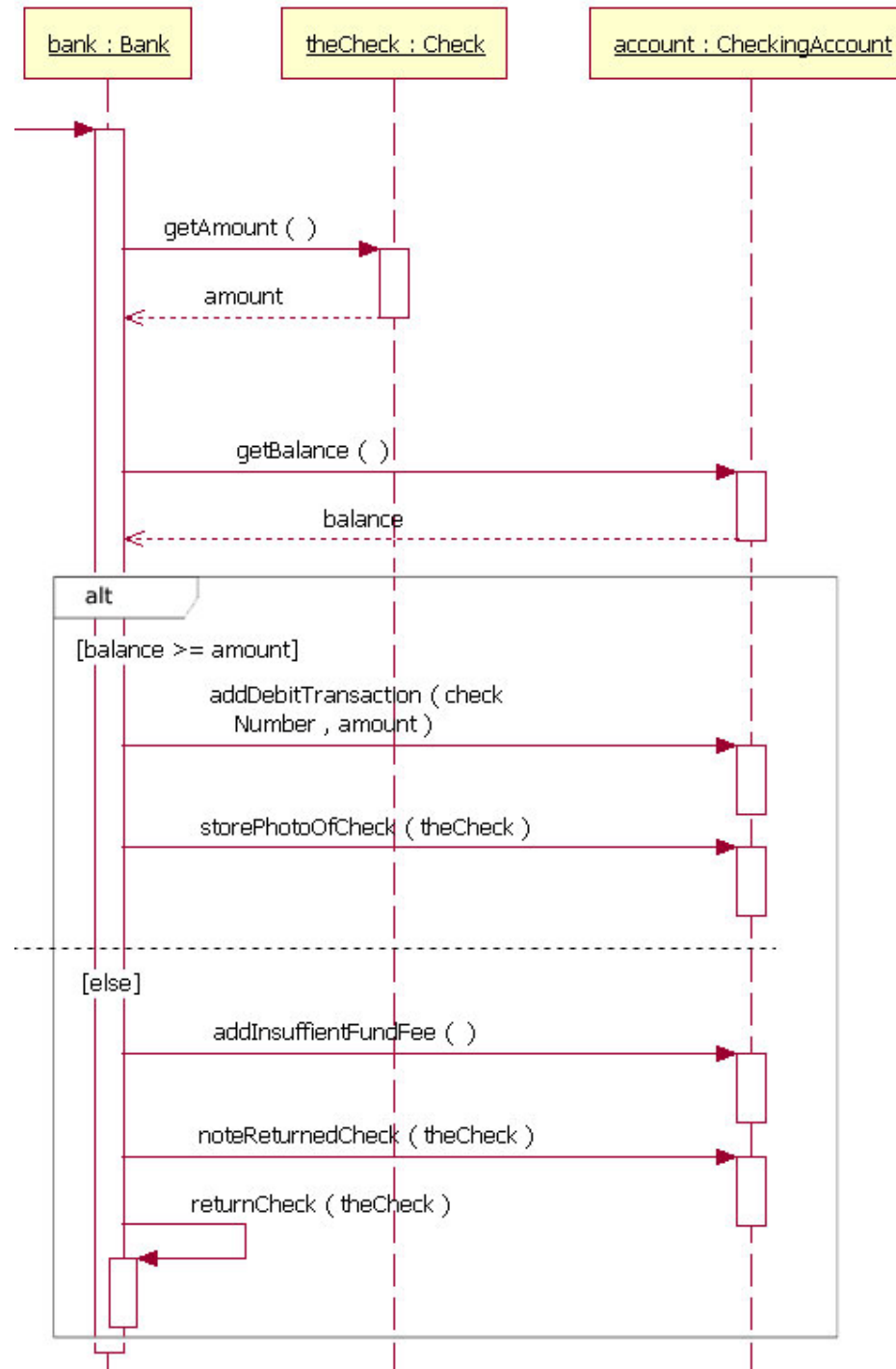
Examples: see the next three slides

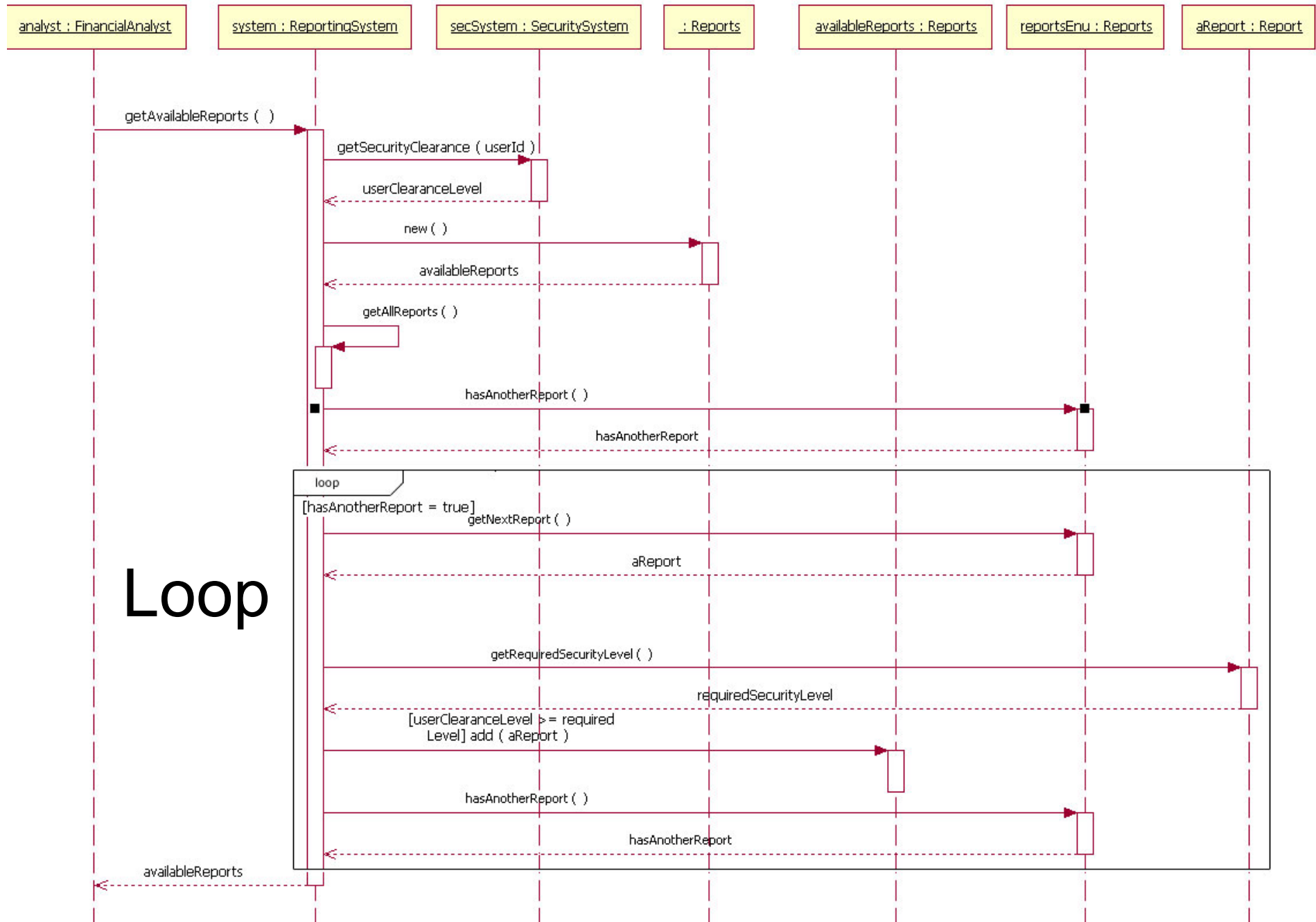
Opt  
(if)





