Towards a conceptual history of programming languages : Types

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## The big project

Reflect and trace the interaction of mathematical logic and programming (languages), identifying some of the driving forces of this process.

First episode: Types HaPOC 2015, Pisa: from 1955 to 1970 (circa) Cie 2016, Paris: from 1965 to 1975 (circa)

Towards a *Conceptual History of Programming Languages* Collegium - Lyon Institute for Advanced Studies, 2018-2019



## Why types?

Modern programming languages:

- control flow specification: small fraction
- abstraction mechanisms to model application domains.
- Types are a crucial building block of these abstractions

And they are a mathematical logic concept, aren't they?



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- And they are a mathematical logic concept, aren't they?



## We today conflate:

- Types as an implementation (representation) issue
- Types as an abstraction mechanism
- Types as a classification mechanism (from mathematical logic)

#### One of the goals:

separate them and identify when they arrive in the PL literature



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## Framing it in a larger context

### The quest for a "Mathematical Theory of Computation"

How does mathematical logic fit into this theory?

And for what purposes?



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How does mathematical logic fit into this theory?

And for what purposes?





## Goldstine and von Neumann

# [...] coding [...] has to be viewed as a logical problem and one that represents a new branch of formal logics.

#### 

Hermann Goldstine and John von Neumann Planning and Coding of problems for an Electronic Computing Instrument Report on the mathematical and logical aspects of an electronic computing instrument, Part II, Volume 1-3, April 1947. Institute of Advanced Studies.



## Boxes in flow diagrams



## Goldstine and von Neumann, 2

### Boxes in flow diagrams

- operation boxes
- substitution boxes
- assertion boxes

#### 

The contents of an assertion box are one or more relations.

An assertion box [...] indicates only that certain relations are automatically fulfilled whenever [the control reaches that point]

Free and bound variables, etc.



## Goldstine and von Neumann

## Logic as the discipline to prove assertions



## Turing

## Lecture on Automatic Computing Engine

London Mathematical Soc., 20 Feb 1947. Typewritten notes, in Turing Archive, AMT/C/32

## High-level languages

trouble is bound to result. Actually one could communicate with these mechines in any longuese provided it was an exact language, i.e. in principle one should be able to communicate in any symbolic logic, provided that the machine were given instruction tobles which would enable it to interpret that Bogivel evetem. This should meen that there will be much more practical score for lorical systems then As macine methamolier there has been in the nest/

## Turing

## Lecture on Automatic Computing Engine

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## High-level languages

In principle one should be able to communicate [with these machines] in any symbolic logic  $[\ldots]$ .

This would mean that there will be much more practical scope for logical systems than there has been in the past.





## They both see a bright future...

## Goldstine and von Neumann:

A logical problem  $\left[\ldots\right]$  that represents a new branch of formal logics.

### Turing:

There will be much more practical scope for logical systems.



## Turing, again: 1949

The programmer should make assertions about the various states that the machine can reach.

The checker has to verify that [these assertions] agree with the claims that are made for the routine as a whole.

Finally the checker has to verify that the process comes to an end.

A.M. Turing. Checking a large routine. Paper read on 24 June 1949 at the inaugural conference of the EDSAC computer at the Mathematical Laboratory, Cambridge. Discussed by Morris and Jones, Annals of the History of Computing, Vol. 6, Apr. 1984.



## Types: H.B. Curry, 1949

#### Types for memory words:

- containing instructions: orders
- containing data: quantities

#### Memoranda of Naval Ordnance Laboratory

[see De Mol, Carlé, and Bullyinck, JLC 2015]

Mathematical theory of programs Theorems in the style of: "well-typed expressions do not go wrong"

*G.W.* Patterson's review on JSL 22(01), 1957, 102-103 No known subsequent impact





## Knuth's recollection, circa 1962



## Knuth's recollection, circa 1962



I had never heard of "computer science"

The accepted methodology for program construction was [...]: People would write code and make test runs, then find bugs and make patches, then find more bugs and make more patches, and so on.

