

# Solving Constraint Problems in Constraint Programming

**Zeynep KIZILTAN**  
Department of Computer Science  
University of Bologna

Email: [zeynep@cs.unibo.it](mailto:zeynep@cs.unibo.it)



# What is it about?

- 10 hour lectures about the core of constraint solving in CP
  - Part I: Overview of constraint programming
  - Part II: Local consistency & constraint propagation
  - Part III: Search algorithms
  - Part IV: Advanced topics, useful pointers
- Aim:
  - Teach the basics of constraint programming.
  - Emphasize the importance of local consistency & constraint propagation & search.
  - Point out the advanced topics.
  - Inform about the literature.

# Warning

- We will see how constraint programming works.
- No programming examples.



# **PART I: Overview of Constraint Programming**



# Outline

- Constraint Satisfaction Problems (CSPs)
- Constraint Programming (CP)
  - Modelling
  - Backtracking Tree Search
  - Local Consistency and Constraint Propagation

# Constraints are everywhere!



- No meetings before 9am.
- No registration of marks before May 15.
- The lecture rooms have a capacity.
- Two lectures of a student cannot overlap.
- No two trains on the same track at the same time.
- Salary > 45k Euros 😊

...

# Constraint Satisfaction Problems

- A constraint is a restriction.
- There are many real-life problems that require to give a decision in the presence of constraints:
  - flight / train scheduling;
  - scheduling of events in an operating system;
  - staff rostering at a company;
  - course time tabling at a university ...
- Such problems are called **Constraint Satisfaction Problems (CSPs)**.

# Sudoku: An everyday-life example

	6		1		4		5	
		8	3		5	6		
2								1
8			4		7			6
		6				3		
7			9		1			4
5								2
		7	2		6	9		
	4		5		8		7	



# CSPs: More formally

- A CSP is a triple  $\langle X, D, C \rangle$  where:
  - $X$  is a set of decision variables  $\{X_1, \dots, X_n\}$ .
  - $D$  is a set of domains  $\{D_1, \dots, D_n\}$  for  $X$ :
    - $D_i$  is a set of possible values for  $X_i$ .
    - usually assume finite domain.
  - $C$  is a set of constraints  $\{C_1, \dots, C_m\}$ :
    - $C_i$  is a relation over  $X_j, \dots, X_k$ , giving the set of combination of allowed values.
    - $C_i \subseteq D(X_j) \times \dots \times D(X_k)$
- A **solution** to a CSP is an assignment of values to the variables which satisfies all the constraints simultaneously.

# CSPs: A simple example

- **Variables**

$$X = \{X_1, X_2, X_3\}$$

- **Domains**

$$D(X_1) = \{1,2\}, D(X_2) = \{0,1,2,3\}, D(X_3) = \{2,3\}$$

- **Constraints**

$$X_1 > X_2 \text{ and } X_1 + X_2 = X_3 \text{ and } X_1 \neq X_2 \neq X_3 \neq X_1$$

- **Solution**

$$X_1 = 2, X_2 = 1, X_3 = 3$$

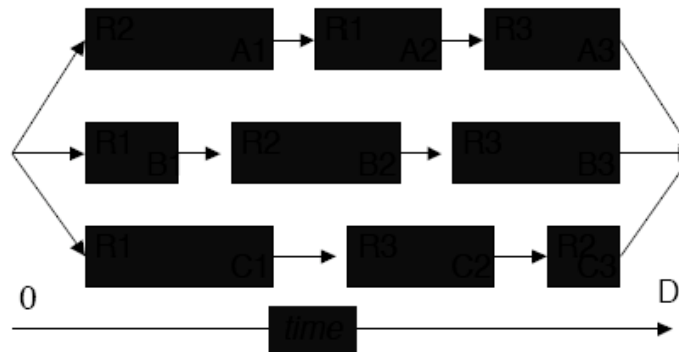
↓  
alldifferent([X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>])

# Sudoku: An everyday-life example

$X_{11}$		6		1		4		5		$X_{91}$
.			8	3		5	6			.
.	2									1
.	8			4		7				6
.			6				3			.
.	7			9		1				4
	5									2
			7	2		6	9			
$X_{19}$		4		5		8		7		$X_{99}$

- A simple CSP
  - 9x9 variables ( $X_{ij}$ ) with domains  $\{1, \dots, 9\}$
  - Not-equals constraints on the rows, columns, and 3x3 boxes. E.g.,
    - $\text{alldifferent}([X_{11}, X_{21}, X_{31}, \dots, X_{91}])$
    - $\text{alldifferent}([X_{11}, X_{12}, X_{13}, \dots, X_{19}])$
    - $\text{alldifferent}([X_{11}, X_{21}, X_{31}, X_{12}, X_{22}, X_{32}, X_{13}, X_{23}, X_{33}])$

# Job-Shop Scheduling: A real-life example



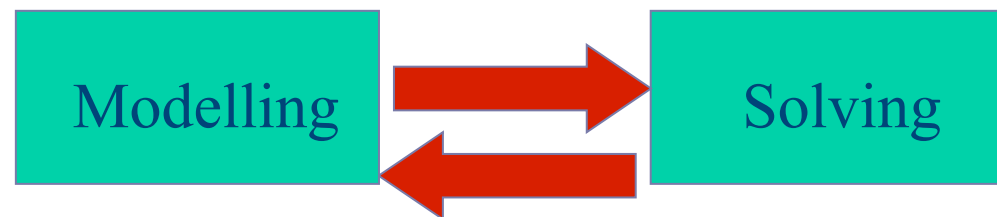
- Schedule jobs, each using a resource for a period, in time D by obeying the precedence and capacity constraints
- A very common industrial problem.
- CSP:
  - variables represent the jobs;
  - domains represent the start times;
  - constraints specify precedence and exclusivity.

# CSPs

- Search space:  $D(X_1) \times D(X_2) \times \dots \times D(X_n)$ 
  - very large!
- Constraint satisfaction is NP-complete:
  - no polynomial time algorithm is known to exist!
  - I can get no satisfaction ☹️
- We need general and efficient methods to solve CSPs:
  - Integer and Linear Programming (satisfying linear constraints on 0/1 variables and optimising a criterion)
  - SAT (satisfying CNF formulas on 0/1 variables)
  - ...
  - Constraint Programming
    - How does it exactly work?

# CP Machinery

- CP is composed of two phases that are strongly interconnected:



# Modelling

1. The CP user models the problem as a CSP:
  - define the variables and their domains;
  - specify solutions by posting constraints on the variables:
    - off-the-shelf constraints or user-defined constraints.
  - a constraint can be thought of a reusable component with its own propagation algorithm.

WAIT TO UNDERSTAND WHAT I MEAN 😊

# Modelling

- Modelling is a critical aspect.
- Given the human understanding of a problem, we need to answer questions like:
  - which variables shall we choose?
  - which constraints shall we enforce?
  - shall we use off-the-self constraints, or define and integrate our own?
  - are some constraints redundant, therefore can be avoided?
  - are there any implied constraints?
  - among alternative models, which one shall I prefer?



# A problem with a simple model

$X_{11}$	6	1	4	5	$X_{91}$
.	8	3	5	6	.
2					1
.					.
8		4	7		6
.	6			3	.
7		9	1		4
.					.
5					2
	7	2	6	9	
$X_{19}$	4	5	8	7	$X_{99}$

- A simple CSP
  - 9x9 variables ( $X_{ij}$ ) with domains  $\{1, \dots, 9\}$
  - Not-equals constraints on the rows, columns, and 3x3 boxes, eg.,  
 $\text{alldifferent}([X_{11}, X_{21}, X_{31}, \dots, X_{91}])$   
 $\text{alldifferent}([X_{11}, X_{12}, X_{13}, \dots, X_{19}])$   
 $\text{alldifferent}([X_{11}, X_{21}, X_{31}, X_{12}, X_{22}, X_{32}, X_{13}, X_{23}, X_{33}])$

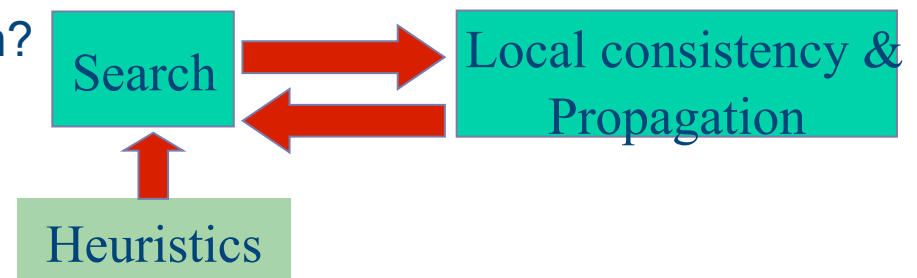
# A problem with a complex model

- Consider a permutation problem:
  - find a permutation of the numbers  $\{1, \dots, n\}$  s.t. some constraints are satisfied.
- One model:
  - variables ( $X_i$ ) for positions, domains for numbers  $\{1, \dots, n\}$ .
- Dual model:
  - variables ( $Y_j$ ) for numbers  $\{1, \dots, n\}$ , domains for positions.
- Often different views allow different expression of the constraints and different implied constraints:
  - can be hard to decide which is better!
- We can use multiple models and combine them via *channelling constraints* to keep consistency between the variables:
  - $X_i = j \leftrightarrow Y_j = i$

# Solving

## 2. The user lets the CP technology solve the CSP:

- choose a search algorithm:
  - usually backtracking search performing a depth-first traversal of a search tree.
- integrate local consistency and propagation.
- choose heuristics for branching:
  - which variable to branch on?
  - which value to branch on?



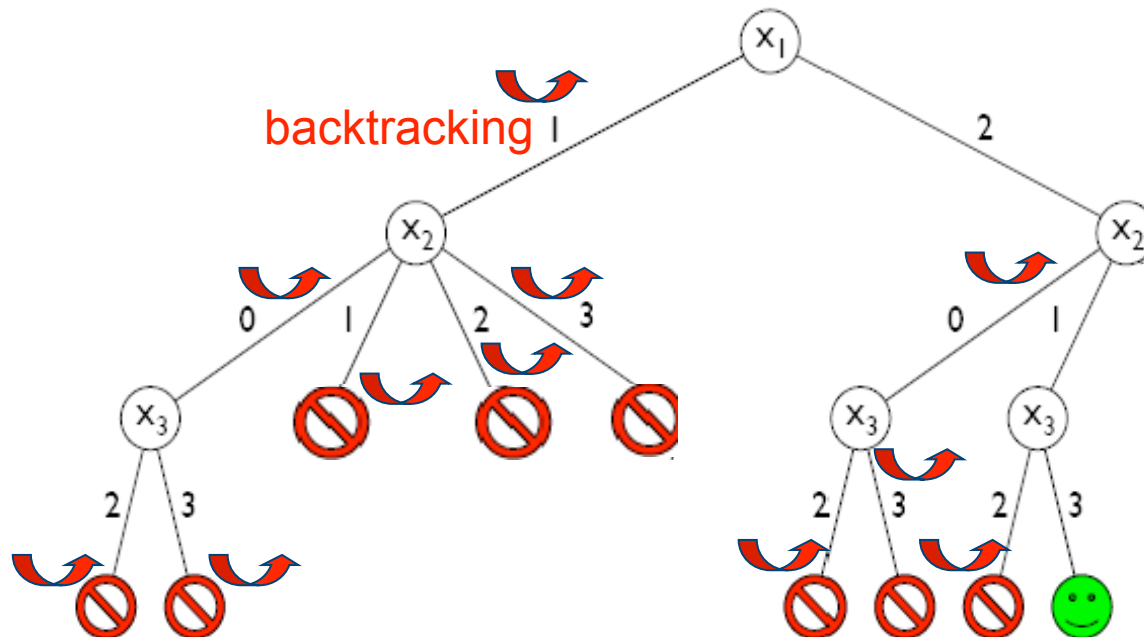
# Backtracking Search

- A possible efficient and simple method.
- Variables are instantiated sequentially.
- Whenever all the variables of a constraint is instantiated, the validity of the constraint is checked.
- If a (partial) instantiation violates a constraint, backtracking is performed to the most recently instantiated variable that still has alternative values.
- Backtracking eliminates a subspace from the cartesian product of all variable domains.
- Essentially performs a depth-first search.