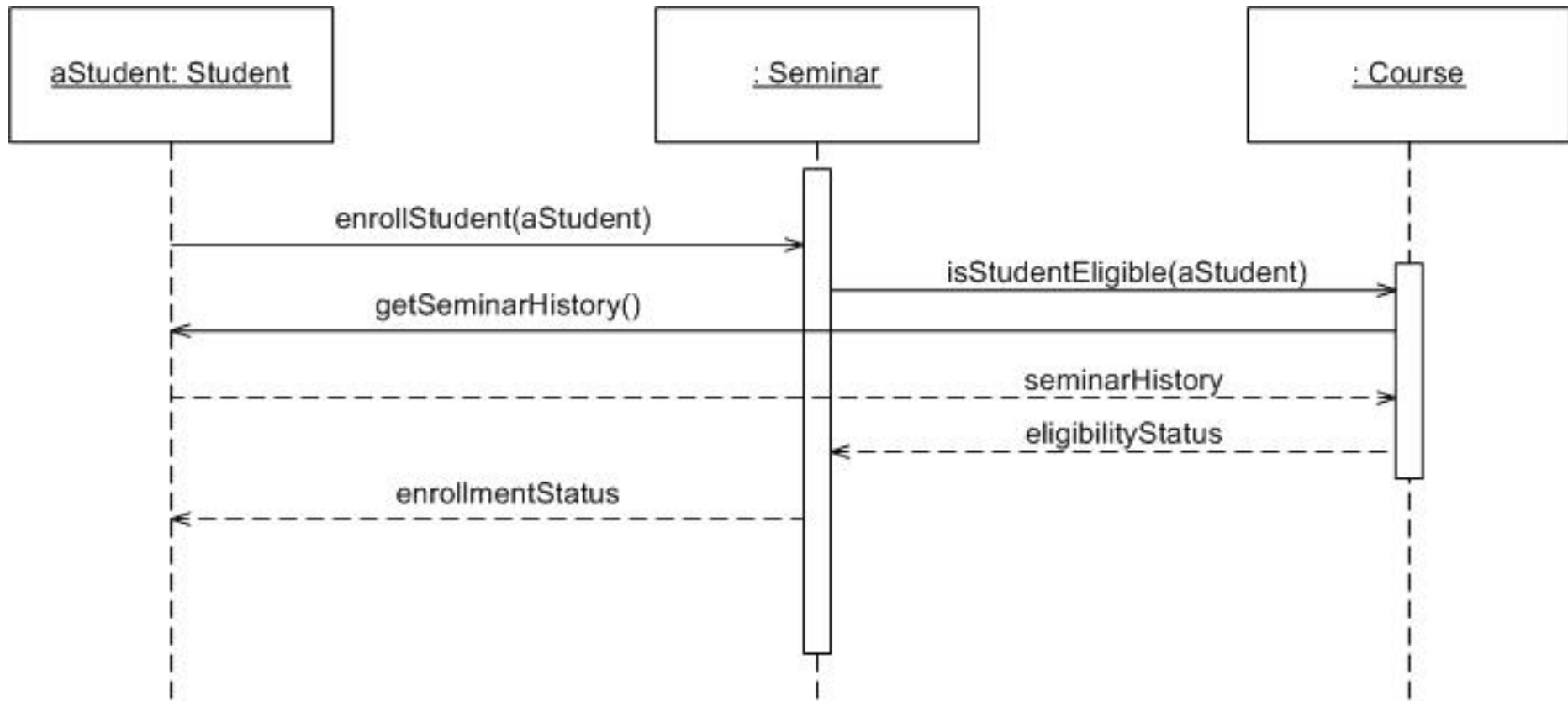
# Alt (if-else)



