

# Lambda-Calculus and Type Theory

## *Part III*

Ugo Dal Lago



ALMA MATER STUDIORUM  
UNIVERSITÀ DI BOLOGNA

*inria*  
informatiques mathématiques

Scuola Estiva AILA, Gargnano, August 2018

## Section 1

# Classical Logic and the $\lambda\mu$ -Calculus

# Propositional Classical Logic

- ▶ If we restrict our attention to the fragment with implication and  $\perp$ , we get the system  $CPL_{\rightarrow, \perp}$ , which is defined as follows:

$$\frac{}{\Gamma, \varphi \vdash \varphi} AX \qquad \frac{\Gamma, \varphi \rightarrow \perp \vdash \perp}{\Gamma \vdash \varphi} E_{\neg}$$
$$\frac{\Gamma, \varphi \vdash \tau}{\Gamma \vdash \varphi \rightarrow \tau} I_{\rightarrow} \qquad \frac{\Gamma \vdash \varphi \rightarrow \tau \quad \Gamma \vdash \varphi}{\Gamma \vdash \tau} E_{\rightarrow}$$

- ▶ Rule  $E_{\neg}$  subsumes  $E_{\perp}$ . Moreover, the following two rules are equivalent to it:

$$\frac{\Gamma \vdash \perp}{\Gamma \vdash \varphi} \qquad \frac{\Gamma, \varphi \rightarrow \tau \vdash \varphi}{\Gamma \vdash \varphi}$$

## Theorem

*Propositional classical logic is sound and complete for classical validity.*

# The $\lambda\mu$ -Calculus

- ▶ Terms of the Church-style  $\lambda\mu$ -calculus are the following ones:

$$M ::= x \mid (MM) \mid (\lambda x : \varphi M) \mid \\ ([a]M) \mid (\mu a : \neg\varphi M)$$

where:

- ▶  $a$  ranges over a set  $\Xi$  of *addresses*;
- ▶  $\varphi$  ranges over  $CPL_{\rightarrow, \perp}$  formulas.
- ▶ The notions of  $\alpha$ -conversion and substitution can be generalized from the  $\lambda$ -calculus to the  $\lambda\mu$ -calculus, keeping in mind that:
  - ▶  $\mu a : \neg\varphi M$  acts as a binder for  $a$  in  $M$ .
  - ▶ Only variables can be substituted for terms, while addresses are substituted for more complicated objects, to be defined later.

# The $\lambda\mu$ -Calculus

- ▶ Environments now assigns types to variables and *negated* types to addresses.
- ▶ Typing rules are as follows:

$$\frac{}{\Gamma, x : \varphi \vdash x : \varphi} V$$

$$\frac{\Gamma, x : \varphi \vdash M : \tau}{\Gamma \vdash \lambda x M : \varphi \rightarrow \tau} \lambda \qquad \frac{\Gamma \vdash M : \varphi \rightarrow \tau \quad \Gamma \vdash N : \varphi}{\Gamma \vdash MN : \tau} @$$

$$\frac{\Gamma, a : \neg\varphi \vdash M : \perp}{\Gamma \vdash \mu a : \neg\varphi M : \varphi} \mu \qquad \frac{\Gamma, a : \neg\varphi \vdash M : \varphi}{\Gamma, a : \neg\varphi \vdash [a]M : \perp} [\cdot]$$

## The $\lambda\mu$ -Calculus: Reduction

- ▶  $\lambda\mu$ -contexts are defined as follows:

$$C ::= [\cdot] \mid CM \mid [a]C$$

- ▶ Given a  $\lambda\mu$ -context  $C$  and a  $\lambda\mu$ -term  $M$ , the term  $C[M]$  is defined as follows:

$$\begin{aligned} [\cdot][M] &= M; \\ (CN)[M] &= (C[M])N; \\ ([a]C)[M] &= [a](C[M]). \end{aligned}$$

- ▶ The concept of free variable set is generalized to contexts as follows:

$$\begin{aligned} FV([\cdot]) &= \emptyset; \\ FV(CM) &= FV(C) \cup FV(M); \\ FV([a]C) &= \{a\} \cup FV(C) \end{aligned}$$