# Backtracking Search

- $X_1 \in \{1,2\}$   $X_2 \in \{0,1,2,3\}$   $X_3 \in \{2,3\}$
- $X_1 > X_2$  and  $X_1 + X_2 = X_3$  and  $\text{alldifferent}([X_1, X_2, X_3])$

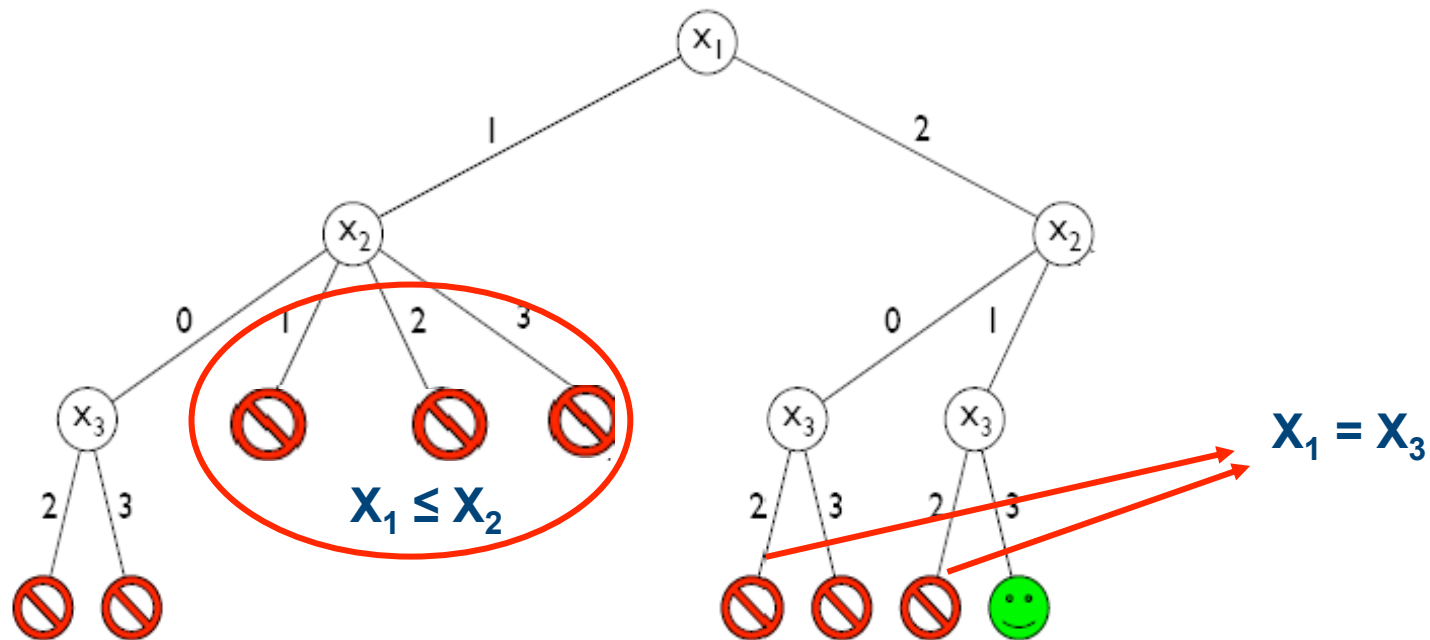
Backtracking search



FAILS 8 TIMES!

# Backtracking Search

- Backtracking suffers from thrashing ☹️ :
  - performs checks only with the current and past variables;
  - search keeps failing for the same reasons.



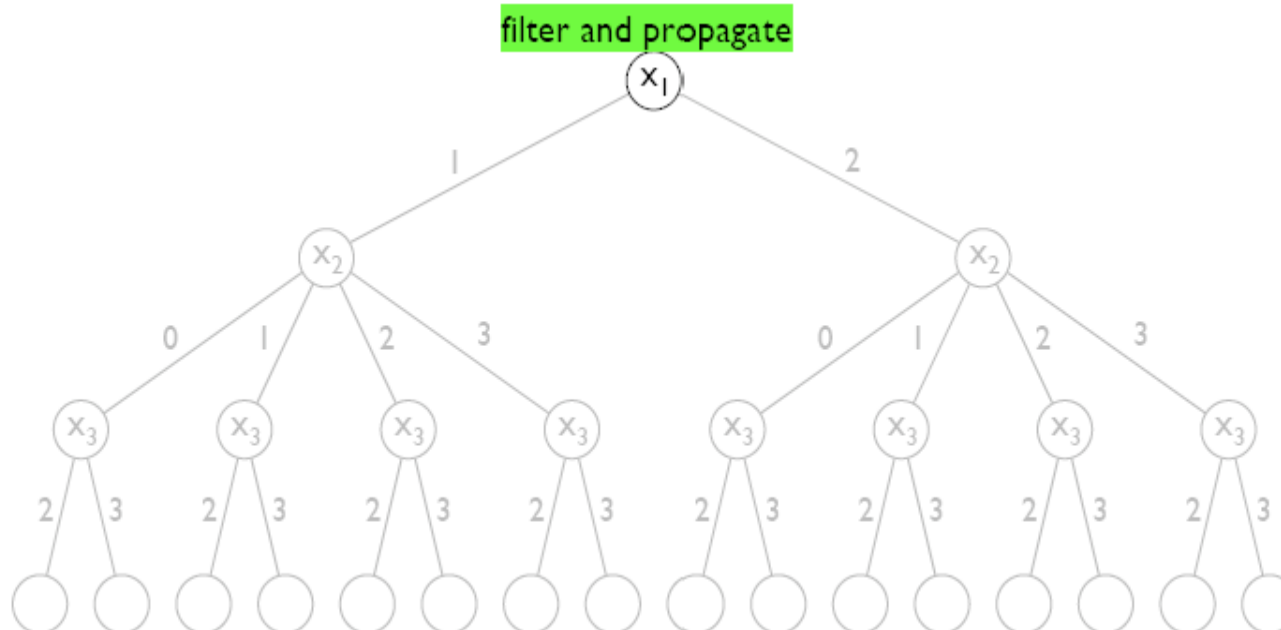
# Constraint Programming

- Integrates local consistency and constraint propagation into the search.
- Consequently:
  - we can reason about the properties of constraints and their effect on their variables;
  - some values can be filtered from some domains, reducing the backtracking search space significantly!

# Constraint Programming

- $X_1 \in \{1,2\}$   $X_2 \in \{0,1,2,3\}$   $X_3 \in \{2,3\}$
- $X_1 > X_2$  and  $X_1 + X_2 = X_3$  and  $\text{alldifferent}([X_1, X_2, X_3])$

Backtracking search + local consistency/propagation

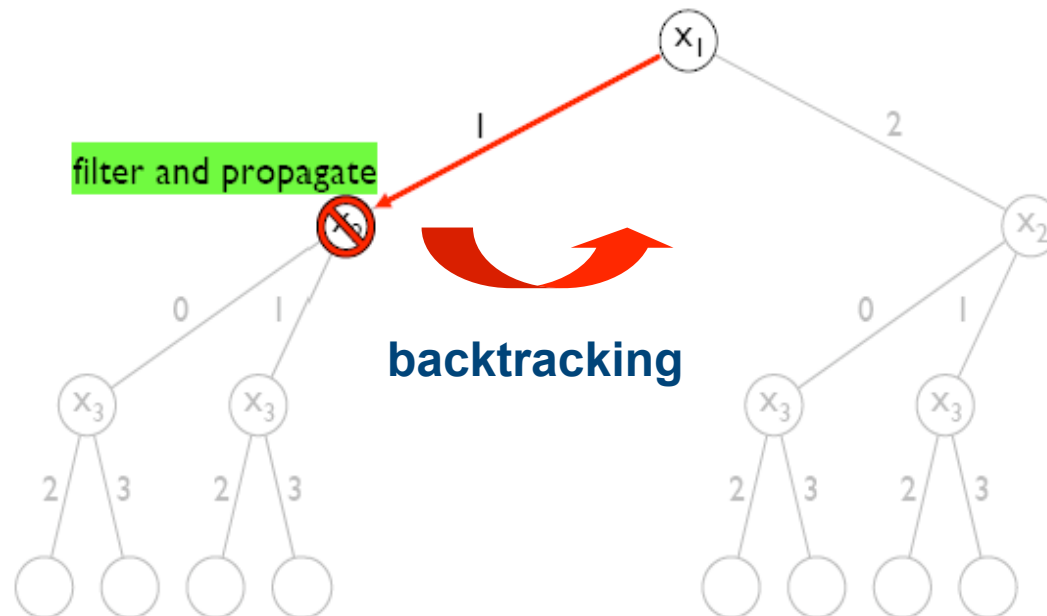




# Constraint Programming

- $X_1 \in \{1, \cancel{2}\}$   $X_2 \in \{0, \cancel{1}\}$   $X_3 \in \{\cancel{2}, \cancel{3}\}$
- $X_1 > X_2$  and  $X_1 + X_2 = X_3$  and  $\text{alldifferent}([X_1, X_2, X_3])$

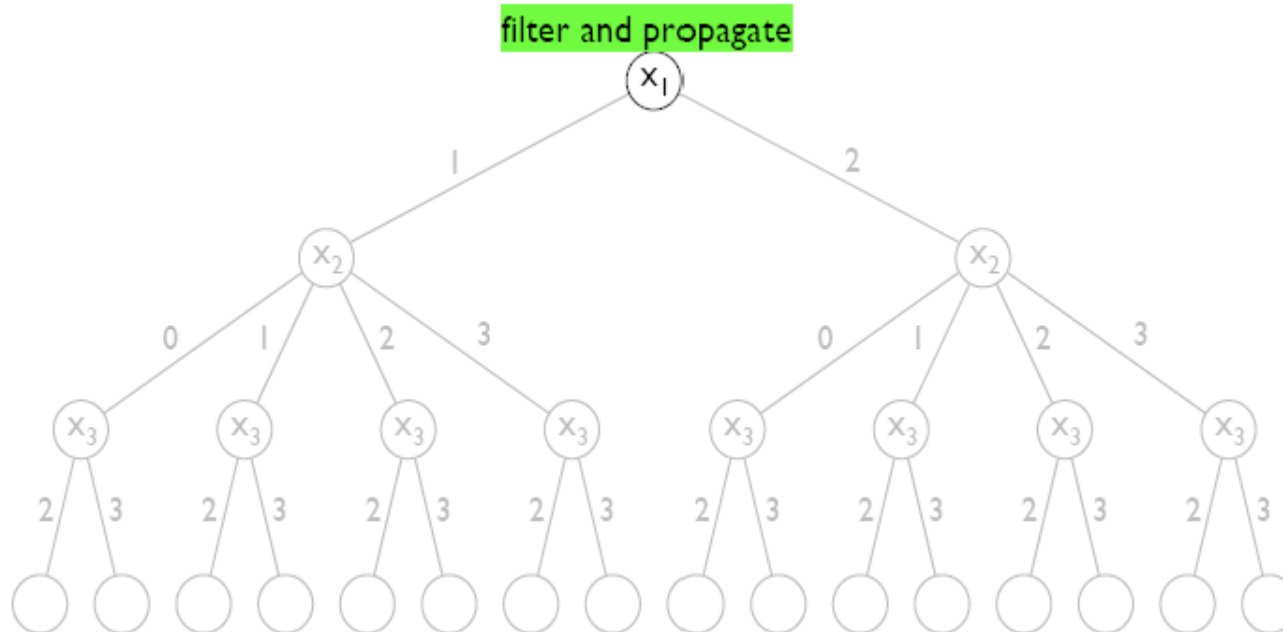
Backtracking search + local consistency/propagation



# Constraint Programming

- $X_1 \in \{1,2\}$   $X_2 \in \{0,1,2,3\}$   $X_3 \in \{2,3\}$
- $X_1 > X_2$  and  $X_1 + X_2 = X_3$  and  $\text{alldifferent}([X_1, X_2, X_3])$

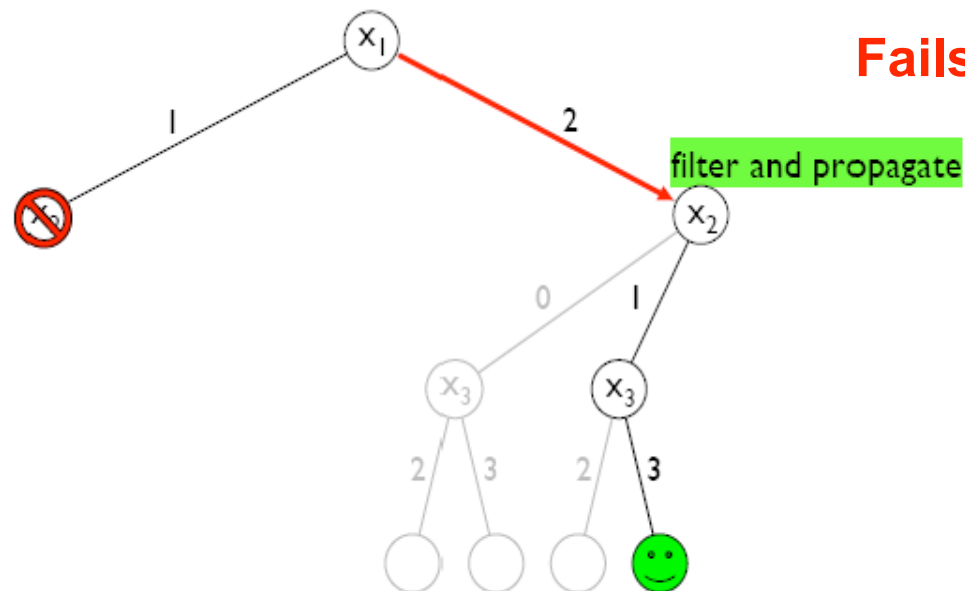
Backtracking search + local consistency/propagation