We never realized that there might be a way to construct a rigorous proof of validity [...] even though I was doing nothing but proofs when I was in a classroom

[D.K. Knuth, Robert W. Floyd, in memoriam. ACM SIGACT News 2003]

## Knuth's recollection, circa 1962

- EBB

The early treatises of Goldstine and von Neumann, which provided a glimpse of mathematical program development, had long been forgotten.

## Donald E. Knuth

Born, 1938 Bachelor and Master of science: Physics, Mathematics, 1960 PhD Mathematics, 1963 Stanford University, since 1968 *The Art of Computer Programming*: 1968 - today Turing Award, 1974 (he was 36...)

## A Mathematical Theory of Computation

It is reasonable to hope that the relationship between computation and mathematical logic will be as fruitful in the next century as that between analysis and physics in the last.

# John McCarthy, MIT 1961; Stanford 1963

From the conclusion of the final version of the paper (1963): A Basis for a Mathematical Theory of Computation. 1961: the Western Joint Computer Conference; 1962: IBM symposium in Blaricum, Netherlands; 1963: in *Computer Programming and Formal Systems*, North Holland.

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## John McCarthy

Born, 1927 Died, 2011

Bachelor of science: Mathematics, 1942 PhD Mathematics, 1951 MIT, 1956–1962 Stanford University, since 1963 Turing Award, 1971

Time sharing (MIT,BBN) Artificial intelligence Lisp

## Which matematics for computing?



#### Numerical analysis

Roundoff errors in matrix computation: Ax = b

Turing
Goldstine & von Neumann: solve
A'Ax = A'b, for A' transpose of A

Jim Wilkinson (Turing Aw. 1970): backward error analysis

## Which matematics for computing?

#### AUTOMATA STUDIES

W. K. ADITEY J. MG CARTYY Y. CULBERTEON M. L. MOREY M. D. DAVIS E. F. MOGRE L. C. KLEENE G. J. SHARNON K. BE LEEN M. SHARNON S. M. MAC KAY A. M. UTTLEY J. YON HEUMANN

PRINCEDON UNIVERSITY PRES

#### Automata theory

McCulloch and Pitts (1943)

Kleene ("regular events"), Nerode, Myhill, Shepherdson

Automata Studies, Shannon and McCarthy (eds) [Davis, Kleene, Minsky, Moore, etc.] Princeton Univ Press, 1956

Rabin and Scott. Finite Automata and their decision problems. IBM J. 1959

## A basis for a Mathematical Theory of Computation Expected practical Results:

In the second second

"Universal" = machine independent and general

Or To define a theory of the equivalence of computation processes Define equivalence-preserving transformations: optimization, compilation, etc.



A basis for a Mathematical Theory of Computation Expected practical Results:

To represent algorithms by symbolic expressions in such a way that significant changes in the behavior represented by the algorithms are represented by simple changes in the symbolic expressions.

Learning algorithms, whose modifiable behavior depends on the value of certain registers.



## A basis for a Mathematical Theory of Computation Expected practical Results:

- To represent computers as well as computations in a formalism that permits a treatment of the relation between a computation and the computer that carries out the computation.
- To give a quantitative theory of computation. There might be a quantitative measure of the size of a computation analogous to Shannon's measure of information.



We hope that the reader will not be angry about the contrast between the great expectations of a mathematical theory of computation and the meager results presented in this paper.



## Contents

- a class of recursively computable functions
- based on arbitrary domains of data and operations on them
- with conditional expressions
- functionals
- a general theory of datatypes
- recursion induction to prove equivalences



## Reflections

- A mathematical theory is the entrance ticket to science
- Successes: eg, deterministic parsing: LL, LR etc.
- Numerical analysis, formal languages, complexity theory, algorithms, ...
- But only mathematical logic seems to be dreamed as the mathematics of computing



## The grand view

## Structural engineering

- mathematical physics laws
- empirical knowledge

to understand, predict, and calculate the stability, strength and rigidity of structures for buildings.

McCarthy:

the relationship between computation and mathematical logic will be as fruitful as that between analysis and physics.


#### The standard model

The standard model is to PL what movement without friction is to mechanics.

There is no true pendulum in nature; there is no unbounded arithmetic inside any laptop.