## The $\lambda\mu$ -Calculus: Reduction

- ▶ For a  $\lambda\mu$ -context  $C$ , an address  $a$ , and a  $\lambda\mu$ -term  $M$ , we define the substitution  $M[a := C]$  as follows:

$$\begin{aligned}x[a := C] &= x \\(\lambda y : \varphi M)[a := C] &= \lambda y : \varphi(M[a := C]) \\(MN)[a := C] &= (M[a := C])(N[a := C]) \\(\mu b : \neg\varphi M)[a := C] &= \mu b : \neg\varphi(M[a := C]) \\([a]M)[a := C] &= C[M[a := C]] \\([b]M)[a := C] &= [b](M[a := C])\end{aligned}$$

where where  $y, b \notin FV(C)$  and  $a \neq b$ .

- ▶ The relation  $\rightarrow_\mu$  denotes the least compatible relation containing all the following pairs:

$$\begin{aligned}(\lambda x : \varphi M)N &\rightarrow_\mu M[x := N]; \\ \mu a : \neg\varphi[a]M &\rightarrow_\mu M \text{ if } a \notin FV(M); \\ [b](\mu a : \neg\varphi M) &\rightarrow_\mu M[a := ([b][\cdot])]; \\ (\mu a : \neg(\varphi \rightarrow \tau)M)N &\rightarrow_\mu \mu b : \neg\tau(M[a := ([b]([\cdot]N))]) \text{ if } a \neq b \notin FV(MN)\end{aligned}$$

# The $\lambda\mu$ -Calculus: Confluence and Normalisation

## Theorem (Subject Reduction Theorem)

*If  $\Gamma \vdash M : \varphi$  and  $M \rightarrow_{\mu}^* N$ , then  $\Gamma \vdash N : \varphi$ .*

## Theorem (Strong Normalization)

*The relation  $\rightarrow_{\mu}$  on  $\lambda\mu$ -terms is strongly normalizing.*

## Theorem (Confluence)

*The relation  $\rightarrow_{\mu}$  on  $\lambda\mu$ -terms is weakly Church-Rosser, thus Church-Rosser.*



## Section 2

### Gödel's $\mathbb{T}$ and Arithmetic

## Gödel's T: Statics

- ▶ It can be seen as an extension of the simply-typed  $\lambda$ -calculus natural numbers and a form of primitive recursion are directly available, rather than encoded.
- ▶ Types are extended as follows:

$$\varphi ::= \dots \mid \mathbf{int} \mid \mathbf{unit}$$

- ▶ Terms are extended as follows:

$$M ::= \dots \mid \mathbf{R} \mid \mathbf{X} \mid \mathbf{Z} \mid *$$

where the new constants can be typed by the following rules:

$$\frac{}{\Gamma \vdash \mathbf{R} : \mathbf{int} \rightarrow \varphi \rightarrow (\mathbf{int} \rightarrow \varphi \rightarrow \varphi) \rightarrow \varphi} \mathbf{R} \quad \frac{}{\Gamma \vdash \mathbf{X} : \mathbf{int} \rightarrow \mathbf{int}} \mathbf{X}$$
$$\frac{}{\Gamma \vdash \mathbf{Z} : \mathbf{int}} \mathbf{Z} \quad \frac{}{\Gamma \vdash * : \mathbf{unit}} *$$

## Gödel's $\mathbf{T}$ : Dynamics

- ▶ Two new reduction rules are necessary:

$$\begin{aligned}R Z M N &\rightarrow_{\beta} M; \\R (X L) M N &\rightarrow_{\beta} N L (R L M N)\end{aligned}$$

### Theorem (Strong Normalization)

*The relation  $\rightarrow_{\beta}$  on terms of Gödel's  $\mathbf{T}$  is strongly normalizing.*

- ▶ A function  $f : \mathbb{N}^k \rightarrow \mathbb{N}$  is *definable in  $\mathbf{T}$*  if there is a term  $M_f$  with  $\emptyset \vdash M_f : \mathbf{int}^k \rightarrow \mathbf{int}$  such that

$$M_f \bar{n}_1 \cdots \bar{n}_k \twoheadrightarrow_{\beta} \overline{f(n_1, \dots, n_k)}$$

where  $\bar{n}$  is the encoding of  $n$  via  $Z$  and  $X$ .