# Constraint Programming

- $X_1 \in \{1,2\}$   $X_2 \in \{0,1\}$   $X_3 \in \{2,3\}$
- $X_1 > X_2$  and  $X_1 + X_2 = X_3$  and  $\text{alldifferent}([X_1, X_2, X_3])$

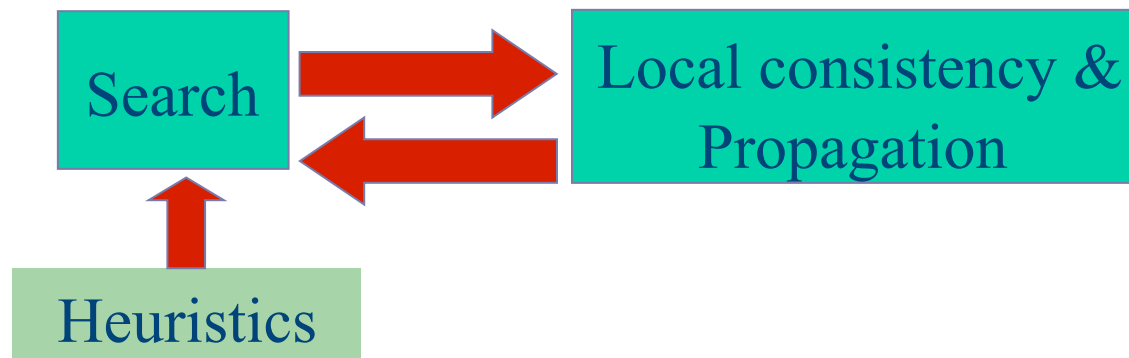
Backtracking search + local consistency/propagation



Fails only once!

# Local consistency & Propagation

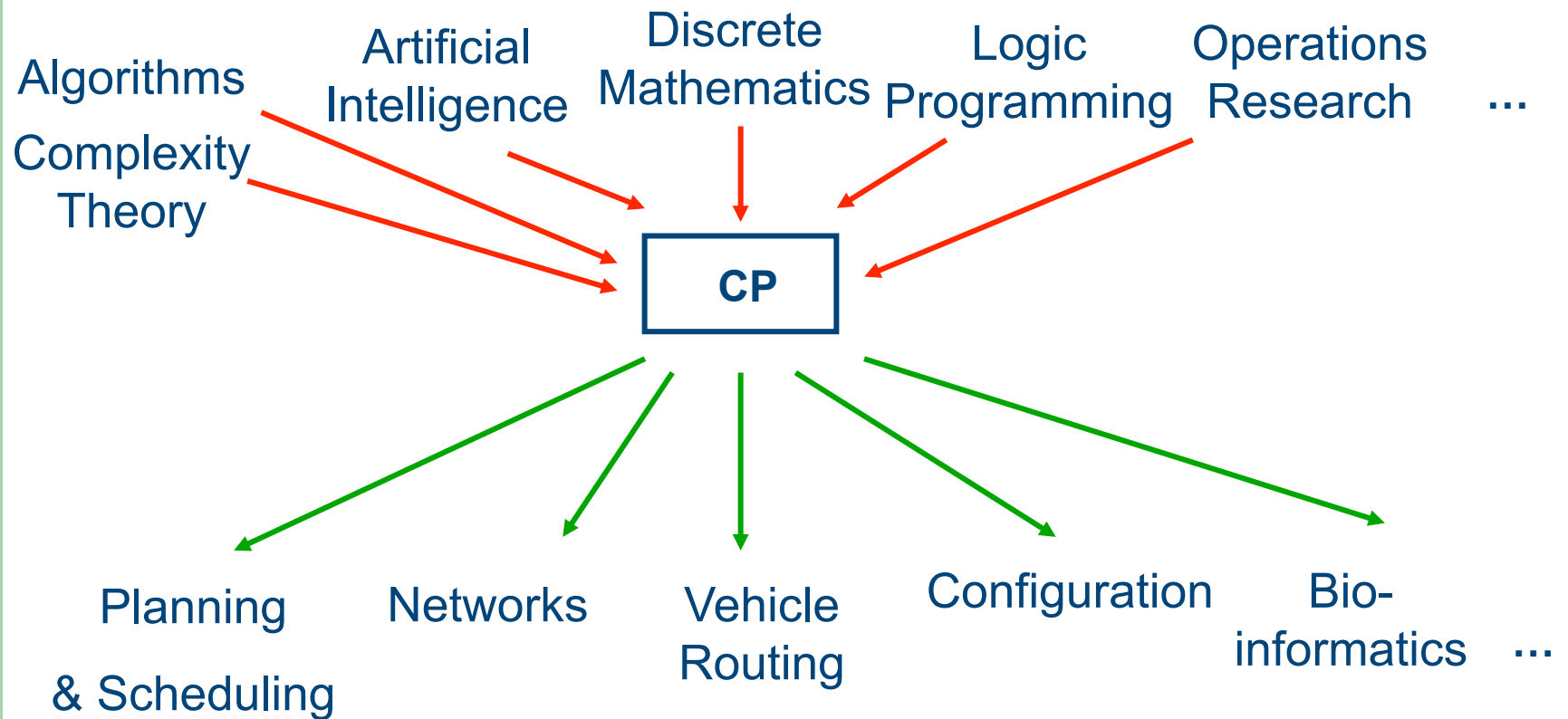
- Central to the process of solving CSPs which are inherently intractable.



# CP

- Programming, in the sense of mathematical programming:
  - the user states declaratively the constraints on a set of decision variables.
  - an underlying solver solves the constraints and returns a solution.
- Programming, in the sense of computer programming:
  - the user needs to program a strategy to search for a solution
    - search algorithm, heuristics, ...
  - otherwise, solving process can be inefficient.

# CP



# CP

- Solve SUDOKU using CP!

<http://www.cs.cornell.edu/gomes/SUDOKU/Sudoku.html>

- very easy, not worth spending minutes 😊
- you can decide which newspaper provides the toughest Sudoku instances 😊

# CP

- Constraints can be embedded into:
  - logic programming (constraint logic programming)
    - Prolog III, CLP(R), SICStus Prolog, ECLiPSe, CHIP, ...
  - functional programming
    - Oz
  - imperative programming
    - often via a separate library
    - IBM CP Solver, Gecode, Choco, Minion, ...

NOTE: We will not commit to any CP language/library, rather use a mathematical and/or natural notation.



# **PART II: Local Consistency & Constraint Propagation**



# Local Consistency & Constraint Propagation

**PART I:** The user lets the CP technology solve the CSP:

- choose a search algorithm;
- design heuristics for branching;
- integrate local consistency and propagation.



What exactly are they?  
How do they work?

# Outline

- Local Consistency
  - Arc Consistency (AC)
  - Generalised Arc Consistency (GAC)
  - Bounds Consistency (BC)
  - Higher Levels of Consistency
- Constraint Propagation
  - Propagation Algorithms
- Specialised Propagation Algorithms
  - Global Constraints
- Generalised Propagation Algorithms
  - AC algorithms

# Local Consistency

- Backtrack tree search aims to extend a partial instantiation of variables to a complete and consistent one.
  - The search space is too large!
- Some inconsistent partial assignments obviously cannot be completed.
- Local consistency is a form of inference which **detects** inconsistent partial assignments.
  - Consequently, the backtrack search commits into less inconsistent instantiations.
- Local, because we examine individual constraints.
  - Remember that global consistency is NP-complete!

# Local Consistency: An example

- $D(X_1) = \{1,2\}$ ,  $D(X_2) = \{3,4\}$ ,  $C_1: X_1 = X_2$ ,  $C_2: X_1 + X_2 \geq 1$
  - $X_1 = 1$
  - $X_1 = 2$
  - $X_2 = 3$
  - $X_2 = 4$
- all inconsistent partial assignments  
wrt the constraint  $X_1 = X_2$
- no need to check the individual assignments.
  - no need to check the other constraint.
  - unsatisfiability of the CSP can be inferred without having to search!




# Several Local Consistencies

- Most popular local consistencies:
  - Arc Consistency (AC)
  - Generalised Arc Consistency (GAC)
  - Bounds Consistency (BC)
- They detect inconsistent partial assignments of the form  $X_i = j$ , hence:
  - $j$  can be removed from  $D(X_i)$  via propagation;
  - propagation can be implemented easily.

# Arc Consistency (AC)

- Defined for binary constraints.
- A binary constraint **C** is a relation on two variables **X<sub>i</sub>** and **X<sub>j</sub>**, giving the set of allowed combinations of values (i.e. tuples):
  - **$C \subseteq D(X_i) \times D(X_j)$**
- C is AC iff:
  - for all  $v \in D(X_i)$ , exists  $w \in D(X_j)$  s.t.  $(v,w) \in C$ .
    - $v \in D(X_i)$  is said to have a *support* wrt the constraint C.
  - for all  $w \in D(X_j)$ , exists  $v \in D(X_i)$  s.t.  $(v,w) \in C$ .
    - $w \in D(X_j)$  is said to have a *support* wrt the constraint C.
- A CSP is AC iff all its binary constraints are AC.

# AC: An example

- $D(X_1) = \{1,2,3\}$ ,  $D(X_2) = \{2,3,4\}$ ,  $C: X_1 = X_2$
- $AC(C)$ ?
  - $1 \in D(X_1)$  does not have a support. 
  - $2 \in D(X_1)$  has  $2 \in D(X_2)$  as support.
  - $3 \in D(X_1)$  has  $3 \in D(X_2)$  as support.
  - $2 \in D(X_2)$  has  $2 \in D(X_1)$  as support.
  - $3 \in D(X_2)$  has  $3 \in D(X_1)$  as support.
  - $4 \in D(X_2)$  does not have a support. 
- $X_1 = 1$  and  $X_2 = 4$  are inconsistent partial assignments.
- $1 \in D(X_1)$  and  $4 \in D(X_2)$  must be *removed* to achieve AC. 
- $D(X_1) = \{2,3\}$ ,  $D(X_2) = \{2,3\}$ ,  $C: X_1 = X_2$ .
  - $AC(C)$

Propagation!



# Generalised Arc Consistency

- Generalisation of AC to n-ary constraints.
- A constraint **C** is a relation on **k** variables  **$X_1, \dots, X_k$** :
  - **$C \subseteq D(X_1) \times \dots \times D(X_k)$**
- A *support* is a tuple  $\langle d_1, \dots, d_k \rangle \in C$  where  $d_i \in D(X_i)$ .
- C is GAC iff:
  - for all  $X_i$  in  $\{X_1, \dots, X_k\}$ , for all  $v \in D(X_i)$ ,  $v$  belongs to a support.
- AC is a special case of GAC.
- A CSP is GAC iff all its constraints are GAC.

## GAC: An example

- $D(X_1) = \{1,2,3\}$ ,  $D(X_2) = \{1,2\}$ ,  $D(X_3) = \{1,2\}$   
C: alldifferent( $[X_1, X_2, X_3]$ )
- GAC(C)?
  - $X_1 = 1$  and  $X_1 = 2$  are not supported!
- $D(X_1) = \{3\}$ ,  $D(X_2) = \{1,2\}$ ,  $D(X_3) = \{1,2\}$   
C:  $X_1 \neq X_2 \neq X_3$ 
  - GAC(C)

# Bounds Consistency (BC)

- Defined for totally ordered (e.g. integer) domains.
- Relaxes the domain of  $X_i$  from  $D(X_i)$  to  $[\min(X_i)..max(X_i)]$ .
- Advantages:
  - it might be easier to look for a support in a range than in a domain;
  - achieving BC is often cheaper than achieving GAC;
  - achieving BC is enough to achieve GAC for monotonic constraints.
- Disadvantage:
  - BC might not detect all GAC inconsistencies in general.