 $\frac{1}{2}$  No two bodies of different masses fall to the ground at the same  $\frac{1}{2}$  time from the leaning tower of Pisa.

Yet, you do not understand a single bit of mechanics if you don't abstract away friction, and don't approximate to small oscillations.



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#### Goal:

separate them and identify when they arrive in the PL literature



#### Antefact: mathematical logic

A type is the range of significance of a variable.

[Russell and Whitehead, 1910]

Types forbid certain inferences which would otherwise be valid, but does not permit any which would otherwise be invalid.

[ibidem]



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#### Pre-antefact

Frege's *Stufe* (in the *Grundgesetze*) or *Ordnung* (before the *Grundgesetze*)

usually translated with "level", or "degree"





### Types in early Fortran?

Two types of constants are permissible: fixed points (restricted to integers) and floating points

32 types of statement

[The FORTRAN automatic coding system, 1956]

#### 

Any fixed point (floating point) constant, variable, or subscripted variable is an expression of the same mode.

[ibidem]



# Algol 58: types

*Type declarations* serve to declare certain variables, or functions, to represent quantities of a given class, such as the class of integers or class of Boolean values.

[Perlis and Samelson. Preliminary report: International algebraic language. Commun. ACM 1(12), December 1958.]



# No types in the preparatory papers!

A data symbol falls in one of the following classes: a) Integer b) Boolean c) General

The symbol classification statements are: INTEGER  $(s_1, ..., s_n)$ BOOLEAN  $(s_1, ..., s_n)$ 

[Backus et al. Proposal for a programming language. ACM Ad Hoc Committee on Languages, 1958.]



### Algol 60: maturity

Integers are of type integer. All other numbers are of type real.

The various "types" (integer, real, Boolean) basically denote properties of values.

[Backus et al. Report on the algorithmic language ALGOL 60. Commun. ACM 3(5), May 1960.]



#### 1950s and 1960s

- Type based distinctions for compilation: always present
- "Type" as a technical term: Algol 58
- (Almost) stable since Algol 60

#### • Mode

- in Algol 68, *d'après* early Fortran usage
- "types (or modes)", still in Reynolds 1975



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  - in Algol 68, d'après early Fortran usage
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#### The word: "type"

#### The technical term "type":

- appears to be a semantical shift from the generic term
- no role of the "type" from mathematical logic

The use of 'type,' as in 'x is of type real,' was analogous to that employed in logic.

Both programming language design and logic dipped into the English language and came up with the same word for roughly the same purpose.

[A. Perlis, The American side of the development of Algol, 1981]



### OT Intermezzo: Perlis on the Algol Report

Nicely organized, tantalizingly incomplete, slightly ambiguous, difficult to read, consistent in format, and brief, it was a perfect canvas for a language that possessed those same properties.

. Like the Bible, it was meant not merely to be read, but to be ' interpreted.

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#### These types:

- guide the compiler
- are a reasonable abstraction over implementation details (*contra*: FORTRAN !)

#### 

However:

• no provision for other data, but integer, real, Boolean





### McCarthy: the "weakness" of Algol

#### 1961:

defining new data spaces in terms of given base spaces and  $(\dots)$  defining functions on the new spaces in terms of functions on the base spaces

[John McCarthy. A basis for a mathematical theory of computation, preliminary report. 1961]



#### The needs:

- from simple to structured values
- 2 a general modelling tool
- user definable "extensions"
- obust abstractions over the representation



# The arrival point

Type structure is a syntactic discipline for enforcing levels of abstraction

[John Reynolds, 1983]





• Tony Hoare

#### • Ole-Johan Dahl and Kristen Nygaard



#### The two champions:

Records

#### Objects



#### The two champions for data abstraction:

• Abstract data encapsulation

#### • Procedural encapsulation



#### Hoare: records and references

#### AB21.3.6 RECORD HANDLING

C. A. R. Hoare

Entia non sunt multiplicanda praeter necessitatem -

William of Occam.

