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# Linear dependent types and intensional expressivity

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# Aim of this presentation

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I present a **work in (very) progress** that aims at provide a **general framework** for implicit computational complexity.

What you need to retain:

“**Intensional completeness** is important and we can treat it in **non trivial ways**”

**Four years ago...**

On the Intensional Expressive Power  
of Bounded Calculi

Work in Progress

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# Classical Implicit Computational Complexity

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- The usual scenario:
  - You **pick up** a complexity class  $\mathcal{C}$ , e.g. PTIME, LOGSPACE, etc.
  - Starting from a programming language or logical system  $\mathcal{P}$ , you **isolate** a subclass  $\mathcal{P}^*$  of  $\mathcal{P}$ .
  - Then, you prove:
    - Soundness:** that every program in  $\mathcal{P}^*$  is **computable** in time (or space) bounded by a function in  $\mathcal{C}$ .
    - Completeness:** every function computable in time (or space) bounded by a function in  $\mathcal{C}$  is **representable** in  $\mathcal{P}^*$
- Here we have a mismatch!
  - Soundness** is proved **intensionally** but **completeness** is proved **extensionally**.

# Towards Intensionality

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- We can replace the usual statement:

**Completeness**: Every function computable in time (or space) bounded by a function in  $\mathcal{C}$  is shown to be **representable** in  $\mathcal{P}^*$ .

with the following one

**Intensional Completeness**: the subclass  $\mathcal{P}^*$  contains every  $\mathcal{P}$  program **computable** in time (or space) bounded by a function in  $\mathcal{C}$ .

- Intensional completeness is **far more interesting** from a programming perspective!
- Unfortunately, if  $\mathcal{P}^*$  is **sound and intensionally complete**, then  $\mathcal{P}^*$  **is not recursively enumerable**, provided  $\mathcal{P}^*$  and  $\mathcal{C}$  are nontrivial.
- What should we do?

## A paradigm shift...

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- The proof assistant community has already considered a similar question:  
“how to deal with **interesting** intrinsically **non decidable** properties?”
- The Interactive Proof Assistants solution is to consider **strong logical systems** (e.g. CiC) where such properties could be **described**, **loosing** in this way full automatization.
- We think that a similar approach is needed here. By a slogan:  
“We need to consider seriously the development of **intensional complete** systems, leaving the **decidability** to an external task.”

# A first source of inspiration: Bounded Recursion on Notation

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- In his seminal work, Cobham has introduced **Bounded Recursion on Notation BRN** as the **functional counterpart** of the class of function computable by a Deterministic Turing Machine in Polynomial time **PTIME**.
- Using a **Recursion on Notation scheme**, we can also define a language for the **Primitive Recursive Functions**. Let us name this system **PRN**.
- Clearly, we have:

$$\text{BRN} \subseteq \text{PRN}$$

- In fact, we have something more. **Bounded Recursion on Notation** is **intensionally hereditary polytime complete** with respect to **PRN** programs, i.e. if a **PRN** program  $\mathcal{P}$  and all its parts  $\mathcal{P}_i$  are polytime, then  $\mathcal{P} \in \text{BRN}$ .

# A second source of inspiration: Bounded Linear Logic

Resource Polynomials:

$$p ::= \sum_{j \leq m} \prod_{i \leq k} \binom{x_{i,j}}{n_{i,j}}$$

Formulae:

$$\sigma, \tau ::= \alpha(\vec{p}) \mid \sigma \otimes \tau \mid \sigma \multimap \tau \mid \forall \alpha. \sigma \mid !_{x < p} \sigma$$

Rules:

$$\frac{\sigma \leq \sigma'}{\sigma \vdash \sigma'} \text{ (ax)} \quad \frac{\Gamma \vdash \tau}{\Gamma, !_{x < w} \sigma \vdash \tau} \text{ (w)} \quad \frac{\Gamma, \sigma[x := 0] \vdash \tau}{\Gamma, !_{x < 1+w} \sigma \vdash \tau} \text{ (d)}$$

$$\frac{\Gamma, !_{x < p} \sigma, !_{y < q} \sigma[x := p + y] \vdash \tau \quad p + y \text{ free for } x \text{ in } \sigma}{\Gamma, !_{x < p+q+w} \sigma \vdash \tau} \text{ (c)}$$

$$\frac{!_{z < q_1(x)} \sigma_1[y := v_1(x) + z], \dots, !_{z < q_n(x)} \sigma_n[y := v_n(x) + z] \vdash \tau}{!_{y < v_i(p) + w_1} \sigma_1, \dots, !_{y < v_n(p) + w_n} \sigma_n \vdash !_{x < p} \tau} \text{ (p)}$$