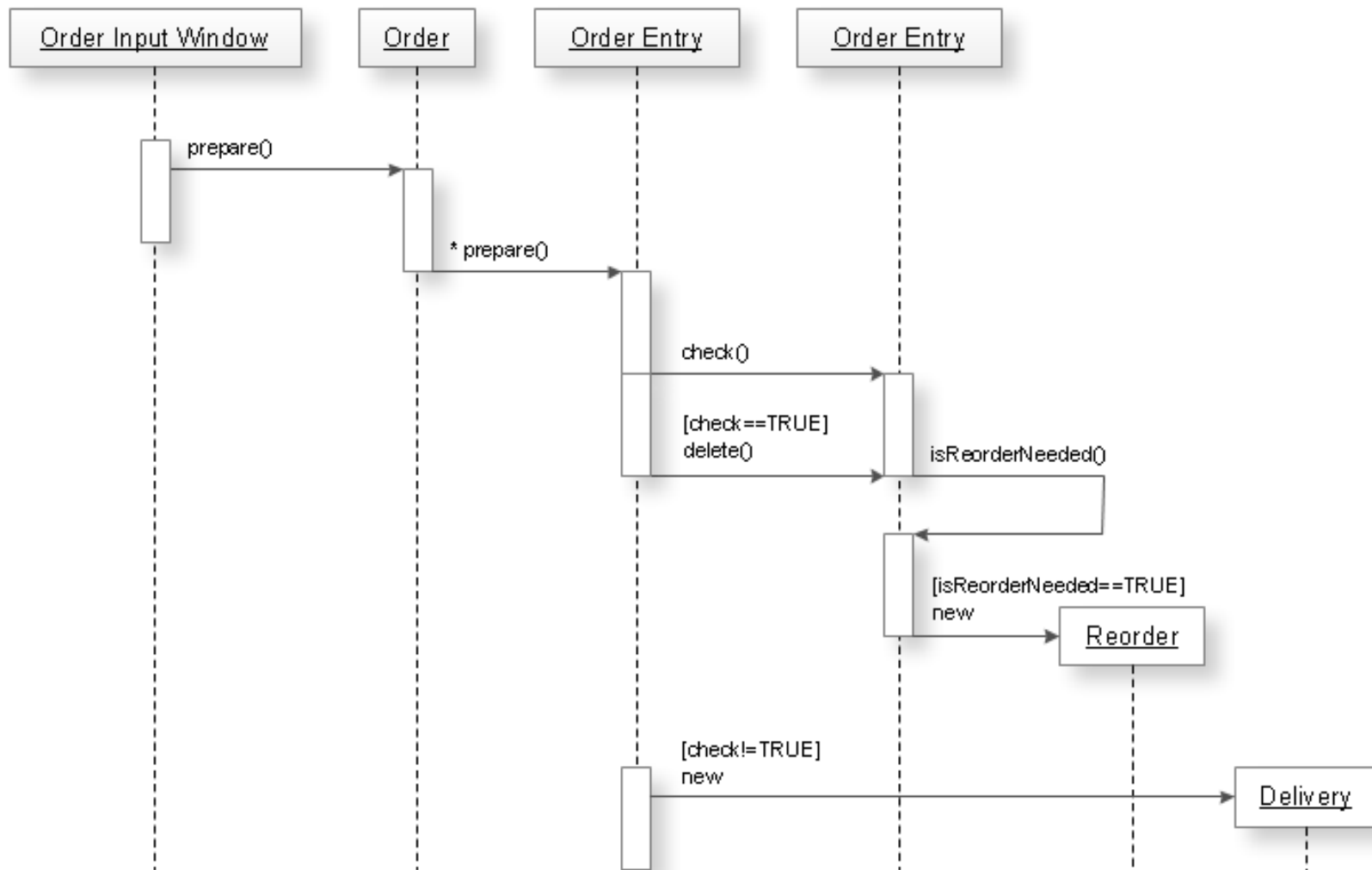


# Example

A SD highlights the objects involved in an activity



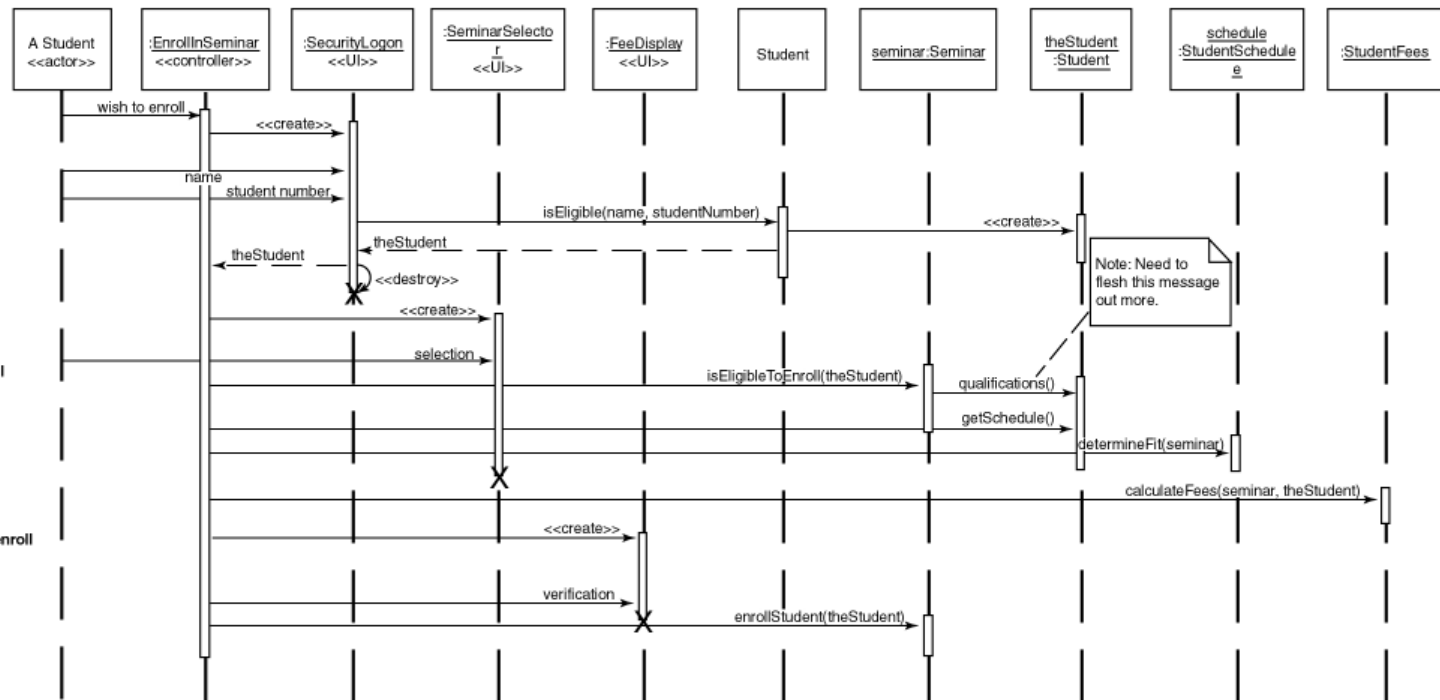
# Example



# Example

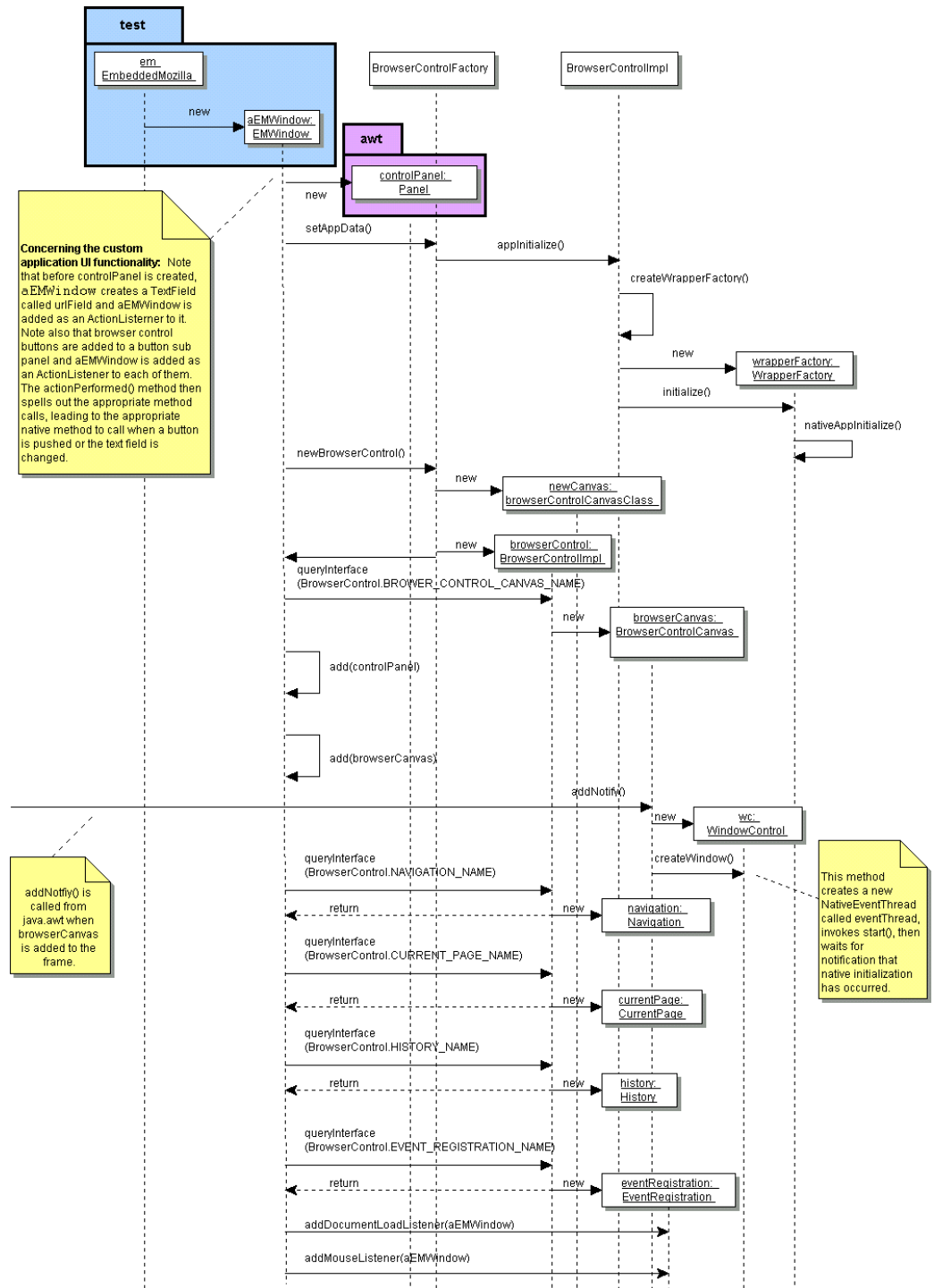
Enroll In Seminar  
Basic Course of Action  
SD#: UC17-01

1. Student indicates wish to enroll
2. Student inputs name and number
3. System verifies student
4. System displays seminar list
5. Students picks seminar
6. System determines eligibility to enroll
7. System determines schedule fit
8. System calculates fees
9. System displays fees
10. System verifies students wishes to enroll
11. Students indicates yes.
12. System enrolls student in seminar



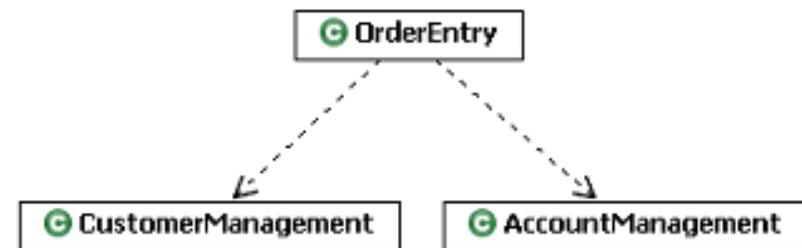
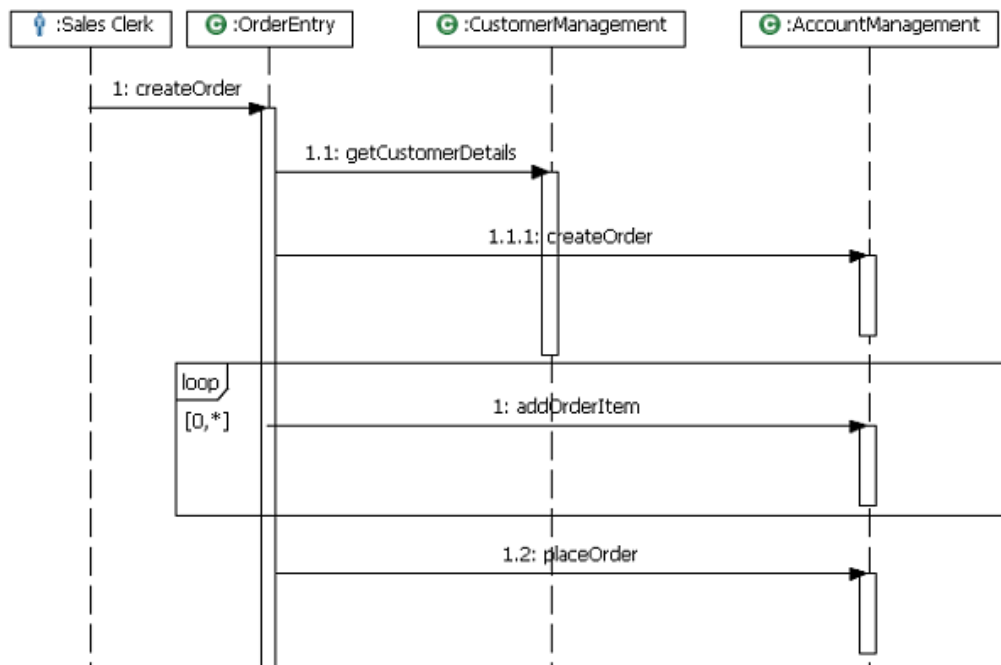