- ordered collection of named *fields*: record classes
- typed references (like pointers, but no operations)
- non stack-based, dynamically allocated structures

#### Dahl and Nygaard: objects *ante litteram*

#### around 1962:

- record class: *activity*;
- record: *process*;
- record field: local variable of a process
- a "process" encapsulates both data objects and their operators: a *closure*



#### Hoare: records and references, 2

With Hoare's paper, types become a general abstraction mechanism:

#### 

[Our proposal] is no arbitrary extension to an existing language, but represents a genuine abstraction of some feature which is fundamental to the art or science of computation.

[Tony Hoare, 1964]

#### Modelling tool

In the simulation of complex situations in the real world, it is necessary to construct in the computer analogues of the objects of the real world, so that procedures representing types of even may operate upon them in a realistic fashion.

[Tony Hoare, 1964] (page 46, and, more generally, all Section 4)



#### Robust abstraction

It was a firm principle of our implementation that the results of any program, even erroneous, should be comprehensible without knowing anything about the machine or its storage layout



[Tony Hoare, 2014, personal communication]

Algol W, circa 1970

Every value is said to be of a certain type.

The following types of structured values are distiguished: array: (...), record: (...).

[Algol W reference manual, 1972]



#### They both make into languages

Algol W, circa 1970 (and then Pascal, and then ...)Simula 67

Never seen as rivals (on the contrary: many collaborations)

Are the records to have immediate impact

Records beat Objects

1-0



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### Simula 67

#### Classes/Objects

- $\bullet \ \mathsf{Record} \ \mathsf{class} \to \mathsf{Object} \ \mathsf{class} \to \mathsf{Class}$
- "declared quantity (class)" vs
  "its dynamic offspring (objects)"

#### Subclasses

- Hoare 1966, Villard-de-Lans Summer School:
  - record subclasses
  - dot notation
  - $\Rightarrow$  pure data abstraction
- Simula 67: Prefixing (subclassing)
  - code of the subclass is "permanently glued together" the code of the superclass
  - data and operations

#### Example

#### From Dahl's recollection:

- Queuable ("Link"): next/precedessor in queue
- Car subclass of Queuable
- Truck and Bus both subclasses of Car



#### A further emerging need

#### Correctness of programs

- Floyd, Assigning meanings to programs, 1967
- Hoare, An axiomatic basis for computer programming, 1969
- Burstall, Proving properties of programs by structural induction, 1969
- McCarthy and Painter, Correctness of a compiler for arithmetic expressions, 1967


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# The Algol research program

Mark Priestly, A Science of Operations, Springer 2011

Algol 60 was not particularly successful in practical terms. However. . .

- A coherent and comprehensive research programme
- Algol 60 report: a paradigmatic (à la Kuhn) achievement
- First theoretical framework for studying:
  - the design of programming languages,
  - the process of software development.



# Several attempts towards general mechanisms for data definition



# Extensible languages

#### Explicit definitions

- Galler and Perlis, A proposal for definitions in ALGOL, CACM 10, 1967
- Schuman and Jorrand, Definition mechanisms in extensible programming languages.
   Proc. AFIPS, Vol. 37, 1970.



# Standard Abstract Operations

#### Representation and representation independence

- Levels of systems, each represented on the other:
- ho represents D onto D'
- $\pi$  procedure on D data
- The correspondence guarantees that representation and implementation commute.

[Mealy, Another look at data. Proc. AFIPS, Vol. 31, 1967.]



# Standard Abstract Operations, 2

The programmer should be able to construct his program in terms of the logical processing required without regard to either the representation of data or the method of accessing and updating. This concept we call "Dataless programming".

[Balzer, Dataless programming. Proc. AFIPS, Vol. 31, 1967.]

#### 

Abstract procedures to handle representation: create, access, modify, and destroy abstract data collections.

# Information hiding

#### Parnas 1972

- a stable interface towards the rest of the program
- to protect those design choices which are bound to change
- a general design methodology, which applies to types, modules, packages, etc.

# From the programming language community:

information hiding enforced by linguistic abstractions, and not merely by a design methodology.



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# Towards ADTs

#### Morris, 1973 and Reynolds, 1974

The meaning of a syntactically-valid program in a "type-correct" language should never depend upon the particular representation used to implement its primitive types.

The main thesis of [Morris 1973] is that this property of representation independence should hold for user-defined types as well as primitive types.