### Theorem

*The class of total functions on  $\mathbb{N}$  which are  $\lambda$ -definable in  $\mathbf{T}$  coincides with those which are provably total in Peano's arithmetic.*

## Section 3

# Second-Order Logic and Polymorphism

## Second-Order Propositional Logic

- ▶ *Formulas* are the expressions derived from the following grammar:

$$\begin{aligned} \varphi ::= & \perp \mid p \mid \varphi \rightarrow \varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \\ & \mid \exists p\varphi \mid \forall p\varphi \end{aligned}$$

- ▶ Usual concepts, like those of  $\alpha$ -conversion, free variables, and the like are generalized easily to this new setting.
- ▶ Classically, the following two logical equivalences hold:

$$\begin{aligned} \exists p\varphi & \iff \varphi[p := \neg\perp] \vee \varphi[p := \perp] \\ \forall p\varphi & \iff \varphi[p := \neg\perp] \wedge \varphi[p := \perp] \end{aligned}$$

where  $\neg\varphi := \varphi \rightarrow \perp$ .

- ▶ Intuitionistically, the same does *not hold*.

## Second-Order Propositional Logic

- ▶ Getting a natural deduction system for second-order propositional logic boils down to extending natural deduction for propositional logic with the following four rules:

$$\frac{\Gamma \vdash \varphi[p := \tau]}{\Gamma \vdash \exists p\varphi} \text{ } I\exists \qquad \frac{\Gamma \vdash \exists p\varphi \quad \Gamma, \varphi \vdash \tau \quad p \notin FV(\Gamma, \tau)}{\Gamma \vdash \tau} \text{ } E\exists$$
$$\frac{\Gamma \vdash \varphi \quad p \notin FV(\Gamma)}{\Gamma \vdash \forall p\varphi} \text{ } I\forall \qquad \frac{\Gamma \vdash \forall p\varphi}{\Gamma \vdash \varphi[p := \tau]} \text{ } E\forall$$

- ▶ Both Heyting and Kripke Semantics can be generalized to second-order quantifiers.

### Theorem (Completeness)

$\Gamma \vdash \varphi$  if and only if  $\Gamma \models \varphi$  if and only if  $\Gamma \Vdash \varphi$ .

# The Polymorphic $\lambda$ -Calculus

- ▶ Types are taken as second-order propositional formulas including only the operators  $\rightarrow$  and  $\forall$ .
- ▶ Terms are generated by the following grammar:

$$M ::= x \mid \lambda x : \varphi M \mid (MM) \mid \Lambda p M \mid M \varphi$$

$\Lambda p M$  binds  $p$  in  $M$ .

- ▶ Typing rules are the following ones:

$$\frac{}{\Gamma, x : \varphi \vdash x : \varphi} V$$

$$\frac{\Gamma, x : \varphi \vdash M : \tau}{\Gamma \vdash \lambda x M : \varphi \rightarrow \tau} \lambda \qquad \frac{\Gamma \vdash M : \varphi \rightarrow \tau \quad \Gamma \vdash N : \varphi}{\Gamma \vdash MN : \tau} @$$

$$\frac{\Gamma \vdash M : \varphi \quad p \notin FV(\Gamma)}{\Gamma \vdash \Lambda p M : \forall p \varphi} \Lambda \qquad \frac{\Gamma \vdash M : \forall p \varphi}{\Gamma \vdash (M\tau) : \varphi[p := \tau]} @_{\Lambda}$$

# The Polymorphic $\lambda$ -Calculus: Reduction

- ▶ The relation  $\rightarrow_{\Lambda}$  denotes the least compatible relation such that

$$\begin{aligned}(\lambda x : \varphi M)N &\rightarrow_{\Lambda} M[x := N]; \\ (\Lambda p M)\varphi &\rightarrow_{\Lambda} M[p := \varphi].\end{aligned}$$

## Theorem (Subject Reduction Theorem)

*If  $\Gamma \vdash M : \varphi$  and  $M \rightarrow_{\Lambda}^* N$ , then  $\Gamma \vdash N : \varphi$ .*

## Theorem (Strong Normalization)

*The relation  $\rightarrow_{\Lambda}$  on  $\lambda\mu$ -terms is strongly normalizing.*

## Theorem (Confluence)

*The relation  $\rightarrow_{\Lambda}$  on  $\lambda\mu$ -terms is weakly Church-Rosser, thus Church Rosser.*