# A real example (from Mozilla.org)

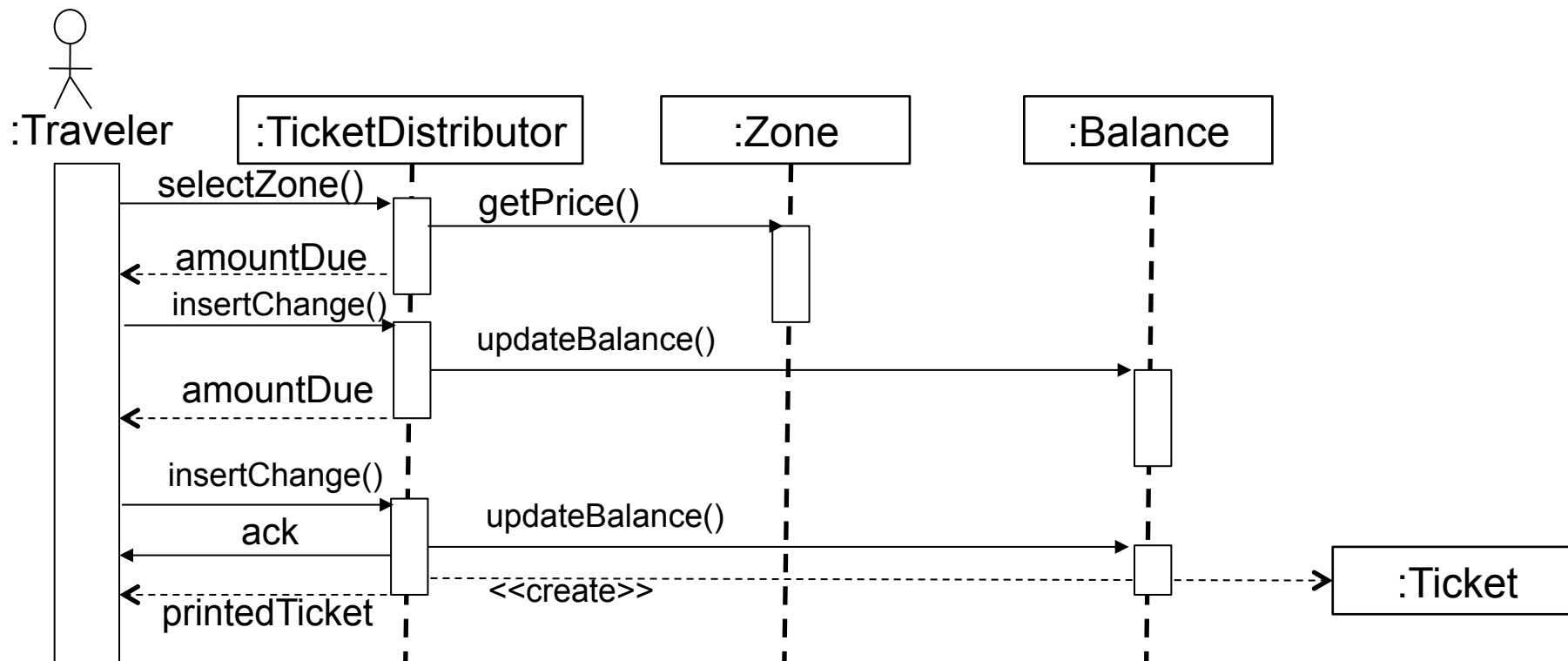
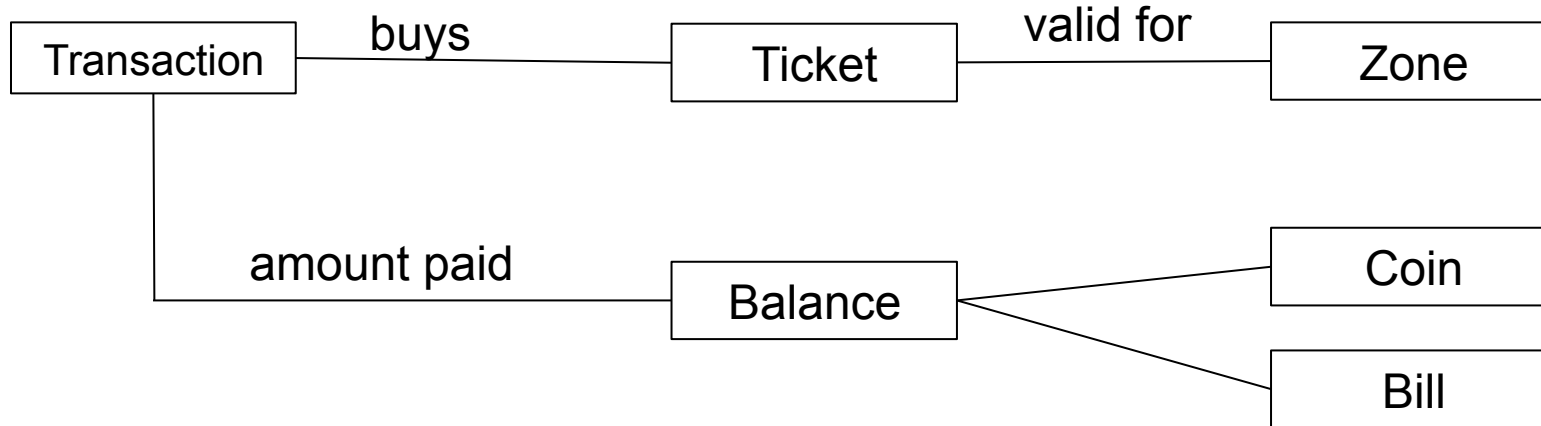


# Consistency among diagrams

We can derive the dependencies shown in a class diagram from the interactions defined in a sequence diagram







# Exercise



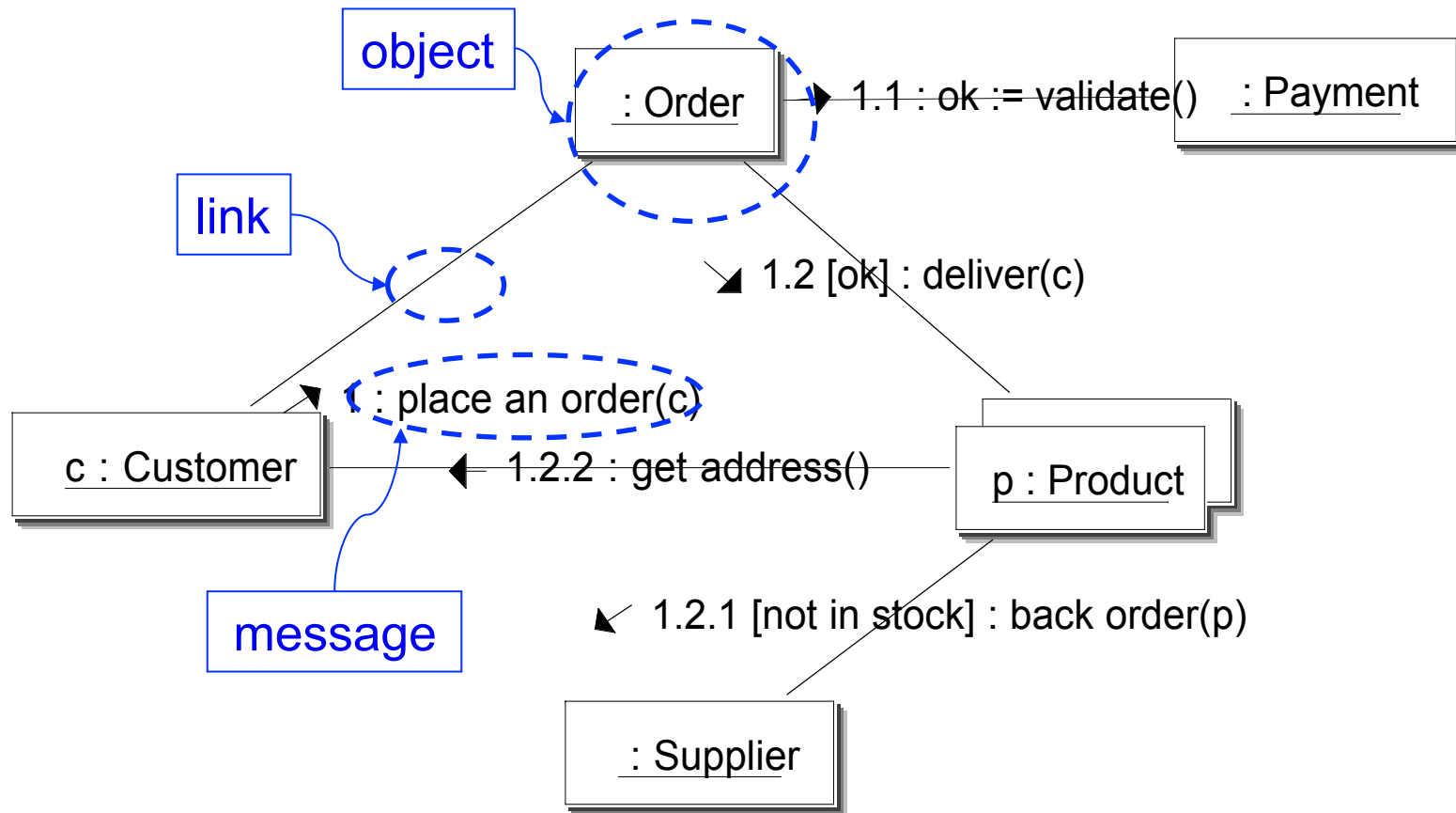
Draw a sequence diagram showing how a customer interacts with a travel agency, a station and a train to reach some destination