[Reynolds, 1974]





## Procedural encapsulation

Procedures, particularly procedures which can return procedures as their result, are the proper mechanism for modularizing both programs and data.

Procedural encapsulation: representing system components in terms of one or more procedures such that interactions among components are limited to procedure calls.

Similar to classes in SIMULA 67. Unlike SIMULA, however, the local variables [...] are not made accessible outside the procedure in which they are defined. [Zilles, 1973]



# Moral, 1

From our perspective, post festam:

Simula's classes,

extended with a visibility mechanism protecting local variables from outside access,

provide a good encapsulation abstraction.

#### 

No need of a separate abstraction mechanisms: use *closures*: code + environment



# Moral, 1

From our perspective, post festam:

Simula's classes,

extended with a visibility mechanism protecting local variables from outside access,

provide a good encapsulation abstraction.

#### 

No need of a separate abstraction mechanisms: use *closures*: code + environment

But this is not what happened back then...

# Abstract Data Types

#### Liskov and Zilles, 1974 ff

• Public part:

name	complex				
operations	create,	add,	get-x,	get-y,	equa

#### • Private part:

representation for type implementation of operations

- Inside the private part: representation is accessible
- Outside the private part: representation is inaccessible



## A CLU cluster

```
complex = cluster is create, add, get-x, get-y, equal
rep = struct[x, y: real]
create = proc (x, y: real) returns (cvt)
         return(rep$[x: x, y:y])
         end create
add = proc (a, b: cvt) returns (cvt)
      return(rep{[x: a.x + b.x, y: a.y + b.y])
      end add
end complex
                                     [CLU Reference Manual, LNCS 114, 1981]
```

# Data encapsulation

A specific abstraction mechanism enforces information hiding, and then guarantees representation independence.



Abstract types are intended to be very much like the built-in types provided by a programming language.

An ADT defines a class of abstract objects which is completely characterized by the operations available on those objects. This means that an abstract data type can be defined by defining the characterizing operations for that type. [Liskov and Zilles, 1974]



# Semantics of ADTs: Algebras

- An ADT is an abstract algebra, where "abstract" means unique up to isomorphism.
- A representation is a concrete many sorted algebra
- The presentation of an abstract algebra, is *the* initial algebra in a certain class.



# Initial algebras

- J. Goguen, Some remarks on data structures, *unpublished* notes of ETH course, 1973.
- ADJ, Abstract data types as initial algebras (...), IEEE 1975 ff
- J. Guttag, PhD thesis Toronto, 1975

#### 

- Equations would give correctness constraints
- Freeness ensures abstraction
- Freeness allows proofs by (structural) induction





# Meanwhile, in the opposite camp

#### Smalltalk

- Alan Kay, from 1972
- Simula concept of class and objects
- In a new metaphor and design methodology
- To use for "open" systems



The "official" computer science world started to regard Simula as a possible vehicle for defining abstract data types. To put it mildly, we were quite amazed at this.

What Simula had whispered was something much stronger than simply reimplementing a weak and ad hoc idea.

You could now replace bindings and assignment with goals.

The objects should be presented as sites of higher level behaviors more appropriate for use as dynamic components.

[Kay, The early history of Smalltalk, 1993]



# Someone noticed, though

#### John Reynolds:

User-defined types and procedural data structures as complementary approaches to data abstraction in *New Directions in Algorithmic Languages, 1975* 

User-defined types = ADTs Procedural data structures = Procedural encapsulation (= Objects)

#### Do not cite Simula Cites Hoare and Dahl; Balzer's Dataless programming

# ADTs vs Procedural abstraction

#### ADTs

- Centralized implementation
- All operations defined together with implementation

#### Procedural abstraction

- Decentralized implementation: each value is independent
- Operations are attached to the value they act upon



# ADTs vs Procedural abstraction

#### ADTs

- Centralized implementation
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#### Procedural abstraction

- Decentralized implementation: each value is independent
- Operations are attached to the value they act upon

Procedural approaches: easier to extend !