# Bounds Consistency (BC)

- A constraint **C** is a relation on **k** variables **X<sub>1</sub>, ..., X<sub>k</sub>**:
  - **$C \subseteq D(X_1) \times \dots \times D(X_k)$**
- A *bound support* is a tuple  $\langle d_1, \dots, d_k \rangle \in C$  where  $d_i \in [\min(X_i) .. \max(X_i)]$ .
- C is BC iff:
  - for all  $X_i$  in  $\{X_1, \dots, X_k\}$ ,  $\min(X_i)$  and  $\max(X_i)$  belong to a bound support.

# GAC > BC: An example

- $D(X_1) = D(X_2) = \{1,2\}$ ,  $D(X_3) = D(X_4) = \{2,3,5,6\}$ ,  $D(X_5) = \{5\}$ ,  $D(X_6) = \{3,4,5,6,7\}$   
**C**: alldifferent( $[X_1, X_2, X_3, X_4, X_5, X_6]$ )
- BC(C):  $2 \in D(X_3)$  and  $2 \in D(X_4)$  **have no support.**

	X1	X2	X3	X4	X5	X6
1	█	█				
2	█	█	█	█		
3			█	█		█
4						█
5			█	█	█	█
6			█	█		█
7						█

Original

	X1	X2	X3	X4	X5	X6
1	█	█				
2	█	█	▒	▒		
3			█	█		█
4						█
5			█	█	█	█
6			█	█		█
7						█

BC

# GAC > BC: An example

- $D(X_1) = D(X_2) = \{1,2\}$ ,  $D(X_3) = D(X_4) = \{2,3,5,6\}$ ,  $D(X_5) = \{5\}$ ,  $D(X_6) = \{3,4,5,6,7\}$   
**C**: alldifferent( $[X_1, X_2, X_3, X_4, X_5, X_6]$ )
- GAC(C):  $\{2,5\} \in D(X_3)$ ,  $\{2,5\} \in D(X_4)$ ,  $\{3,5,6\} \in D(X_6)$  **have no support.**

	X1	X2	X3	X4	X5	X6
1	█	█				
2	█	█	█	█		
3			█	█		█
4						█
5			█	█	█	█
6			█	█		█
7						█

Original

	X1	X2	X3	X4	X5	X6
1	█	█				
2	█	█	▒	▒		
3			█	█		█
4						█
5			█	█	█	█
6			█	█		█
7						█

BC

	X1	X2	X3	X4	X5	X6
1	█	█				
2	█	█	×	×		
3			█	█		×
4						█
5			×	×	█	×
6			█	█		▒
7						█

GAC

# GAC = BC: An example

- $D(X_1) = \{1,2,3\}$ ,  $D(X_2) = \{1,2,3\}$ ,  $C: X_1 < X_2$
- $BC(C)$ :
  - $D(X_1) = \{1,2\}$ ,  $D(X_2) = \{2,3\}$
- $BC(C) = GAC(C)$ :
  - a support for  $\min(X_2)$  supports all the values in  $D(X_2)$ .
  - a support for  $\max(X_1)$  supports all the values in  $D(X_1)$ .

# Higher Levels of Consistencies

- Path consistency, k-consistencies, (i,j) consistencies, ...
- Not much used in practice:
  - detect inconsistent partial assignments with more than one  $\langle \text{variable}, \text{value} \rangle$  pair.
  - cannot be enforced by removing single values from domains.
- Domain based consistencies stronger than (G)AC.
  - Singleton consistencies, triangle-based consistencies, ...
  - Becoming popular:
    - shaving in scheduling.



# Outline

- Local Consistency
  - Arc Consistency (AC)
  - Generalised Arc Consistency (GAC)
  - Bounds Consistency (BC)
  - Higher Levels of Consistency
- **Constraint Propagation**
  - **Constraint Propagation Algorithms**
- Specialised Propagation Algorithms
  - Global Constraints
- Generalised Propagation Algorithms
  - AC Algorithms

# Constraint Propagation

- Can appear under different names:
  - constraint relaxation
  - filtering algorithm
  - local consistency enforcing, ...
- Similar concepts in other fields:
  - unit propagation in SAT.
- Local consistencies define properties that a CSP must satisfy **after** constraint propagation:
  - the operational behaviour is completely left open;
  - the only requirement is to achieve the required property on the CSP.

# Constraint Propagation: A simple example

Input CSP:  $D(X_1) = \{1,2\}$ ,  $D(X_2) = \{1,2\}$ ,  $C: X_1 < X_2$



A constraint propagation  
algorithm for enforcing AC



Output CSP:  $D(X_1) = \{1\}$ ,  $D(X_2) = \{2\}$ ,  $C: X_1 < X_2$

We can write  
different  
algorithms with  
different  
complexities to  
achieve the  
same effect.

# Constraint Propagation Algorithms

- A constraint propagation algorithm propagates a constraint  $C$ .
  - It removes the inconsistent values from the domains of the variables of  $C$ .
  - It makes  $C$  locally consistent.
  - The level of consistency depends on  $C$ :
    - GAC might be NP-complete, BC might not be possible, ...

# Constraint Propagation Algorithms

- When solving a CSP with multiple constraints:
  - propagation algorithms interact;
  - a propagation algorithm can wake up an already propagated constraint to be propagated again!
  - in the end, propagation reaches a fixed-point and all constraints reach a level of consistency;
  - the whole process is referred as **constraint propagation**.

# Constraint Propagation: An example

- $D(X_1) = D(X_2) = D(X_3) = \{1, 2, 3\}$   
 $C_1$ : alldifferent( $[X_1, X_2, X_3]$ )  $C_2$ :  $X_2 < 3$   $C_3$ :  $X_3 < 3$
- Let's assume:
  - the order of propagation is  $C_1, C_2, C_3$ ;
  - each algorithm maintains (G)AC.
- Propagation of  $C_1$ :
  - nothing happens,  $C_1$  is GAC.
- Propagation of  $C_2$ :
  - 3 is removed from  $D(X_2)$ ,  $C_2$  is now AC.
- Propagation of  $C_3$ :
  - 3 is removed from  $D(X_3)$ ,  $C_3$  is now AC.
- $C_1$  is not GAC anymore, because the supports of  $\{1, 2\} \in D(X_1)$  in  $D(X_2)$  and  $D(X_3)$  are removed by the propagation of  $C_2$  and  $C_3$ .
- Re-propagation of  $C_1$ :
  - 1 and 2 are removed from  $D(X_1)$ ,  $C_1$  is now AC.

# Properties of Constraint Propagation Algorithms

- It is not enough to be able to remove inconsistent values from domains.
- A constraint propagation algorithm must *wake up* when necessary, otherwise may not achieve the desired local consistency property.
- Events that trigger a constraint propagation:
  - when the domain of a variable changes;
  - when a variable is assigned a value;
  - when the minimum or the maximum values of a domain changes.

# Outline

- Local Consistency
  - Arc Consistency (AC)
  - Generalised Arc Consistency (GAC)
  - Bounds Consistency (BC)
  - Higher Levels of Consistency
- Constraint Propagation
  - Propagation Algorithms
- Specialised Propagation Algorithms
  - Global Constraints
    - Decompositions
    - Ad-hoc algorithms
- Generalised Propagation Algorithms
  - AC Algorithms



# Specialised Propagation Algorithms

- A constraint propagation algorithm can be general or specialised:
  - general, if it is applicable to any constraint;
  - specialised, if it is specific to a constraint.
- Specialised algorithms:
  - Disadvantage:
    - has limited use;
    - is not always easy to develop one.
  - Advantages:
    - exploits the constraint semantics;
    - is potentially more efficient than a general algorithm.
- Worth developing specialised algorithms for recurring constraints with a reasonable semantics.

# Specialised Propagation Algorithms

- **C**:  $X_1 \leq X_2$
- Observation:
  - a support of  $\min(X_2)$  supports all the values in  $D(X_2)$ ;
  - a support of  $\max(X_1)$  supports all the values in  $D(X_1)$ .
- Propagation algorithm:
  - filter  $D(X_1)$  s.t.  $\max(X_1) \leq \max(X_2)$ ;
  - filter  $D(X_2)$  s.t.  $\min(X_1) \leq \min(X_2)$ .
- The result is GAC (and thus BC).

## Example

- $D(X_1) = \{3, 4, 7, 8\}$  ,  $D(X_2) = \{1, 2, 3, 5\}$ , **C**:  $X_1 \leq X_2$

# Example

- $D(X_1) = \{3, 4, 7, 8\}$  ,  $D(X_2) = \{1, 2, 3, 5\}$ , **C**:  $X_1 \leq X_2$
- Propagation:
  - filter  $D(X_1)$  s.t.  $\max(X_1) \leq \max(X_2)$ ;

# Example

- $D(X_1) = \{3, 4, \cancel{7}, \cancel{8}\}$  ,  $D(X_2) = \{1, 2, 3, 5\}$ , **C**:  $X_1 \leq X_2$
- Propagation:
  - filter  $D(X_1)$  s.t.  $\max(X_1) \leq \max(X_2)$ ;

# Example

- $D(X_1) = \{3, 4, \cancel{7}, \cancel{8}\}$  ,  $D(X_2) = \{1, 2, 3, 5\}$ , **C**:  $X_1 \leq X_2$
- Propagation:
  - filter  $D(X_1)$  s.t.  $\max(X_1) \leq \max(X_2)$ ;
  - filter  $D(X_2)$  s.t.  $\min(X_1) \leq \min(X_2)$ ;

# Example

- $D(X_1) = \{3, 4, \cancel{7}, \cancel{8}\}$  ,  $D(X_2) = \{\cancel{1}, \cancel{2}, 3, 5\}$ , **C**:  $X_1 \leq X_2$
- Propagation:
  - filter  $D(X_1)$  s.t.  $\max(X_1) \leq \max(X_2)$ ;
  - filter  $D(X_2)$  s.t.  $\min(X_1) \leq \min(X_2)$ ;

# Global Constraints

- Many real-life constraints are complex and not binary.
  - Specialised algorithms are often developed for such constraints!
- A complex and n-ary constraint which encapsulates a specialised propagation algorithm is called a **global constraint**.



# Examples of Global Constraints

- **Alldifferent** constraint:

- $\text{alldifferent}([X_1, X_2, \dots, X_n])$  holds iff  
 $X_i \neq X_j$  for  $i < j \in \{1, \dots, n\}$

- useful in a variety of context

- Timetabling (e.g. exams with common students must occur at different times)
- Tournament scheduling (e.g. a team can play at most once in a week)
- Configuration (e.g. a particular product cannot have repeating components)
- ...

# Beyond Alldifferent

- **NValue** constraint:
  - one generalisation of alldifferent
  - $nvalue([X_1, X_2, \dots, X_n], N)$  holds iff  
 $N = |\{X_i \mid 1 \leq i \leq n\}|$
  - $nvalue([1, 2, 2, 1, 3], 3)$
  - alldifferent when  $N = n$
  - Useful when values represent resources and we want to limit the usage of resources. E.g.,
    - Minimise the total number of resources used;
    - The total number of resources used must be between a specific interval;
    - ...

# Beyond Alldifferent

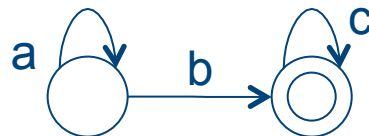
- **Global cardinality** constraint:
  - another generalisation of alldifferent
  - $\text{gcc}([X_1, X_2, \dots, X_n], [v_1, \dots, v_m], [O_1, \dots, O_m])$  iff  
for all  $j \in \{1, \dots, m\}$   $O_j = |\{X_i \mid X_i = v_j, 1 \leq i \leq n\}|$
  - $\text{gcc}([1, 1, 3, 2, 3], [1, 2, 3, 4], [2, 1, 2, 0])$
  - Useful again when values represent resources
  - We can now limit the usage of each resource individually. E.g.,
    - Resource 1 can be used at most three times
    - Resource 2 can be used min 2 max 5 times
    - ...