Draw a sequence diagram to show how a user prints a document on a printer, and a counter keeps a count of printed pages

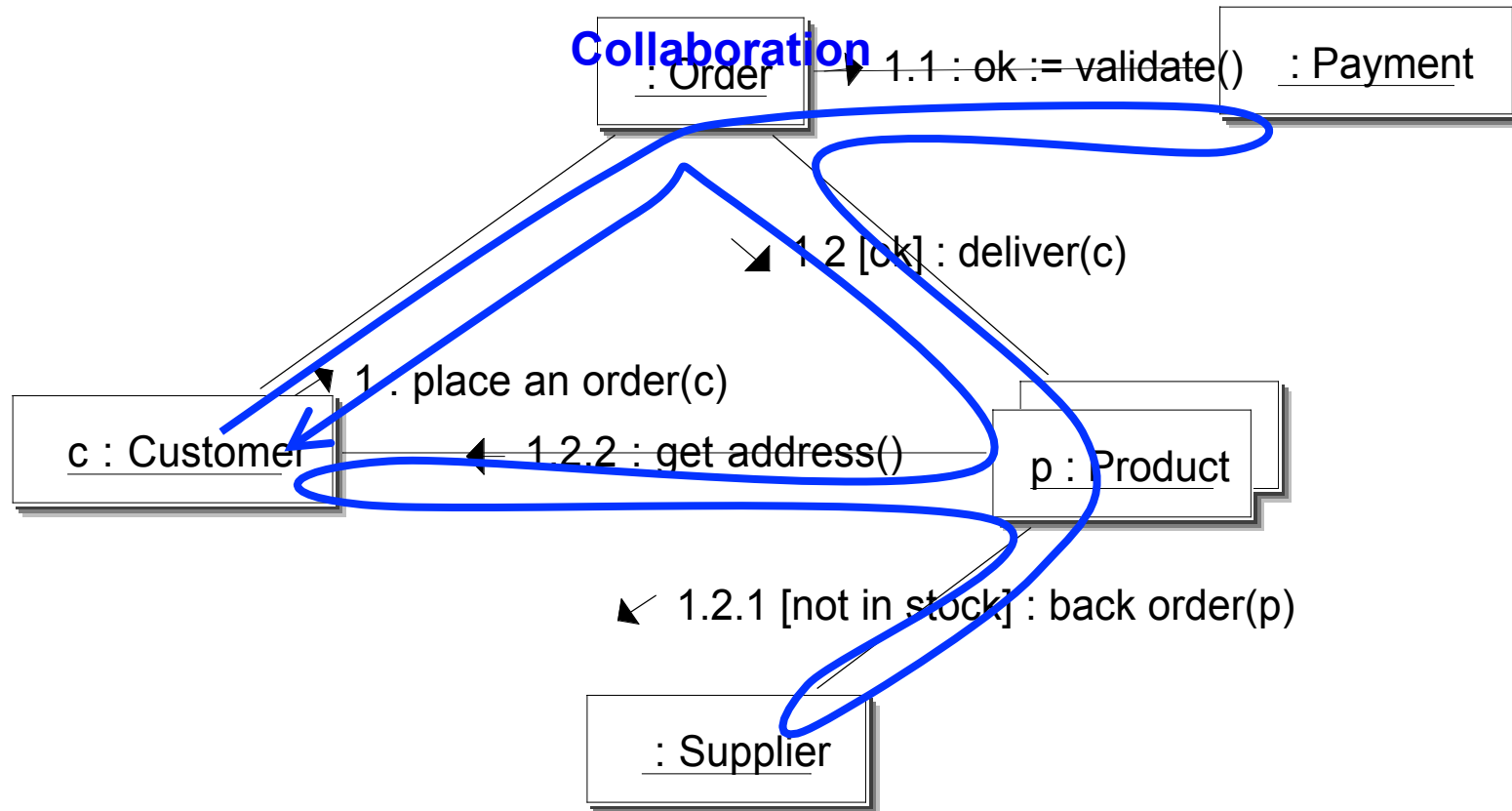
# Communication (collaboration) diagram

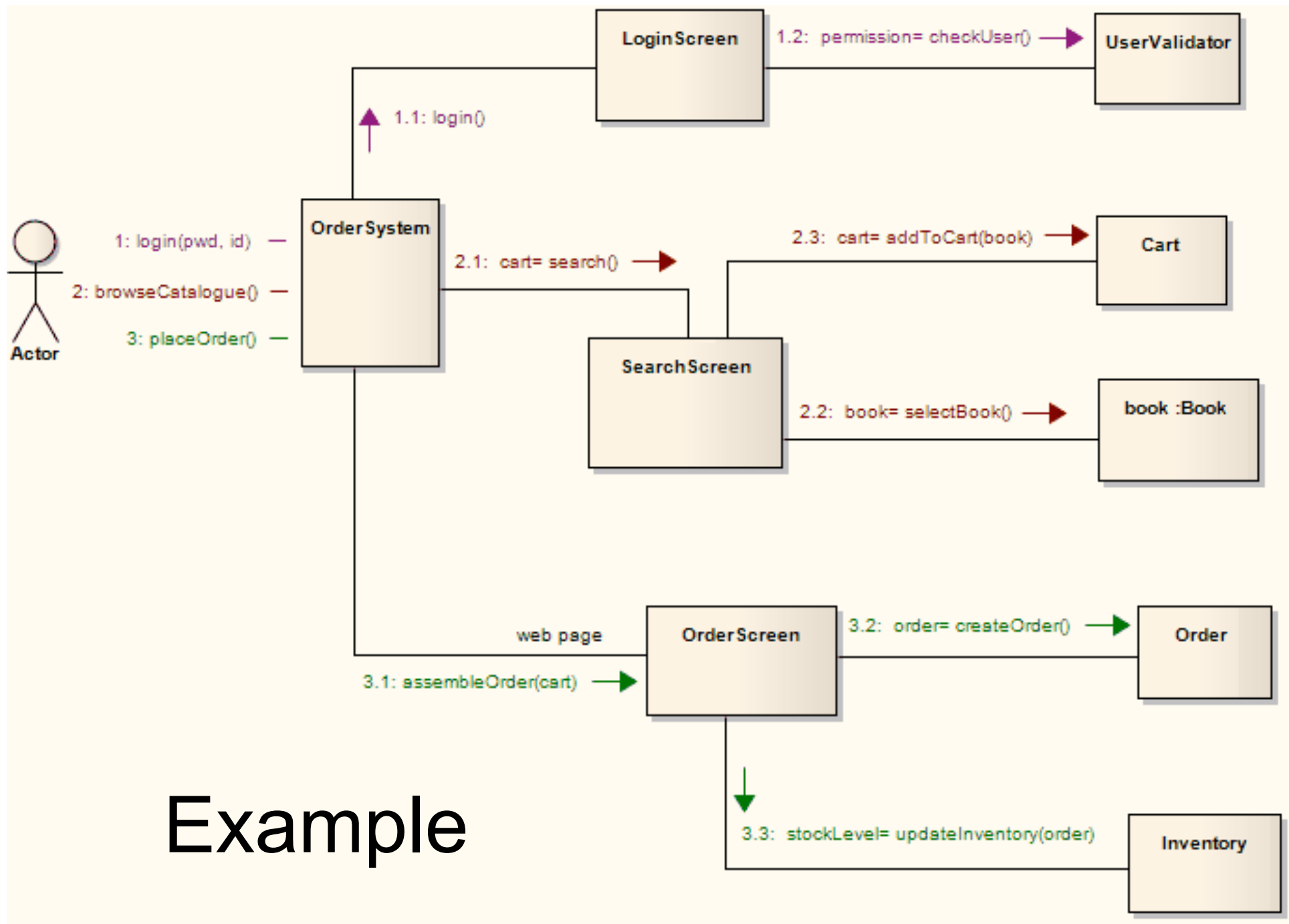
- Communication diagrams show the message flow between objects in an application
- They also show implicitly the basic associations between classes
- Communication diagrams are drawn in the same way as sequence diagrams (and can be semantically equivalent to them)