# ADTs, extension, compatibility

```
type C{
  fun m(c:C)
type D{
  fun m(d:D){modified wrt to C}
  fun op(d:D){}
}
Clearly D<:C (by "Liskov substitution principle").
Hence for any d:D, we have d:C.
We process a list L of elements of type C:
L : list(C)
foreach e in L:
   m(e)
```

When e:D this breaks abtraction.

# Objects, extension, compatibility

```
class C{
  meth m(c:C){}
}
class D{
  meth m(d:D){modified wrt to C}
  meth op(d:D){}
We process a list L of elements of type C:
L : list(C)
foreach e in L:
   m(e)
Late binding: which m is called depends on the actual class of e
```

# Object oriented languages

#### The key ingredients

- Abstraction: to pack data and code
- Inheritance: reuse of implementations
- Subtyping: compatibility of interfaces
- Late binding: to reconcile all of them



# Objects

#### A research question:

Both Simula and Smalltalk were designed for specific application domains.

How this influenced their characteristics?

What about the interaction with the "computational objects"? à la Papert: objects you can "get to know [...] like the way you get to know a person"











• certainly people knew "some logic": McCarthy, Hoare, Landin, Scott (!), Morris, etc.

#### but

- Morris (1968) cites Curry (1958), but not Church (1940)
- Reynolds (1974) rediscovers Girard's System F (1971)
- Milner (1977-78) rediscovers simple type inference (Hindley, 1

# Programming languages and proof-theory are ta

language, but the conflation is anonymous.



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Programming languages and proof-theory are talking the same language, but the conflation is anonymous.



# Yet, compare:

Types forbid certain inferences which would otherwise be valid, but does not permit any which would otherwise be invalid.

[Russell and Whitehead, 1910]

We shall now introduce a type system which, in effect, singles out a decidable subset of those wfes that are safe; i.e., cannot given rise to ERRORs. This will disqualify certain wfes which do not, in fact, cause ERRORS and thus reduce the expressive power of the language.

[Morris, PhD thesis, 1968]



- 111
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[Morris, PhD thesis, 1968]









The explicit recognition:

Per Martin-Löf. Constructive mathematics and computer programming. (1979); 1982.

Correlatively, the third form of judgment may be read not only

a is an object of type (element of the set) A,

a is a proof of the proposition A,

but also

a is a program for the problem (task) A.

#### In foundations of mathematics, types:

- never supposed to be used by the working mathematician
- in principle could be used, to avoid paradoxes

### In programming languages, types:

- are used everyday, by everyone
- should be made more "expressive", "flexible"



#### In foundations of mathematics, types:

are perceived as constraints (they "forbid" something, as in Russell's quote).

#### In programming languages, types:

are experienced as an enabling feature (Voevodsky), allowing simpler writing of programs, and better verification of their correctess.

The Algol research program is still at work...:-)



### Why this is interesting

Our programming languages are also (a huge part of) the metalanguage in which we express the discipline.



# "Programming" languages

No scientific discipline exists without first inventing a visual and written language which allows it to break with its confusing past.

[B. Latour, Visualisation and Cognition: Thinking with Eyes and Hands; 1986]

Referring to Dagognet, F.: Tableaux et Langages de la Chimie. Paris : Le Seuil 1969; and to: Ecriture et Iconographie. Paris : Vrin 1973.

What we call programming languages are both such a founding language and one of the very objects of the discipline.



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The study of programming languages, and of their "conceptual" history, could become a blueprint for a more general epistemological investigation.





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### At the Collegium...

#### Next steps?

- Continuation passing transformation (van Wijngaarden, 1964)
- Exceptions handlers (PL/I: resume-based; etc.)
- Pinpoint the impact of the Curry-Howard isomorphism



# Methodology

History and Philosophy of Computing: HAPOC

Interplay between researchers across disciplines may add to the maturity of the field of computing in general.

Bring together: computer scientists, historians, and philosophy scholars.

Narrowing the gap between a technology and a professional history of that technology.

The long-term perspective:

provide a historical recollection that could be useful for the (young) technician of today, and could help her to make better science and technology.

## Side paths

- Computational thinking: not just coding
- Programming as interaction (Smalltalk, Logo,..., Scratch, etc.)
- Program as inscriptions (Latour)
- Is the "traditional" Mathematical theory of computation still useful?