# Symmetry Breaking Constraints

- Consider the following scenario:
  - $[X_1, X_2, \dots, X_n]$  and  $[Y_1, Y_2, \dots, Y_n]$  represent the 2 day event assignments of a conference
  - Each day has  $n$  slots and the days are indistinguishable
  - Need to avoid symmetric assignments
- Global constraints developed for this purpose are called **symmetry breaking constraints**.
- **Lexicographic ordering** constraint:
  - $\text{lex}([X_1, X_2, \dots, X_n], [Y_1, Y_2, \dots, Y_n])$  holds iff:  
 $X_1 < Y_1$  OR  $(X_1 = Y_1$  AND  $X_2 < Y_2)$  OR ...  
 $(X_1 = Y_1$  AND  $X_2 = Y_2$  AND .... AND  $X_n \leq Y_n)$
  - $\text{lex}([1, 2, 4], [1, 3, 3])$

# Grammar Constraints

- We might sometimes want a sequence of variables obey certain patterns. E.g.,
  - regulations in scheduling
- A promising direction in CP is the ability of modelling problems via automata/grammar.
- Global constraints developed for this purpose are called **grammar constraints**.
- **Regular** constraint:
  - $\text{regular}([X_1, X_2, \dots, X_n], A)$  holds iff  $\langle X_1, X_2, \dots, X_n \rangle$  forms a string accepted by the DFA  $A$  (which accepts a regular language).
  - $\text{regular}([a, a, b], A)$ ,  $\text{regular}([b], A)$ ,  $\text{regular}([b, c, c, c, c, c], A)$  with  $A$



# Specialised Algorithms for Global Constraints

- How do we develop specialised algorithms for global constraints?
- Two main approaches:
  - constraint decomposition
  - ad-hoc algorithm

# Constraint Decomposition

- A global constraint is decomposed into smaller and simpler constraints each which has a known propagation algorithm.
- Propagating each of the constraints gives a propagation algorithm for the original global constraint.
  - A very effective and efficient method for some global constraints

# Decomposition of Among

- $\text{among}([X_1, X_2, \dots, X_n], [d_1, d_2, \dots, d_m], N)$  holds iff
$$N = |\{X_i \mid X_i \in \{d_1, d_2, \dots, d_m\} \ 1 \leq i \leq n \}|$$
- Decomposition:
  - $B_i$  with  $D(B_i) = \{0, 1\}$  for  $1 \leq i \leq n$
  - $C_i: B_i = 1 \leftrightarrow X_i \in \{d_1, d_2, \dots, d_m\}$  for  $1 \leq i \leq n$
  - $\sum_i B_i = N$
- $\text{AC}(C_i)$  for  $1 \leq i \leq n$  and  $\text{BC}(\sum_i B_i = N)$  ensures GAC on among.



# Decomposition of Lex

- $\text{lex}([X_1, X_2, \dots, X_n], [Y_1, Y_2, \dots, Y_n])$
- Decomposition:
  - $B_i$  with  $D(B_i) = \{0, 1\}$  for  $1 \leq i \leq n+1$  to indicate the vectors have been ordered by position  $i-1$
  - $B_1 = 0$
  - $C_i: (B_i = B_{i+1} = 0 \text{ AND } X_i = Y_i) \text{ OR } (B_i = 0 \text{ AND } B_{i+1} = 1 \text{ AND } X_i < Y_i) \text{ OR } (B_i = B_{i+1} = 1)$  for  $1 \leq i \leq n$
- $\text{GAC}(C_i)$  ensures GAC on lex.

# Constraint Decompositions

- May not always provide an effective propagation.
- Often GAC on the original constraint is stronger than (G)AC on the constraints in the decomposition.
- E.g., **C**: alldifferent( $[X_1, X_2, \dots, X_n]$ )
- Decomposition following the definition:
  - $C_{ij}$ :  $X_i \neq X_j$  for  $i < j \in \{1, \dots, n\}$
  - AC on the decomposition is weaker than GAC on alldifferent.
  - E.g.,  $D(X_1) = D(X_2) = D(X_3) = \{1, 2\}$ , **C**: alldifferent( $[X_1, X_2, X_3]$ )
  - $C_{12}, C_{13}, C_{23}$  are all AC, but C is not GAC.

# Constraint Decompositions

- E.g., **C**:  $\text{lex}([X_1, X_2, \dots, X_n], [Y_1, Y_2, \dots, Y_n])$
- OR decomposition:
  - $X_1 < Y_1$  OR  $(X_1 = Y_1 \text{ AND } X_2 < Y_2)$  OR ...  
 $(X_1 = Y_1 \text{ AND } X_2 = Y_2 \text{ AND } \dots \text{ AND } X_n \leq Y_n)$
  - AC on the decomposition is weaker than GAC on lex.
  - E.g.,  $D(X_1) = \{0, 1, 2\}$ ,  $D(X_2) = \{0, 1\}$ ,  $D(Y_1) = \{0, 1\}$ ,  $D(Y_2) = \{0, 1\}$   
**C**:  $\text{Lex}([X_1, X_2], [Y_1, Y_2])$
  - C is not GAC but the decomposition does not prune anything.

# Constraint Decompositions

- AND decomposition of  $\text{lex}([X_1, X_2, \dots, X_n], [Y_1, Y_2, \dots, Y_n])$ :
  - $X_1 \leq Y_1$  AND  $(X_1 = Y_1 \rightarrow X_2 \leq Y_2)$  AND ...  
 $(X_1 = Y_1$  AND  $X_2 = Y_2$  AND ...  $X_{n-1} = Y_{n-1} \rightarrow X_n \leq Y_n)$
  - AC on the decomposition is weaker than GAC on lex.
  - E.g.,  $D(X_1) = \{0, 1\}$ ,  $D(X_2) = \{0, 1\}$ ,  $D(Y_1) = \{1\}$ ,  $D(Y_2) = \{0\}$   
C:  $\text{Lex}([X_1, X_2], [Y_1, Y_2])$
  - C is not GAC but the decomposition does not prune anything.

# Constraint Decompositions

- Different decompositions of a constraint may be incomparable.
  - Difficult to know which one gives a better propagation for a given instance of a constraint.
- **C**:  $\text{Lex}([X_1, X_2], [Y_1, Y_2])$ 
  - $D(X_1) = \{0, 1\}$ ,  $D(X_2) = \{0, 1\}$ ,  $D(Y_1) = \{1\}$ ,  $D(Y_2) = \{0\}$ 
    - AND decomposition is weaker than GAC on lex, whereas OR decomposition maintains GAC.
  - $D(X_1) = \{0, 1, 2\}$ ,  $D(X_2) = \{0, 1\}$ ,  $D(Y_1) = \{0, 1\}$ ,  $D(Y_2) = \{0, 1\}$ 
    - OR decomposition is weaker than GAC on lex, whereas OR decomposition maintains GAC.

# Constraint Decompositions

- Even if effective, may not always provide an efficient propagation.
- Often GAC on a constraint via a specialised algorithm is maintained faster than (G)AC on the constraints in the decomposition.

# Constraint Decompositions

- **C**:  $\text{Lex}([X_1, X_2], [Y_1, Y_2])$ 
  - $D(X_1) = \{0, 1\}$ ,  $D(X_2) = \{0, 1\}$ ,  $D(Y_1) = \{1\}$ ,  $D(Y_2) = \{0\}$ 
    - AND decomposition is weaker than GAC on lex, whereas OR decomposition maintains GAC
  - $D(X_1) = \{0, 1, 2\}$ ,  $D(X_2) = \{0, 1\}$ ,  $D(Y_1) = \{0, 1\}$ ,  $D(Y_2) = \{0, 1\}$ 
    - OR decomposition is weaker than GAC on lex, whereas AND decomposition maintains GAC
- AND or OR decompositions have complementary strengths!
  - Combining them gives us a decomposition which maintains GAC on lex.
- Too many constraints to post and propagate!
- A dedicated algorithm runs amortised in  $O(1)$ .

# Dedicated Algorithms

- Dedicated ad-hoc algorithms provide effective and efficient propagation.
- Often:
  - GAC is maintained in polynomial time.
  - Many more inconsistent values are detected compared to the decompositions.



# Benefits of Global Constraints

- Modelling benefits
  - Reduce the gap between the problem statement and the model.
  - Capture recurring modelling patterns.
  - May allow the expression of constraints that are otherwise not possible to state using primitive constraints (**semantic**).
- Solving benefits
  - More inference in propagation (**operational**).
  - More efficient propagation (**algorithmic**).

# Dedicated Algorithm for All different

- GAC algorithm based on matching theory.
  - Establishes a relation between the solutions of the constraint and the properties of a graph.
  - Runs in time  $O(dn^{1.5})$ .
- **Value graph**: bipartite graph between variables and their possible values.
- **Matching**: set of edges with no two edges having a node in common.
- **Maximal matching**: largest possible matching.

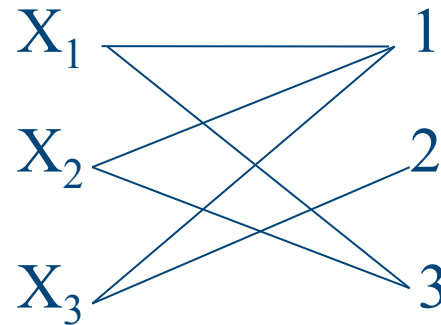
## Dedicated Algorithm for All different

- An assignment of values to the variables  $X_1, X_2, \dots, X_n$  is a solution iff it corresponds to a maximal matching.
  - Edges that do not belong to a maximal matching can be deleted.
- The challenge is to compute such edges efficiently.
  - Exploit concepts like strongly connected components, alternating paths, ...

## Dedicated Algorithm for Alldifferent

- $D(X_1) = \{1,3\}$  ,  $D(X_2) = \{1,3\}$ ,  $D(X_3) = \{1,2\}$

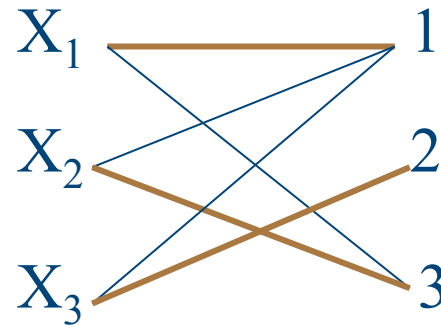
Variable-value  
graph



## Dedicated Algorithm for All different

- $D(X_1) = \{1,3\}$  ,  $D(X_2) = \{1,3\}$ ,  $D(X_3) = \{1,2\}$

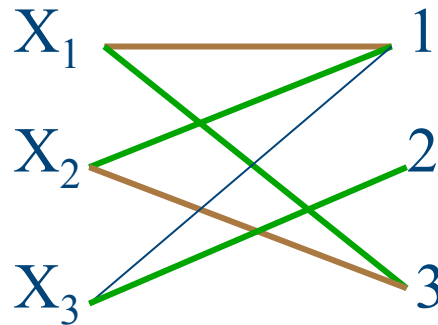
A maximal  
matching



## Dedicated Algorithm for All different

- $D(X_1) = \{1, 3\}$  ,  $D(X_2) = \{1, 3\}$ ,  $D(X_3) = \{1, 2\}$

Another maximal  
matching



Does not belong to  
any maximal matching

# Dedicated Algorithms

- Is it always easy to develop a dedicated algorithm for a given constraint?
- There's no single recipe!
- A nice semantics often gives us a clue!
  - Graph Theory
  - Flow Theory
  - Combinatorics
  - Complexity Theory, ...
- GAC may as well be NP-hard!
  - In that case, algorithms which maintain weaker consistencies (like BC) are of interest.

# GAC for Nvalue Constraint

- $nvalue([X_1, X_2, \dots, X_n], N)$  holds iff  $N = |\{X_i \mid 1 \leq i \leq n\}|$
- Reduction from 3 SAT.
  - Given a Boolean formula in  $k$  variables (labelled from 1 to  $k$ ) and  $m$  clauses, we construct an instance of  $nvalue([X_1, X_2, \dots, X_{k+m}], N)$ :
    - $D(X_i) = \{i, i'\}$  for  $i \in \{1, \dots, k\}$  where  $X_i$  represents the truth assignment of the SAT variables;
    - $X_i$  where  $i > k$  represents a SAT clause (disjunction of literals);
    - for a given clause like  $x \vee y' \vee z$ ,  $D(X_i) = \{x, y', z\}$ .
  - By construction,  $X_1, \dots, X_k$  will consume all the  $k$  distinct values.
  - When  $N = k$ ,  $nvalue$  has a solution iff the original SAT problem has a satisfying assignment.
    - Otherwise we will have more than  $k$  distinct values.
    - Hence, testing a value for support is NP-complete, and enforcing GAC is NP-hard!



# GAC for Nvalue Constraint

- E.g.,  $C_1: (a \text{ OR } b' \text{ OR } c) \text{ AND}$   
 $C_2: (a' \text{ OR } b \text{ OR } d) \text{ AND}$   
 $C_3: (b' \text{ OR } c' \text{ OR } d)$
- The formula has 4 variables (a, b, c, d) and 3 clauses ( $C_1, C_2, C_3$ ).
- We construct  $nvalue([X_1, X_2, \dots, X_7], 4)$  where:
  - $D(X_1) = \{a, a'\}, D(X_2) = \{b, b'\}, D(X_3) = \{c, c'\}, D(X_4) = \{d, d'\}, D(X_5) = \{a, b', c\}, D(X_6) = \{a', b, d\}, D(X_7) = \{b', c', d\}$
- An assignment to  $X_1, \dots, X_4$  will consume 4 distinct values.
- Not to exceed 4 distinct values, the rest of the variables must have intersecting values with  $X_1, \dots, X_4$ .
- Such assignments will make the SAT formula TRUE.