# Dependent ML - 1

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- In his phd thesis, Hongwei Xi has proposed a language, named **Dependent ML (DML)**, obtained by extending the ML language and type system by means of a limited form of **dependent types**.
- The goal was to achieve a system where “it is possible to specify and infer **precise type information** facilitating program error detection and compiler optimization.”
- Concretely, **dependent types** appear in DML types and terms in the form of **type index objects**, built starting by a **constraint index language**  $\mathcal{L}$ , and in the form of **universal and existential quantification** over **type index variables**.
- The **constraint index language**  $\mathcal{L}$  can be choose arbitrarily. E.g. linear inequalities over integers, boolean constraints, finite sets, etc.
- In this way **type checking** is reduced to **constraint satisfaction** in  $\mathcal{L}$ .

# Dependent ML - An example

```
datatype 'a list = nil | cons of 'a * 'a list
typeref 'a list of nat with (* indexing the datatype 'a list with nat *)
  nil <| 'a list(0)
  | cons <| {n:nat} 'a * 'a list(n) -> 'a list(n+1)

fun('a)
  append(nil, ys) = ys
  | append(cons(x, xs), ys) = cons(x, append(xs, ys))
where append <| {m:nat}{n:nat} 'a list(m) * 'a list(n) -> 'a list(m+n)
```

```
fix append :  $\prod m : \text{nat} . \prod n : \text{nat} . \text{intlist}(m) * \text{intlist}(n) \rightarrow \text{intlist}(m + n)$ .
   $\lambda m : \text{nat} . \lambda n : \text{nat} . \text{lam } l : \text{intlist}(m) * \text{intlist}(n)$ .
    case  $l$  of
       $\langle \text{nil}, ys \rangle \Rightarrow ys$ 
       $\langle \text{cons}[a](\langle x, xs \rangle), ys \rangle \Rightarrow \text{cons}[a + n](\langle x, \text{append}[a][n](\langle xs, ys \rangle)) \rangle$ 

fun append[0][n](nil, ys) = ys
  | append[a+1][n](cons[a](x, xs), ys) = cons[a+n](x, append[a][n](xs, ys))
where append <| {m:nat}{n:nat} intlist(m) * intlist(n) -> intlist(m+n)
```

## Dependent ML - 2

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- Dependent types in DML appears in a **very weak form**, nevertheless they add an **impressive reasoning power**.
- In particular, the indexing terms can be used to **statically capture** several **run time information** about the program execution.
- The obtained information can be used to **check** whether the program **satisfies** certain properties or not.
- Conversely, by imposing a priori **constraints** on the shape of indexing terms only programs with an **intended behaviour** can be allowed.
- Unfortunately, DML seems not sufficient to reason about the **implicit complexity of higher order programs**.

# Our scenario: combining Linearity and Dependent Types

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- Step 1:** Take a simple (Typed) Turing Complete functional programming language, e.g. PCF or better for the moment a **fixpoint free PCF**.
- Step 2:** Dissect it through the usual **linear logic decomposition**.
- Step 3:** Decorate the type derivations by means of **index terms**, built by a particular **constraint index language**, representing information about program **time bound** and **computed values**.
- Step 4:** Check whether the **constraints** generated at the previous step can be **satisfied or not**.

# Index language

$\gamma$	$::= o \mid \{a : \gamma \mid I\} \mid \gamma + \gamma \mid \gamma \times \gamma$	index sort
$\mathcal{S}$	$::= \emptyset \mid \mathcal{S}, \mathcal{C}^n : \gamma_1 \times \dots \times \gamma_n \rightarrow \gamma$	index signatures
$I$	$::= a \mid 0 \mid I + 1 \mid I_1 \doteq I_2 \mid \mathbf{f}^n(I_1, \dots, I_n) \mid \mathbf{split}(I)$ $\mid \mathbf{inl}(I) \mid \mathbf{inr}(I) \mid \langle I_1, I_2 \rangle \mid \pi_1(I) \mid \pi_2(I)$	index terms
$\phi$	$::= \emptyset \mid \phi, a : \gamma \mid \phi, I$	index contexts
$\rho$	$::= \epsilon \mid \rho \circ \langle a \mapsto I \rangle$	index substitutions

- We need to provide **index terms** that can be used both in types and terms.
- **Index terms** are **sorted** and **sorts** can depend on **index terms**.
- Constant and function symbols  $\mathcal{C}$  are equipped with a **signature**.
- We also need to define index **contexts** and **substitutions**.