# Communication diagram



# Communication diagram

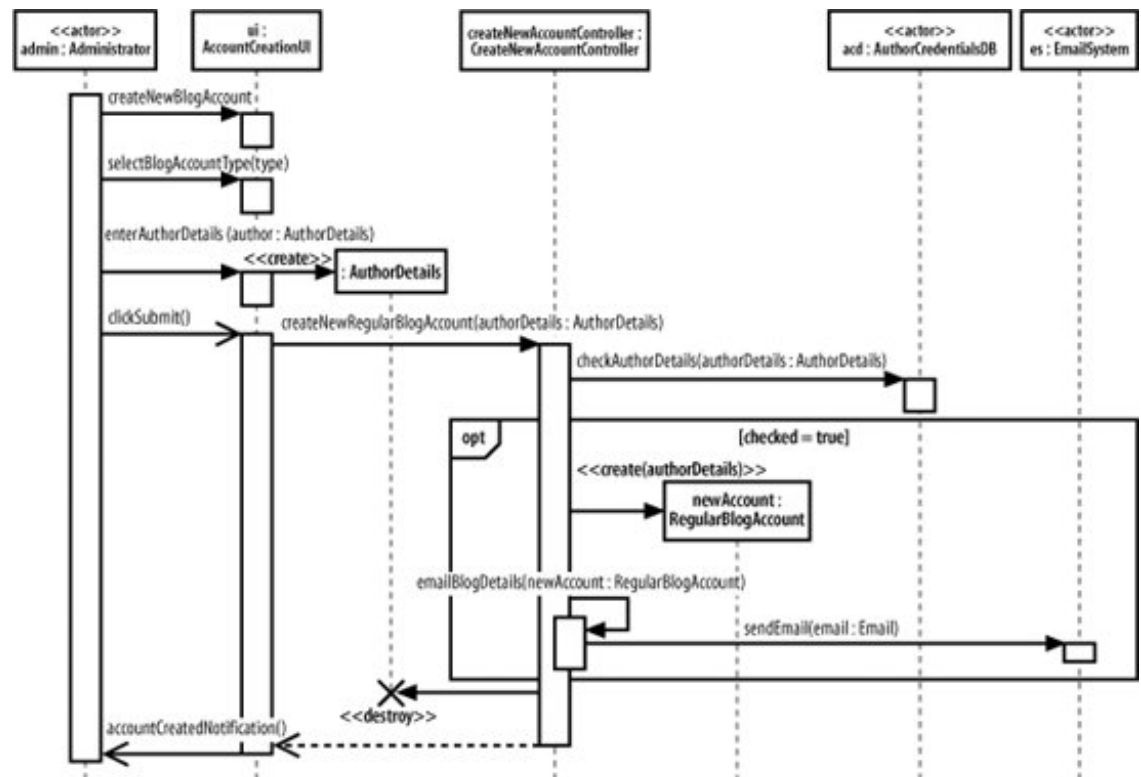




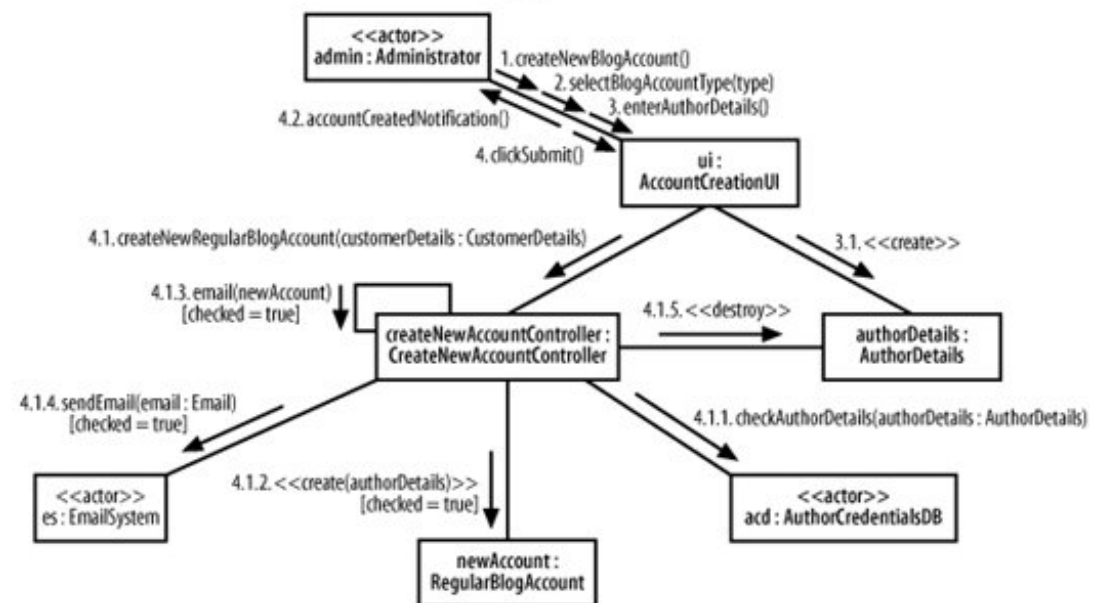
# Example

# SD vs CD

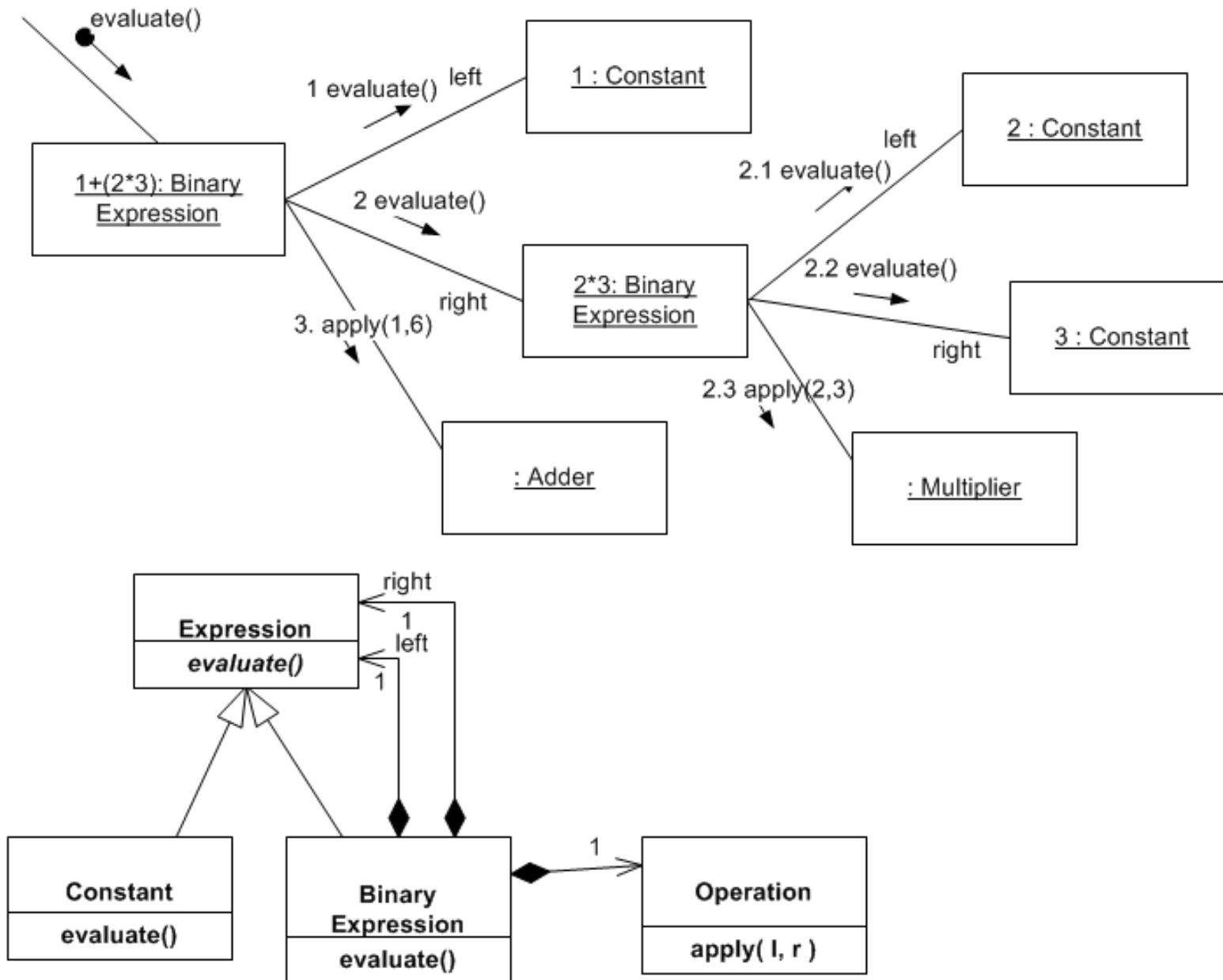
- These two diagrams are equivalent
- Communication diagrams correspond to **simple** Sequence diagrams that use none of the structuring mechanisms such as *interaction uses* or *combined fragments*
- Some complex sequence diagrams can not be represented by equivalent communication diagrams