# Outline

- Local Consistency
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  - Generalised Arc Consistency (GAC)
  - Bounds Consistency (BC)
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- Constraint Propagation
  - Propagation Algorithms
- Specialised Propagation Algorithms
  - Global Constraints
    - Decompositions
    - Ad-hoc algorithms
- Generalised Propagation Algorithms
  - AC Algorithms

# Generalised Propagation Algorithms

- Not all constraints have nice semantics we can exploit to devise an efficient specialised propagation algorithm.
- Consider a product configuration problem:
  - compatibility constraints on hardware components:
    - only certain combinations of components work together.
  - compatibility may not be a simple pairwise relationship:
    - video cards supported function of motherboard, CPU, clock speed, O/S, ...

# Production Configuration Problem



- 5-ary constraint:
  - Compatible (motherboard345, intelCPU, 2GHz, 1GBRam, 80GBdrive).
  - Compatible (motherboard346, intelCPU, 3GHz, 2GBRam, 100GBdrive).
  - Compatible (motherboard346, amdCPU, 2GHz, 2GBRam, 100GBdrive).
  - ...

# Crossword Puzzle

- Constraints with different arity:
  - Word<sub>1</sub> ([X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>])
  - Word<sub>2</sub> ([X<sub>1</sub>, X<sub>13</sub>, X<sub>16</sub>])
  - ...
- No simple way to decide acceptable words other than to put them in a table.

1	C	2	A	3	T		4	T	5	S	6	N	7	I		8	P	9	E	10	R	11	C	12	H	
13	E	C	A				14	H	T	O	G					15	T	U	R	T	L	E				
16	S	H	I	17	B	A	I	N	U						18	O	R	R				19	O	R		
				20	L	A	I	C				21	A	22	B	E	R			23	F	W	D			
24	B	25	O	W	L				26	K	27	A	N	E		28	S	29	H	E	D	I				
30	S	W	A	L	31	C			32	R	A	S	33	P			34	O	W	E	N					
35	E	N	G				36	H	A	M	S	T	E	38	R	S						39	R	G		
				40	S	41	S	I	M						42	T	A	E	43	M						
44	S	45	F				46	P	A	R	47	A	48	K	49	E	E	T			50	U	51	S	52	A
53	C	E	54	I	C				55	E	Y	E	S			56	S	57	K	I	N	S				
58	R	E	T	A	59	W				60	A	N	E	61	W			62	E	R	E	H				
63	A	D	S				64	H	A	65	N				66	O	67	K	R	A						
68	T	E					69	A	E	S					70	E	71	U	K	A	N	U	72	B	73	A
74	C	R	75	A	T	E	S							76	L	A	E	R				77	Q	U	O	
78	H	S	A	E	L									79	S	E	N	T				80	A	T	L	

# GAC Schema

- A generic propagation algorithm.
  - Enforces GAC on an n-ary constraint given by:
    - a set of allowed tuples;
    - a set of disallowed tuples;
    - a predicate answering if a constraint is satisfied or not.
  - Sometimes called the “table” constraint:
    - user supplies table of acceptable values.
- Complexity:  $O(ed^n)$  time
- Hence, n cannot be too large!
  - Many solvers limits it to 3 or so.

# Arc Consistency Algorithms

- Generic AC algorithms with different complexities and advantages:
  - AC3
  - AC4
  - AC6
  - AC2001
  - ...

## AC-3

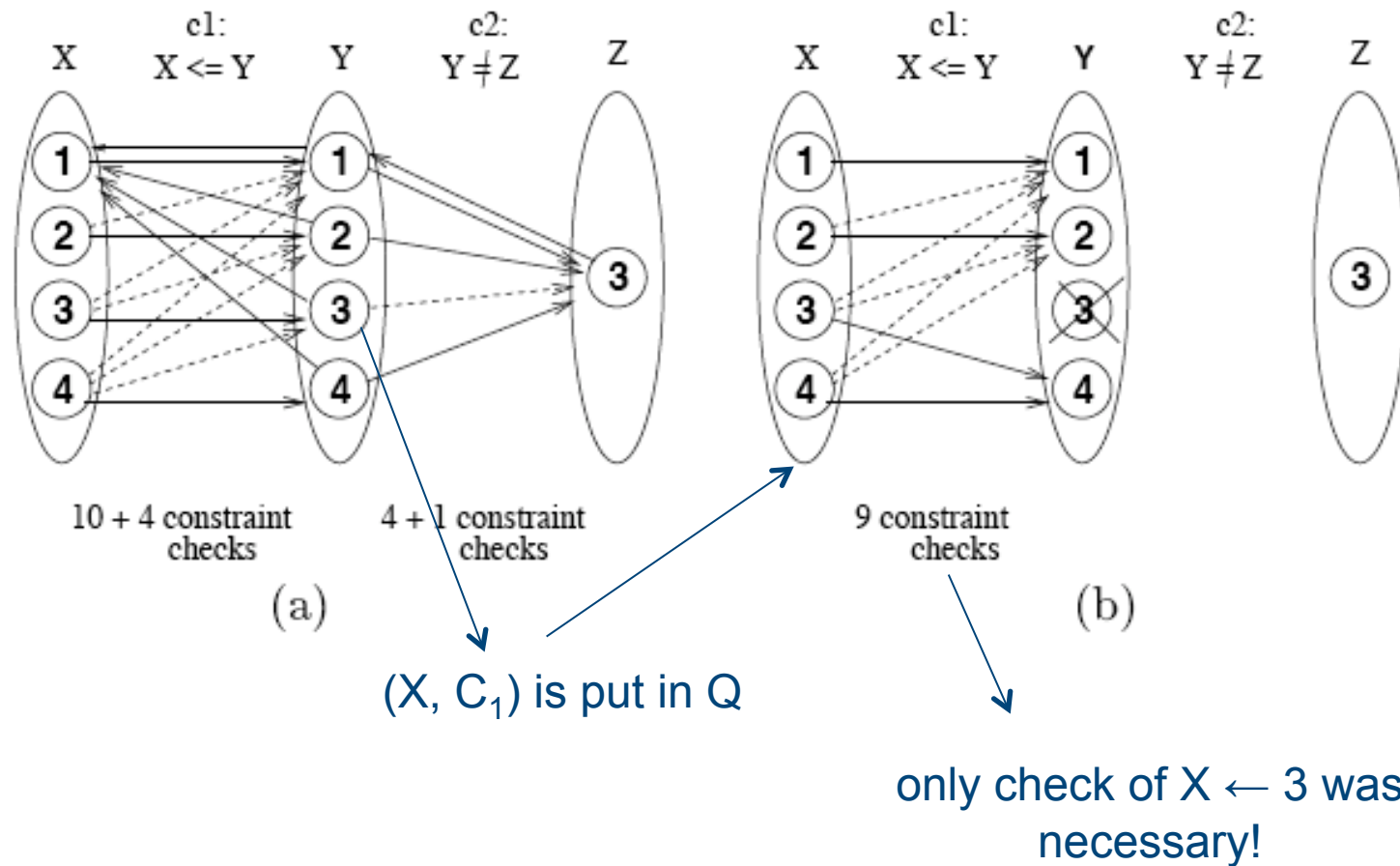
- Idea:
  - Revise  $(X_i, C)$ : removes unsupported values of  $X_i$  and returns TRUE.
  - Place each  $(X_i, C)$  where  $X_i$  participates to  $C$  and its domain is potentially not AC, in a queue  $Q$ ;
  - While  $Q$  is not empty:
    - Select and remove  $(X_i, C)$  from  $Q$ ;
    - If revise( $X_i, C$ ) then
      - If  $D(X_i) = \{ \}$  then return FALSE;
      - else place  $\{(X_j, C') \mid X_i, X_j \text{ participate in some } C'\}$  into  $Q$ .



## AC-3

- AC-3 achieves AC on binary CSPs in  $O(ed^3)$  time and  $O(e)$  space.
  - Time complexity is not optimal 😞
  - Revise does not remember anything about past computations and re-does unnecessary work.

# AC-3



# AC-4

- Stores max. amount of info in a preprocessing step so as to avoid redoing the same constraints checks.
- Idea:
  - Start with an empty queue  $Q$ .
  - Maintain counter  $[X_i, v_j, X_k]$  where  $X_i, X_k$  participate in a constraint  $C_{ik}$  and  $v_j \in D(X_i)$ 
    - Stores the number of supports for  $X_i \leftarrow v_j$  on  $C_{ik}$ .
  - Place all supports of  $X_i \leftarrow v_j$  (in all constraints) in a list  $S[X_i, v_j]$ .

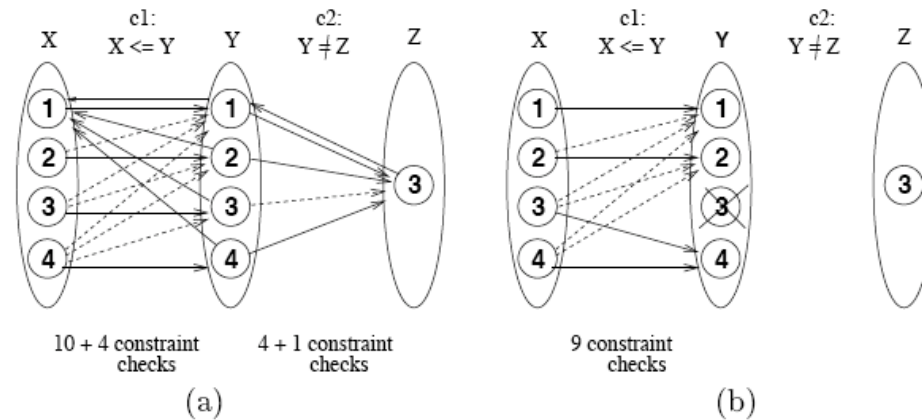
# AC-4

- Initialisation:
  - All possible constraint checks are performed.
  - Each time a support for  $X_i \leftarrow v_j$  is found, the corresponding counters and lists are updated.
  - Each time a support for  $X_i \leftarrow v_j$  is not found, remove  $v_j$  from  $D(X_i)$  and place  $(X_i, v_j)$  in  $Q$  for future propagation.
  - If  $D(X_i) = \{ \}$  then return FALSE.

# AC-4

- Propagation:
  - While Q is not empty:
    - Select and remove  $(X_i, v_j)$  from Q;
    - For each  $(X_k, v_t)$  in  $S[X_i, v_j]$ 
      - If  $v_t \in D(X_k)$  then
        - decrement counter $[X_k, v_t, X_i]$
        - If counter $[X_k, v_t, X_i] = 0$  then
          - Remove  $v_t$  from  $D(X_k)$ ; add  $(X_k, v_t)$  to Q
          - If  $D(X_k) = \{ \}$  then return FALSE.

# AC-4



No additional constraint check!