# Sorting rules for the Index language

$$\frac{\phi(a) = \gamma}{\phi \vdash a : \gamma} \text{ (v.sort)} \quad \frac{}{\phi \vdash 0 : o} \text{ (0.sort)} \quad \frac{\phi \vdash I : o}{\phi \vdash I + 1 : o} \text{ (s.sort)}$$

$$\frac{\mathcal{S}(\mathbf{C}^n) = \Pi \vec{a}. \gamma_1 \times \cdots \times \gamma_n \rightarrow \gamma \quad \phi \vdash I_k : \gamma_k \quad (1 \leq k \leq n)}{\phi \vdash \mathbf{C}^n(I_1, \dots, I_n) : \gamma} \text{ (c.sort)}$$

$$\frac{\phi \vdash a : \{a_1 : \gamma \mid I\}}{\phi \vdash a : \gamma} \text{ (v.sub)}$$

$$\frac{\phi \vdash I : \gamma \quad \phi, a : \gamma \vdash I_1 : o \quad \phi \models I_1 \langle a \mapsto I \rangle}{\phi \vdash I : \{a : \gamma \mid I_1\}} \text{ (c.sub)}$$

The intended **model** of our index term language is an **Herbrand-Gödel equational system**  $\mathcal{E}$  over natural numbers. I.e.  $\phi \models I$  whenever  $\mathcal{E}(\phi) \models_{HG} \mathcal{E}(I)$

# Type and Term Language Syntax

- Types are given by the following grammar:

$$\sigma, \tau ::= \text{Nat}[\mathbf{I}] \mid \mathbf{1} \mid !_{a:\gamma}\sigma \mid \sigma \multimap \tau \mid \sigma \otimes \tau \mid \Pi a : \gamma. \sigma \mid \Sigma a : \gamma. \sigma \quad \text{Types}$$

- We use a Wadler style linear lambda calculus with **patterns**. The syntax is given by the following grammar:

$$p ::= * \mid x \mid x \otimes x \mid !(x) \quad \text{patterns}$$
$$t ::= * \mid x_{\rho} \mid 0[\mathbf{I}] \mid \mathbf{s}[\mathbf{I}](t) \mid \lambda p. t \mid tu \mid !_{a:\gamma}(t) \mid t \otimes t \quad \text{terms}$$
$$\mid \text{case } t \text{ of } 0[a] \mapsto u \mid \mathbf{s}[a](n) \mapsto v \mid \text{fix } x. t$$

- Every constructor comes with a particular type signature. In particular:

$$\mathcal{S}(0) = \Pi a : o. \text{Nat}[0] \quad \mathcal{S}(\mathbf{s}) = \Pi a : o. \text{Nat}[a] \rightarrow \text{Nat}[a + 1]$$

# Typing rules - 1

Multiplicative rules:

$$\frac{}{\phi; x : \sigma \vdash x_{\epsilon} : \sigma} (Ax)$$

$$\frac{\phi; \Gamma, p : \sigma \vdash t : \tau}{\phi; \Gamma, p : \sigma \vdash \lambda p. t : \sigma \multimap \tau} (\multimap)$$

$$\frac{\phi; \Gamma \vdash t : \sigma \quad \phi \models \sigma \equiv \tau}{\phi; \Gamma \vdash t : \tau} (\equiv)$$

$$\frac{\phi; \Gamma \vdash t : \sigma \multimap \tau \quad \phi; \Delta \vdash u : \sigma}{\phi; \Gamma, \Delta \vdash tu : \tau} (Ap)$$

$$\frac{\phi; \Gamma, x : \sigma, y : \tau \vdash t : \mu}{\phi; \Gamma, x \otimes y : \sigma \otimes \tau \vdash t : \mu} (\otimes L)$$

$$\frac{\phi; \Gamma \vdash t : \sigma \quad \phi; \Delta \vdash u : \tau}{\phi; \Gamma, \Delta \vdash t \otimes u : \sigma \otimes \tau} (\otimes R)$$