Vs.



# Consistency among diagrams





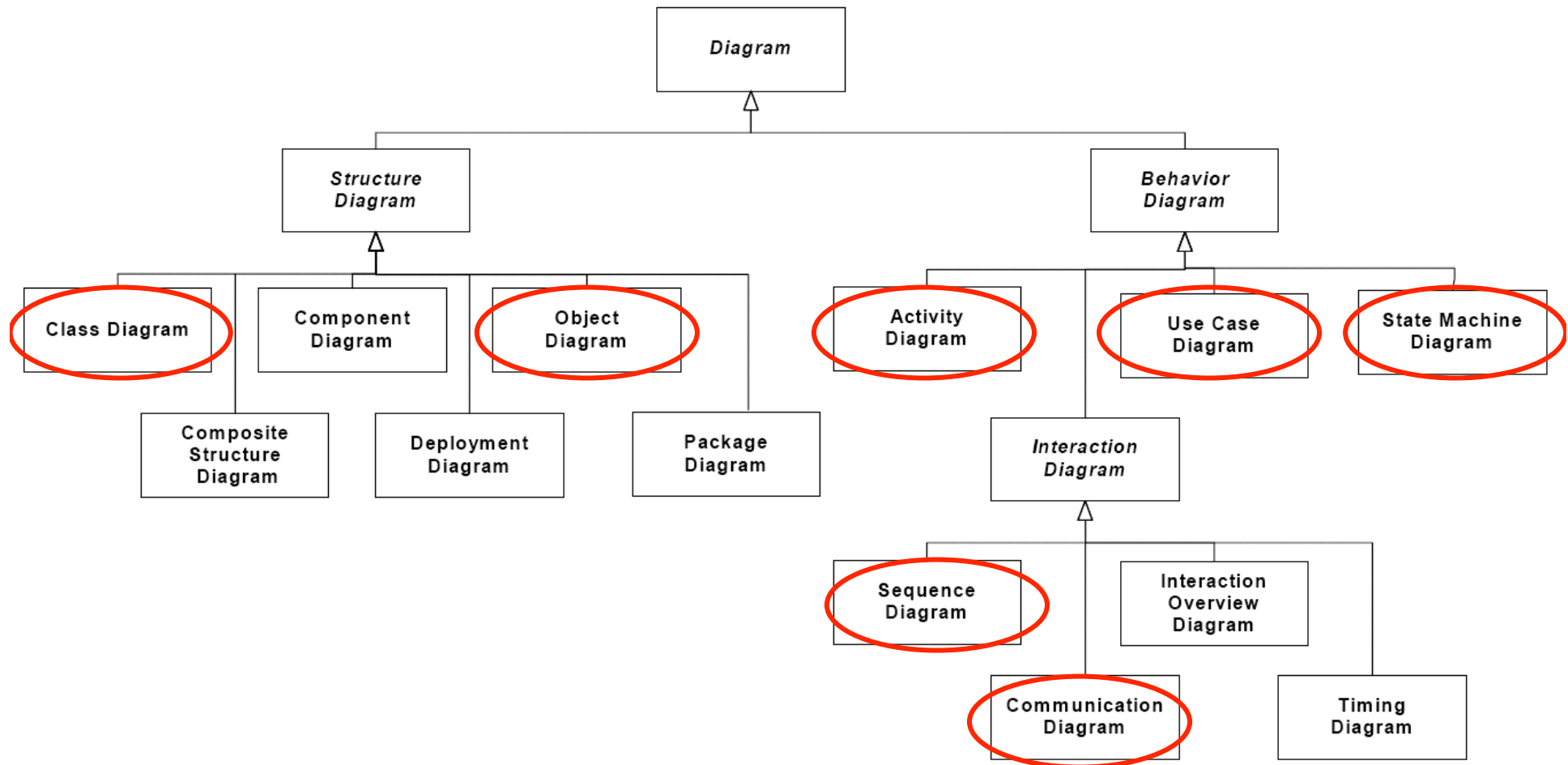
# Exercise



Draw a communication diagram showing how a customer interacts with a travel agency, a station and a train to reach some destination

Draw a communication diagram to show how a user prints a document on a printer, and a counter keeps a count of printed pages

# Basic diagrams we have seen



# Other diagrams

Diagrams we have seen in this lecture:

- Use case, class, object, statechart, activity, interaction (sequence and collaboration)

We could add (using UML 1.\*):

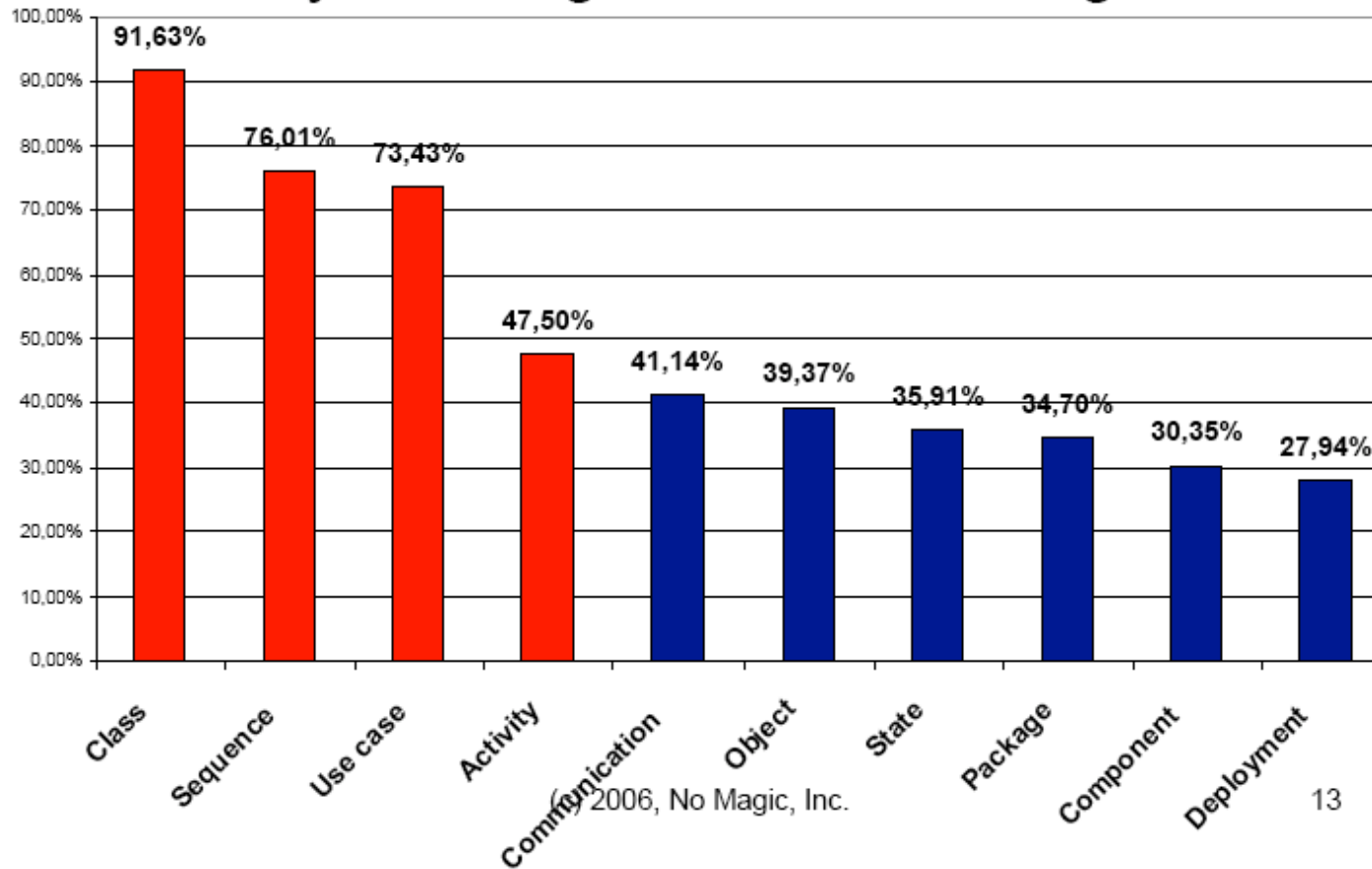
- Component, Deployment

We could add (using UML 2.\*):