(y,3) is put in Q

counter[x, 1, y] = 4	counter[y, 1, x] = 1	counter[y, 1, z] = 1
counter[x, 2, y] = 3	counter[y, 2, x] = 2	counter[y, 2, z] = 1
counter[x, 3, y] = 2	counter[y, 3, x] = 3	counter[y, 3, z] = 0
counter[x, 4, y] = 1	counter[y, 4, x] = 4	counter[y, 4, z] = 1
		counter[z, 3, y] = 3

$S[x, 1] = \{(y, 1), (y, 2), (y, 3), (y, 4)\}$   
 $S[x, 2] = \{(y, 2), (y, 3), (y, 4)\}$   
 $S[x, 3] = \{(y, 3), (y, 4)\}$   
 $S[x, 4] = \{(y, 4)\}$

$S[y, 1] = \{(x, 1), (z, 3)\}$   
 $S[y, 2] = \{(x, 1), (x, 2), (z, 3)\}$   
 $S[y, 3] = \{(x, 1), (x, 2), (x, 3)\}$   
 $S[y, 4] = \{(x, 1), (x, 2), (x, 3), (x, 4), (z, 3)\}$   
 $S[z, 3] = \{(y, 1), (y, 2), (y, 4)\}$

## AC-4

- AC-3 achieves AC on binary CSPs in  $O(ed^2)$  time and  $O(ed^2)$  space.
  - Time complexity is optimal 😊
  - Space complexity is not optimal 😞
- AC-6 and AC-2001 achieve AC on binary CSPs in  $O(ed^2)$  time and  $O(ed)$  space.
  - Time complexity is optimal 😊
  - Space complexity is optimal 😊

# **PART IV: Search Algorithms**





# Outline

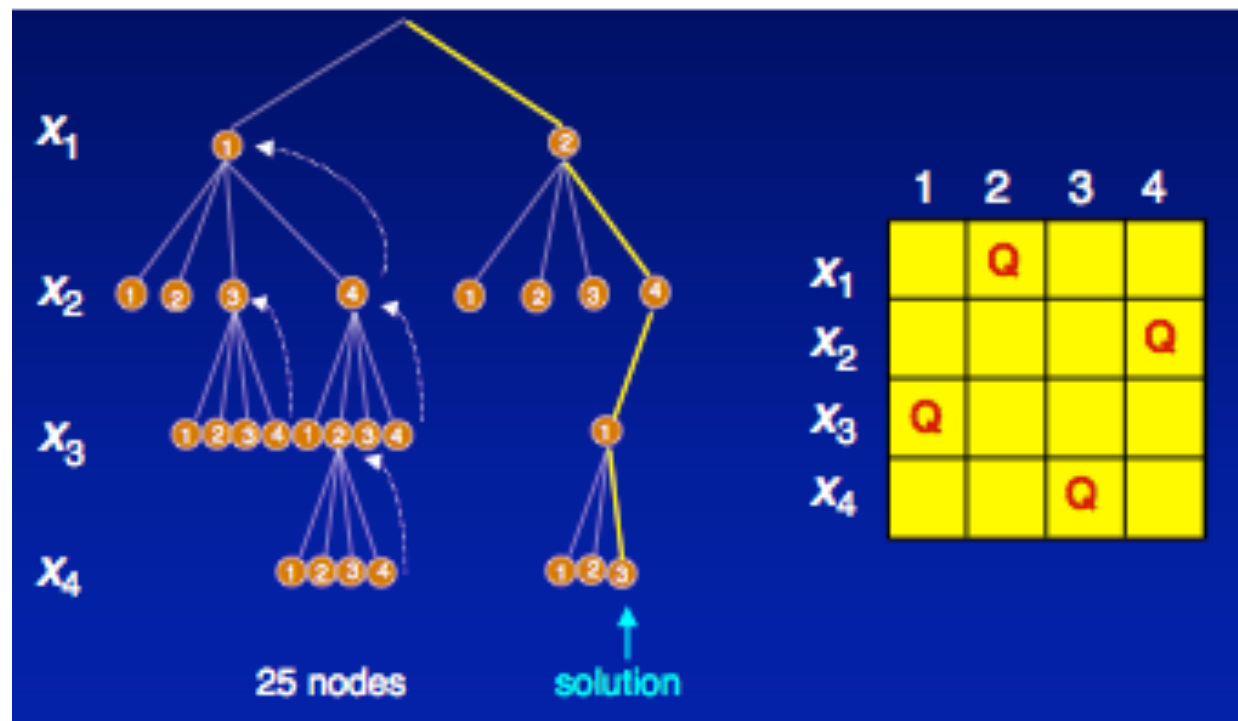
- Depth-first Search Algorithms
  - Chronological Backtracking
  - Conflict Directed Backjumping
  - Dynamic Backtracking
  - Branching Strategies
  - Heuristics
- Best-First Search Algorithms
  - Limited Discrepancy Search

# Depth-first Search Algorithms

- Backtracking tree search algorithms essentially perform depth-first traversal of a search tree.
  - Every node represents a decision made on a variable.
  - At each node:
    - check every completely assigned constraint;
    - If consistent continue down in the tree;
    - otherwise prune the underlying subtrees and backtrack to an uninstantiated variable that still has alternative values.

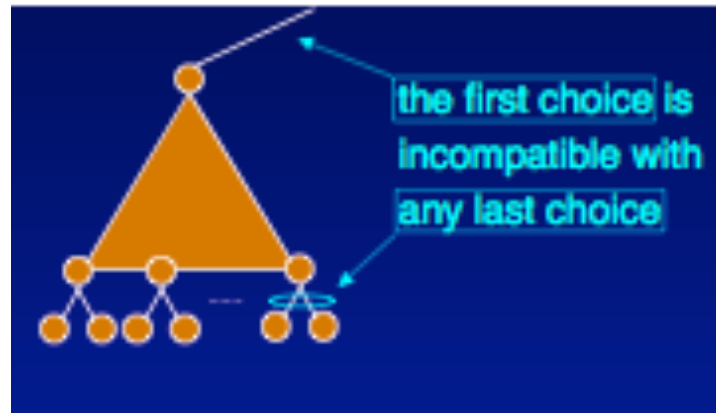
# Chronological Backtracking

- Backtracks to the most recent variable.



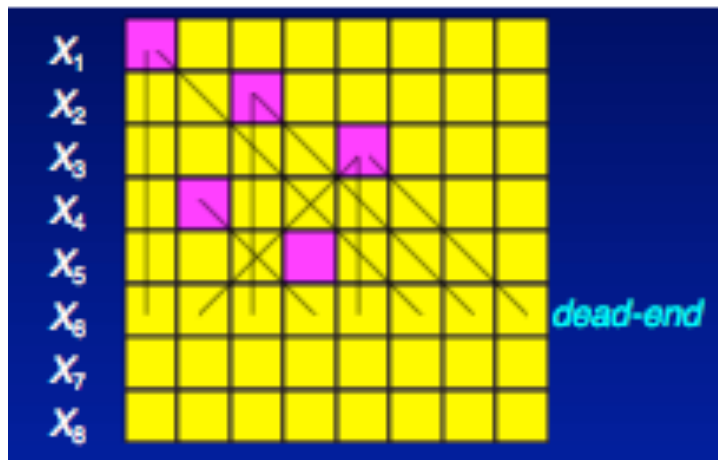
# Chronological Backtracking

- Suffers from trashing.
  - The same failure can be remade an exponential number of times.



# Non-Chronological Backtracking

- Backtrack on a culprit variable.
- E.g.,

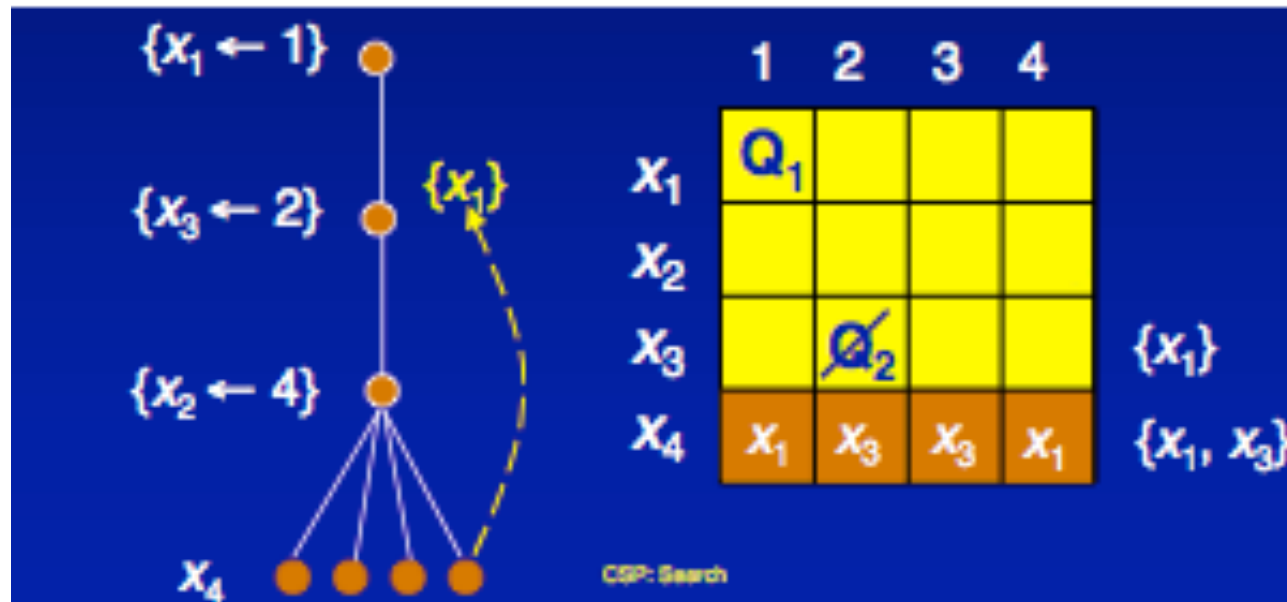


- Backtracking to  $X_5$  is pointless.
- Better to backtrack on  $X_4$ .



# Conflict Directed Backjumping

- Backtracks to the last variable in the conflict set.
- Intermediate decisions are removed.



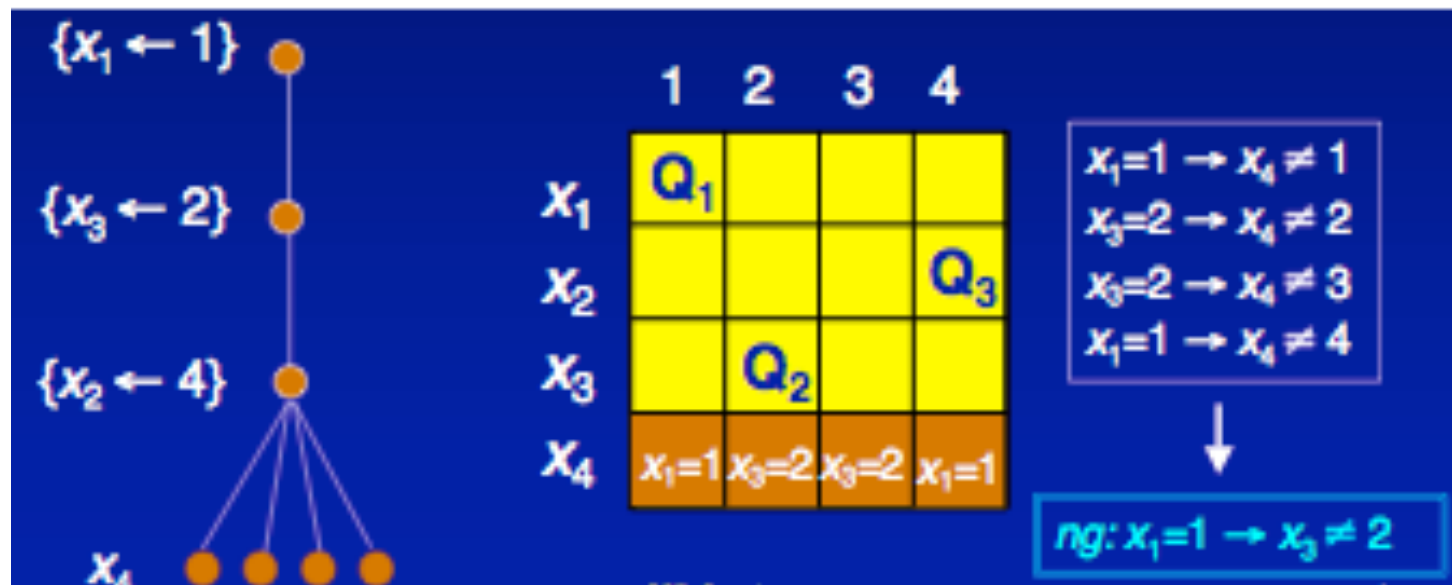
# No-goods

- Subset of incompatible assignments.
- E.g., map colouring problem.
  - $X_1, X_2, X_3$  are adjacent with  $D = \{1, 2\}$ .
  - $(X_1 = a \text{ and } X_3 = a)$  or equivalently  $(X_1 = a \rightarrow X_3 \neq a)$  is a no-good.
- No-good resolution:
  - $X_1 = a \rightarrow X_3 \neq a$
  - $X_2 = b \rightarrow X_3 \neq b$
$$\left. \begin{array}{l} - X_1 = a \rightarrow X_3 \neq a \\ - X_2 = b \rightarrow X_3 \neq b \end{array} \right\} X_1 = a \rightarrow X_2 \neq b$$



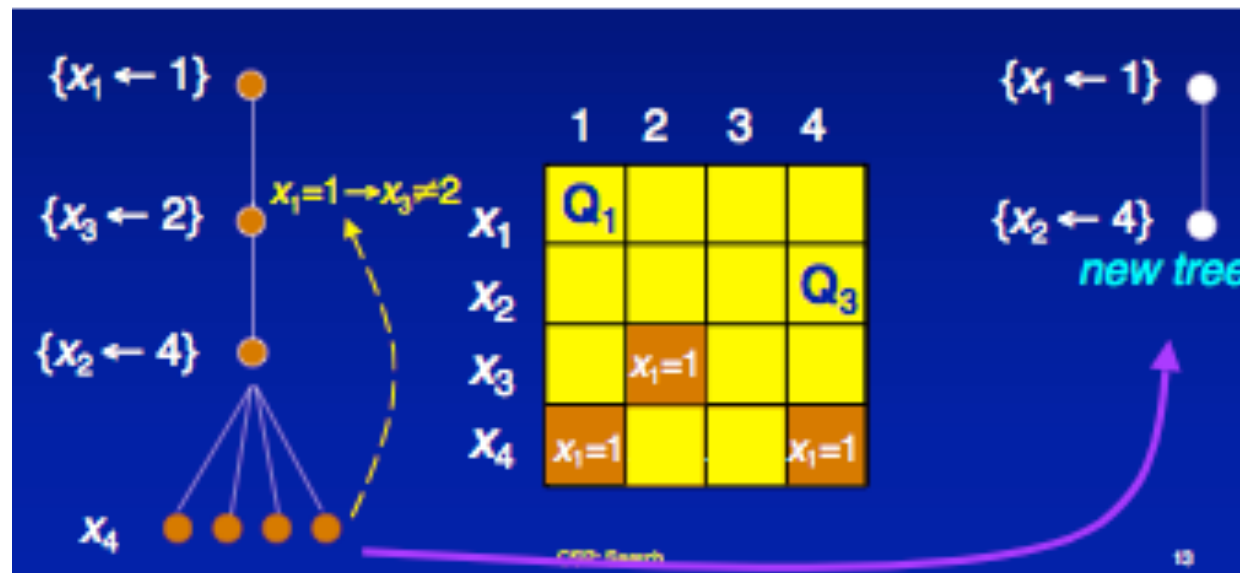
# Dynamic Backtracking

- One no-good for each incompatible value is maintained.
  - Empty domain: new no-good by no-good resolution.
  - Backtrack to the variable in the right hand side of the no-good.