Pattern matching rules:

$$\frac{}{\phi; \vdash 0[0] : \text{Nat}[0]} (0)$$

$$\frac{\phi \vdash \mathbf{I} : \mathbf{o} \quad \phi; \Gamma \vdash t : \text{Nat}[\mathbf{I}]}{\phi; \Gamma \vdash \mathbf{s}[\mathbf{I}](t) : \text{Nat}[\mathbf{I} + 1]} (s)$$

$$\frac{\phi; \Gamma \vdash t : \text{Nat}[\mathbf{I}] \quad \phi, a : \mathbf{o}, \mathbf{0} \doteq \mathbf{I}; \Delta \vdash u : \sigma \quad \phi, a : \mathbf{o}, a + 1 \doteq \mathbf{I}; \Delta, n : \text{Nat}[a] \vdash v : \sigma}{\phi; \Gamma, \Delta \vdash \text{case } t \text{ of } 0[a] \mapsto u \mid \mathbf{s}[a](n) \mapsto v : \sigma}$$

# Typing rules - 2

Exponential Rules:

$$\frac{\phi; \Gamma \vdash t : \tau}{\phi; \Gamma, x : !_{a:\gamma} \sigma \vdash t : \tau} \quad (w)$$

$$\frac{\phi; \Gamma, y : \sigma [a \mapsto \mathbf{I}] \vdash t : \tau \quad \phi \vdash \mathbf{I} : \gamma}{\phi; \Gamma, !x : !_{a:\gamma} \sigma \vdash t[x_{\langle a \mapsto \mathbf{I} \rangle} / y] : \tau} \quad (d)$$

$$\frac{\phi; \Gamma, y : !_{a:\gamma_1} \sigma, z : !_{a:\gamma_2} \sigma \vdash t : \tau}{\phi; \Gamma, x : !_{a:\gamma_1 + \gamma_2} \sigma [a \mapsto \mathbf{split}(a)] \vdash t[x_{\langle a \mapsto \mathbf{split}(a) \rangle} / y, z] : \tau} \quad (c)$$

$$\frac{\phi; \Gamma, !y : !_{a_1:\gamma_1} !_{a_2:\gamma_2} \sigma \vdash t : \tau}{\phi; \Gamma, !x : !_{a:\gamma_1 \times \gamma_2} \sigma [a_1 \mapsto \pi_1(a), a_2 \mapsto \pi_2(a)] \vdash t[x_{\langle a_1 \mapsto \pi_1(a), a_2 \mapsto \pi_2(a) \rangle} / y] : \tau} \quad (g)$$

$$\frac{\phi, a : \gamma; x_1 : \sigma_1, \dots, x_n : \sigma_n \vdash t : \tau}{\phi; !x_1 : !_{a:\gamma} \sigma_1, \dots, !x_n : !_{a:\gamma} \sigma_n \vdash !_{a:\gamma} t : !_{a:\gamma} \tau} \quad (p)$$

## Some examples.

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Clearly we have **natural numbers** that are decorated in a trivial way:

$$; \vdash 0[0] : \text{Nat}[0] \quad \dots \quad ; \vdash s[0+1](s[0]0[0]) : \text{Nat}[(0+1)+1]$$

Consider the following sorts:

$$\gamma_1 = \{a : o \mid \text{even}(a)\} \quad \gamma_2 = \{a : o \mid a \doteq 0 \vee \text{odd}(a)\}$$

Then we have a term as:

$$\lambda!x.x_{\langle a \mapsto \text{split}(a) \rangle} \otimes x_{\langle a \mapsto \text{split}(a) \rangle}$$

with type

$$!_{a:\gamma_1+\gamma_2} (\text{Nat}[a] \multimap \text{Nat}[a+1]) \multimap (\text{Nat}[a] \multimap \text{Nat}[a+1]) \otimes (\text{Nat}[a] \multimap \text{Nat}[a+1])$$

Such a term can be applied only to terms acting as **successor for zero** but we keep more informations.

## What we expect... future works

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- We are working to prove that the present framework **well behaves**, i.e. it enjoys some standard properties, e.g. substitution properties. Note that they can turn to be **non trivial** in such a context.
- We need to develop a general form of **soundness property** relative to the involved **constraint language**. In particular, we need to extend to this framework **Hofmann's realizability technique**.
- Conversely, we expect to obtain a proof of the **intensional completeness** in terms of expressivity with respect of the considered language.
- If we succeed in the above points, we would then consider the remaining constructions, in particular **fixpoints**. We expect that while in DML they are treated in a **straightforward way**, here they involve **more difficulties**.
- Finally, we plan to explore **complex large examples** in this framework.

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Thanks!