- Composite structure, Profiles, Package, Interaction Overview, Timing

# Usage survey

## Survey on Usage of UML 1.x Diagrams



# Main diagrams

The main diagrams that are used in most views are :

- **Use case** diagram
- **Class** diagram
- **Sequence** diagram
- **Activity** diagram

# Discuss

- Which diagrams are most useful in each lifecycle phase?



# Diagrams in lifecycle

... Requirements ... Design ... Implementation

Use Case

Class diagram

Sequence diagram

Activity diagrams and Statecharts

# Diagrams during design

Three main types:

- Class diagrams for domain entities and data structures
- Sequence diagrams for multiple objects interactions via messages
- Statecharts for behaviors and algorithms of a single object



# Exercise



Draw, on some game-playing domain (eg. Chess):

- A class diagram
- An object diagram
- A statechart
- A sequence diagram
- A communication diagram
- An activity diagram

# Conclusions

- UML is a notation still evolving under control of OMG
- It offers several diagram types, in order to describe different views on a model
- Basic diagrams from UML 1.\* are: use cases, classes, behaviors (statechart+activity), interactions (sequence +communication)
- Several tools available
- UML and the diagrams need a *process* to be used consistently and effectively (for instance, RUP)

# Summary

- UML includes a number of diagram-based notations to **model** software systems using an object oriented approach
- UML is **not** a process (it needs a process, like for instance the RUP)
- It is **not** proprietary: it is an OMG (Object Management Group) and ISO standard

# Caveat emptor

*When Jim, Ivar, and I began our journey that became manifest in the UML, we never intended it to become a programming language...UML was to be a language for visualizing, specifying, constructing, and documenting the artifacts of a software-intensive system—in short, a graphical language to help reason about the design of a system as it unfolds.*

*Most diagrams should be thrown away, but there are a few that should be preserved, and in all, one should only use a graphical notation for those things that cannot easily be reasoned about in code.*

*As I've also often said, the code is the truth, but it is not the whole truth, and there are things such as rationale, cross-cutting concerns, and patterns that cannot easily be recovered or seen from code...*

*These are the things for which a graphical notation adds value, and any such notation should be used only if it has predictive power or reasoning power (meaning, you can ask questions about it).*

**Grady Booch**

# Self test questions

- Which are the UML 1.\* canonical diagrams?
- What is a use case?
- What is a class diagram? What is an object diagram?
- How do we describe a tree-like data structure in a class diagram?
- What is an interaction diagram?
- What is a protocol state machine?
- What is the difference between statecharts and activity diagrams?

# Readings

- On use cases

[www.ibm.com/developerworks/rational/library/5383.html](http://www.ibm.com/developerworks/rational/library/5383.html)

- On class diagrams

[www.ibm.com/developerworks/rational/library/content/RationalEdge/sep04/bell/index.html](http://www.ibm.com/developerworks/rational/library/content/RationalEdge/sep04/bell/index.html)

- On activity diagrams

[www.ibm.com/developerworks/rational/library/2802.html](http://www.ibm.com/developerworks/rational/library/2802.html)

- On sequence diagrams

[www.ibm.com/developerworks/rational/library/3101.html](http://www.ibm.com/developerworks/rational/library/3101.html)

# UML Specification Documents

- OMG, *UML Specification* version 1.5, 2003
- OMG, *UML Superstructure* version 2.4.1, 2011
- Rumbaugh, Jacobson, Booch, *The UML Reference Manual*, Addison Wesley, 1999 and 2004 (2<sup>nd</sup> ed)

# References on using UML

- Booch, Rumbaugh, Jacobson, *The UML User Guide*, Addison Wesley, 1998 and 2005 (2ed)
- Fowler, *UML Distilled*, 3ed, Addison Wesley, 2003
- Pitone and Pitman, *UML 2.0 in a Nutshell*, OReilly, 2005
- Ambler, *The Elements of UML 2.0 Style*, Cambridge University Press, 2005



# Useful sites

- [www.uml.org](http://www.uml.org) **Documents defining the standard**
- [www.omg.org](http://www.omg.org)
- [www.uml-diagrams.org/](http://www.uml-diagrams.org/)
- [www.agilemodeling.com/essays/umlDiagrams.htm](http://www.agilemodeling.com/essays/umlDiagrams.htm)
- [www.tutorialspoint.com/uml/index.htm](http://www.tutorialspoint.com/uml/index.htm)
- [www-306.ibm.com/software/awdtools/rmc/library](http://www-306.ibm.com/software/awdtools/rmc/library)
- [msdn.microsoft.com/en-us/library/dd409436.aspx](http://msdn.microsoft.com/en-us/library/dd409436.aspx)
- [www.cs.gordon.edu/courses/cs211/ATMExample](http://www.cs.gordon.edu/courses/cs211/ATMExample)
- [opensource.objectsbydesign.com](http://opensource.objectsbydesign.com)
- [vinci.org/uml/](http://vinci.org/uml/)
- [www.cragssystems.co.uk/ITMUML/index.htm](http://www.cragssystems.co.uk/ITMUML/index.htm) **Online courseware**
- [www.eclipse.org/modeling/mdt/uml2/docs/articles/Getting\\_Started\\_with\\_UML2/article.html](http://www.eclipse.org/modeling/mdt/uml2/docs/articles/Getting_Started_with_UML2/article.html)

# Tools

- [Eclipse](#) + several plugins, like [Omondo](#)
- [argouml.tigris.org](http://argouml.tigris.org) [Argo](#) or [Poseidon](#)
- [www.genmymodel.com](http://www.genmymodel.com) [free online tool, sharable diagrams](#)
- [www.lucidchart.com](http://www.lucidchart.com) [web application, need license](#)
- [violet.sourceforge.net](http://violet.sourceforge.net) [Open source editor for UML](#)
- [www.borland.com/us/products/together/index.html](http://www.borland.com/us/products/together/index.html) [Borland Together](#)
- [www.visual-paradigm.com](http://www.visual-paradigm.com) [Visual Paradigm suite](#)
- [www.nomagic.com](http://www.nomagic.com) [Magicdraw suite](#)
- [abstratt.com](http://abstratt.com) [text UML](#)
- [www.umlgraph.org](http://www.umlgraph.org) [web application for class and seq diagrams](#)
- [www-01.ibm.com/software/rational/](http://www-01.ibm.com/software/rational/) [Rational Rose](#)
- [jazz.net](http://jazz.net) [IBM platform](#)
- [smartuml.sourceforge.net](http://smartuml.sourceforge.net) [UML on tablet PC](#)
- [metauml.sourceforge.net](http://metauml.sourceforge.net) [Beautiful UML diagrams in LaTeX](#)
- [softwarestencils.com/uml](http://softwarestencils.com/uml) [Images reusable in a graphic editor](#)
- [yuml.me](http://yuml.me) [Fast draw of UML diagrams for web pages](#)

# UML blogs and fan clubs

- [www.linkedin.com/groups/UML-Lovers-143183/about](http://www.linkedin.com/groups/UML-Lovers-143183/about)
- [bulldozer00.com/uml-and-sysml/](http://bulldozer00.com/uml-and-sysml/)
- [geertbellekens.wordpress.com/about-geert-bellekens/](http://geertbellekens.wordpress.com/about-geert-bellekens/)

# Questions?