# Dynamic Backtracking

- Backtracks to the last decision responsible for the dead-end.
- Intermediate decisions are not removed.

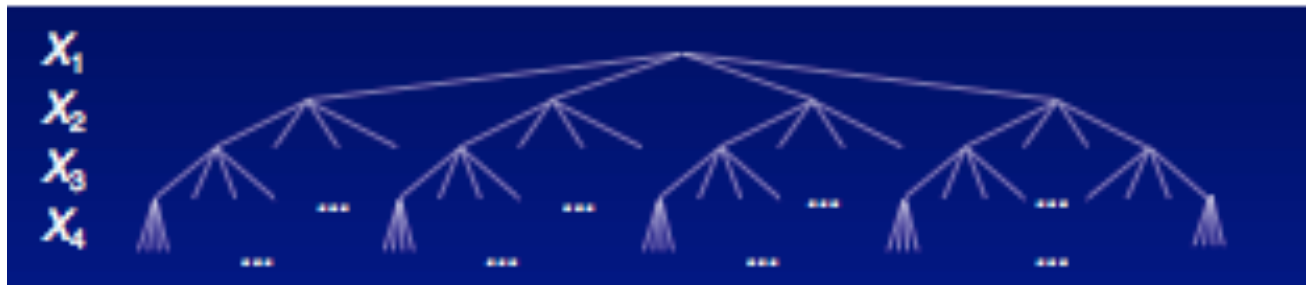


# Branching Strategies

- The method of extending a node in the search tree.
  - Usually consists of posting a unary constraint on a chosen variable  $X_i$ .
  - $X_i$  & the ordering of the branches are chosen by the heuristics.
- D-way branching:
  - One branch is generated for each  $v_j \in D(X_i)$  by  $X_i \leftarrow v_j$ .
- 2-way branching:
  - 2 branches are generated for each  $v_j \in D(X_i)$  by  $X_i \leftarrow v_j$  and  $X_i \leftarrow \setminus v_j$ .
- Domain splitting:
  - $k$  branches are generated by  $X_i \in D_j$  where  $D_1 \dots D_k$  are partitions of  $D_i$ .

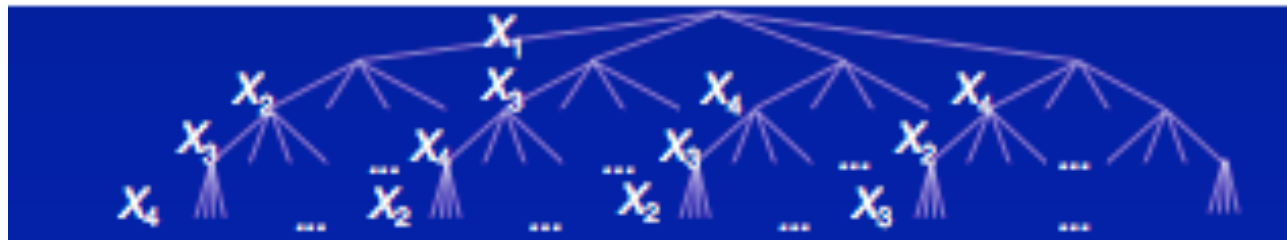
# Variable and Value Ordering Heuristics

- Guide the search.
- Problem specific vs generic heuristics.
- Static Heuristics:
  - a variable is associated with each level;
  - branches are generated in the same order all over the tree;
  - calculated once and for all before search starts, hence cheap to evaluate.



# Variable and Value Ordering Heuristics

- Dynamic Heuristics:
  - at any node, any variable & branch can be considered;
  - decided dynamically during search, hence costly;
  - takes into account the current state of the search tree.



# Variable Ordering Heuristics

- Fail-first principle: to succeed, try first where you are most likely to fail.
- Min domain (dom):
  - choose next the variable with minimum domain.
- Most constrained (deg):
  - choose next the variable involved in most number of constraints.
- Combinations
  - $\text{dom} + \text{deg}$ ;  $\text{dom} / \text{deg}$

# Value Ordering Heuristics

- Succeed-first principle: choose next the value most likely to be part of a solution.
  - Approximating the number of solutions.
  - Looking at the remaining domain sizes when a value is assigned to a variable.

# Problems with Depth-first Search

- The branches out of a node, ordered by a value ordering heuristic, are explored in left-to-right order, the left-most branch being the most promising.
- For many problems, heuristics are more accurate at deep nodes.
- Depth-first search:
  - puts tremendous burden on the heuristics early in the search and light burden deep in the search;
  - consequently mistakes made near the root of the tree can be costly to correct.
- Best-first search strategy is of interest.



# Limited Discrepancy Search

- A discrepancy is the case where the search does not follow the value ordering heuristic and thus does not take the left-most branch out of a node.
- LDS:
  - Trusts the value ordering heuristic and gives priority to the left branches.
  - Iteratively searches the tree by increasing number of discrepancies, preferring discrepancies that occur near the root of the tree.

# Limited Discrepancy Search

- The search recovers from mistakes made early in the search.

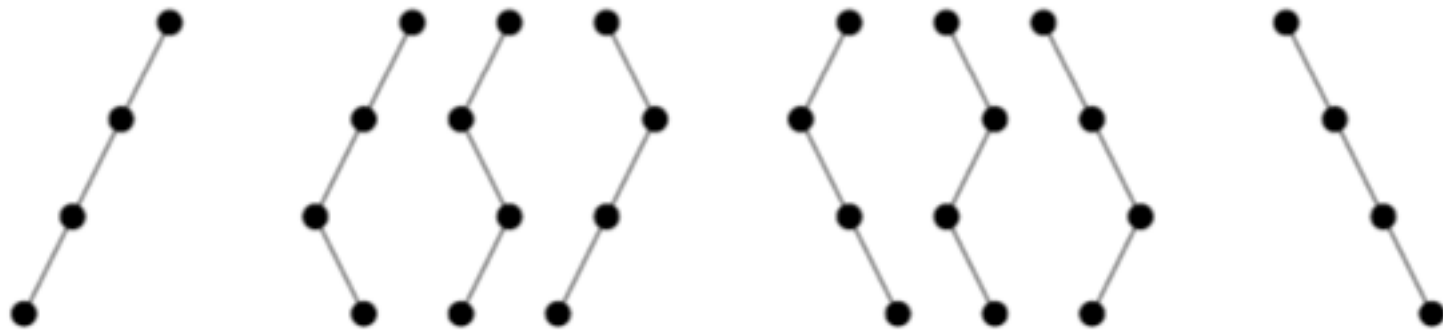


Figure 1: Paths with 0, 1, 2, and 3 Discrepancies in a Depth 3 Binary Tree

# **PART IV: Some Useful Pointers about CP**



# (Incomplete) List of Advanced Topics

- Modelling
- Global constraints, propagation algorithms
- Search algorithms
- Heuristics
- Symmetry breaking
- Optimisation
- Local search
- Soft constraints, preferences
- Temporal constraints
- Quantified constraints
- Continuous constraints
- Planning and scheduling
- SAT
- Complexity and tractability
- Uncertainty
- Robustness
- Structured domains
- Randomisation
- Hybrid systems
- Applications
- Constraint systems
- No good learning
- Explanations
- Visualisation

# Literature

- **Books**

- Handbook of Constraint Programming

F. Rossi, P. van Beek, T. Walsh (eds), Elsevier Science, 2006.

Some online chapters:

Chapter 1 - Introduction

Chapter 3 - Constraint Propagation

Chapter 6 - Global Constraints

Chapter 10 - Symmetry in CP

Chapter 11 - Modelling

# Literature

- **Books**

- **Constraint Logic Programming Using Eclipse**  
K. Apt and M. Wallace, Cambridge University Press, 2006.
- **Principles of Constraint Programming**  
K. Apt, Cambridge University Press, 2003.
- **Constraint Processing**  
Rina Dechter, Morgan Kaufmann, 2003.
- **Constraint-based Local Search**  
Pascal van Hentenryck and Laurent Michel, MIT Presss, 2005.
- **The OPL Optimization Programming Languages**  
Pascal Van Hentenryck, MIT Press, 1999.

# Literature

- **People**

- Barbara Smith

- Modelling, symmetry breaking, search heuristics
    - Tutorials and book chapter

- Christian Bessiere

- Constraint propagation
    - Global constraints
      - Nvalue constraint
    - Book chapter

- Jean-Charles Regin

- Global constraints
      - Alldifferent, global cardinality, cardinality matrix

- Toby Walsh

- Modelling, symmetry breaking, global constraints
    - Various tutorials

# Literature

- **Journals**

- Constraints
- Artificial Intelligence
- Journal of Artificial Intelligence Research
- Journal of Heuristics
- Intelligenza Artificiale (AI\*IA)
- Informs Journal on Computing
- Annals of Mathematics and Artificial Intelligence



# Literature

- **Conferences**

- Principles and Practice of Constraint Programming (CP)  
<http://www.cs.ualberta.ca/~ai/cp/>
- Integration of AI and OR Techniques in CP (CP-AI-OR)  
<http://www.cs.cornell.edu/~vanhoeve/cpaior/>
- National Conference on AI (AAAI)  
<http://www.aaai.org>
- International Joint Conference on Artificial Intelligence (IJCAI)  
<http://www.ijcai.org>
- European Conference on Artificial Intelligence (ECAI)  
<http://www.eccai.org>
- International Symposium on Practical Aspects of Declarative Languages (PADL)  
<http://www.informatik.uni-trier.de/~ley/db/conf/padl/index.html>

# Literature

- **Schools and Tutorials**

- ACP summer schools:

- 2005: <http://www.math.unipd.it/~frossi/cp-school/>

- 2006: <http://www.cse.unsw.edu.au/~tw/school.html>

- 2007: <http://www.iiia.csic.es/summerschools/sscp2007/>

- 2008: <http://www-circa.mcs.st-and.ac.uk/cpss2008/>

- 2009: <http://www.cs.ucc.ie/~osullb/ACPSS2009/Welcome.html>

- 2010: <http://becool.info.ucl.ac.be/summerschool2010/>

- AI conference tutorials (IJCAI'09, 07, 05, ECAI'04 ...).

- CP conference tutorials.

- CP-AI-OR master classes.

# Literature

- **Solvers & Languages**

- Choco (<http://choco.sourceforge.net/>)
- Comet (<http://www.comet-online.org/>)
- Eclipse (<http://eclipse.crosscoreop.com/>)
- FaCiLe (<http://www.recherche.enac.fr/opti/facile/>)
- Gecode (<http://www.gecode.org/>)
- IBM ILOG Solver (<http://www-01.ibm.com/software/websphere/products/optimization/>)
- Koalog Constraint Solver (<http://www.gecode.org/>)
- Minion (<http://minion.sourceforge.net/>)
- OPL (<http://www.ilog.com/products/oplstudio/>)
- Sicstus Prolog (<http://www.sics.se/isl/sicstuswww/site/index.html>)